

Audit Review Period:	
Issue of non-compliance:	Remaining alert to information from specialists/contracted providers
Scope:	<ul style="list-style-type: none"><li>• The scope of this Impact Analysis is limited to 50% of the participants enrolled during the audit review period who were not included in the provision of services sample selection.</li><li>• The auditor will select the participants to be reviewed and enter their identifying information on the Participant Impact tab.</li></ul>
Instructions:	<ul style="list-style-type: none"><li>• Review only the participant medical records selected by the auditor. The selected participants are identified in the Participant Impact tab.</li><li>• Review the selected medical records to determine if any specialists, ER providers, or hospital providers recommended services for the participant.</li><li>• Respond to the questions in the Participant Impact tab.</li><li>• The review timeframe is the audit review period. Errors noted before or after the audit review period should not be included.</li><li>• After completing the Impact Analysis, if any changes need to be made to the Root Cause Analysis, please update the RCA tab.</li></ul>
Impact Analysis Due Date:	

Brief Description Of Issue (Completed By The CMS Audit Lead)	Detailed Description of the Issue (Explain what happened)
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<div>Date Identified (MM/DD/YY) (Completed By The CMS Audit Lead)</div>	<div>Brief Description Of Issue (Completed By The CMS Audit Lead)</div>	<div>Condition Language (Completed By The CMS Audit Lead)</div>
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Root Cause Analysis for the Issue (Explain why it happened)	Methodology - Describe the process that was undertaken to determine the # of individuals (e.g. participants) impacted	# of Individuals Impacted
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Action Taken to Resolve System/ Operational Issues	Date System/ Operational Remediation Initiated (MM/DD/YY)	Date System/ Operational Remediation Completed (MM/DD/YY)	Actions Taken to Resolve Negatively Impacted Individuals Including Outreach Description and Status	Date Individual Outreach and Remediation Initiated (MM/DD/YY)	Date Individual Outreach and Remediation Completed (MM/DD/YY)
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Participant First Name	Participant Last Name	Medicare Beneficiary Identifier	Participant ID	Date of Enrollment MM/DD/YYYY	Date of Disenrollment MM/DD/YYYY  Enter NA if the participant is still enrolled.
(Example) Jane	Smith		1234	01/01/2021	NA
(Example) Jane	Smith		1234	01/01/2021	NA

During the audit review period, did the participant have specialist consultations, emergency room visits, or hospitalizations?  (Yes/No)  If NO, the PO may enter NA in columns H through X.	Enter the type of specialist consultation.  If the participant had an <u>emergency room visit</u> , enter "ER." If the participant had a <u>hospitalization</u> , enter "hospitalization"  Enter NA if the participant did not have any specialist consultations, emergency room visits, or hospitalizations.	Enter the date of each specialist consultation, emergency room visit, and hospitalization. For emergency room visits and hospitalizations, enter the <u>discharge date</u> .  MM/DD/YYYY  Enter NA if the participant did not have any specialist consultations, emergency room visits, or hospitalizations.	Identify all services (including items and/or drugs) recommended or ordered by the specialist, emergency room provider, or hospital provider.  Enter each item and service in a separate row.  Enter NA if: 1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or 2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider.
Yes	ophthalmology	02/01/2021	glasses
Yes	ophthalmology	02/01/2021	follow-up in one month

<p>Date the specialist consultation report, ER records, or hospital records were received by the PO.</p> <p>MM/DD/YYYY</p> <p>If records were not received, enter "not received."</p> <p>Enter NA if the participant did not have any specialist consultations, emergency room visits, or hospitalizations.</p>	<p>Did the IDT remain alert to all pertinent information from the specialists/ER/Hospital, including recommendations made by these providers?</p> <p>Yes/No</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider.</p>	<p>Did the <u>PACE PCP</u> order the recommended service/item?</p> <p>(Yes/No)</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No service/item was ordered or recommended by the specialist, emergency room provider, or hospital provider.</p>	<p>Date the service/item was ordered by the PCP.</p> <p>MM/DD/YYYY</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No service/item was ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PCP <u>did not order</u> the service/item.</p>
02/04/2021		Yes	02/05/2021
02/04/2021		No	NA



<p>Date the service/item ordered by the PCP was provided to the participant.</p> <p>If service/item was ordered but not provided, enter "not provided."</p> <p>If more than one item or service was ordered, please identify the date each item was ordered.</p> <p>MM/DD/YYYY</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No service/item was ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PCP did not order the service/item.</p>	<p>If service/item was ordered by the PCP but was not provided, please explain why it was not provided.</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No service/item was ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PCP did not order the service/item.</p>	<p>If the PCP did not order the service/item, did the IDT document their rationale for not ordering the service/item in the participant's medical record?</p> <p>(Yes/No)</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PACE PCP ordered the service/item.</p>
02/28/2021	NA	NA
NA	NA	Yes

What was the PCP's rationale for not ordering the service/item?	Date the PCP documented their rationale for not ordering the service/item.	If the PCP did not order the service/item, did the participant receive the service/item by some other means?	Date the participant received the service/item (by other means)
<p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PACE PCP ordered the service/item; or</p> <p>4) The PACE PCP did not document their rationale for not ordering the service/item.</p>	<p>MM/DD/YYYY</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PACE PCP ordered the service/item; or</p> <p>4) The PACE PCP did not document their rationale for not ordering the service/item.</p>	<p>For example, was the service/item provided at a specialist office?</p> <p>(Yes/No)</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PACE PCP ordered the service/item.</p>	<p>MM/DD/YYYY</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PACE PCP ordered the service/item; or</p> <p>4) The participant did not receive the service/item by some other means.</p>
NA	NA	NA	NA
The PCP wanted to have the participant evaluated by a retinal specialist before ordered f/u with ophthalmology.	02/05/2021	NA	NA

<p>If the participant experienced negative outcomes, did they occur, in some part, as a result of the failure to provide or a delay in the provision of care and/or services?</p> <p>(Yes/No)</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PACE PCP ordered the services/items and they were provided as expeditiously as the participant's health required.</p>	<p>If yes, describe the negative outcomes.</p> <p>Enter NA if:</p> <p>1) The participant did not have any specialist consultations, emergency room visits, or hospitalizations; or</p> <p>2) No items or services were ordered or recommended by the specialist, emergency room provider, or hospital provider; or</p> <p>3) The PACE PCP ordered the services/items and they were provided as expeditiously as the participant's health required.</p> <p>4) The participant did not experience any negative outcomes.</p>
<p>No</p>	<p>NA</p>
<p>No</p>	<p>NA</p>

<p>Optional: Please note, you do not have to complete this column.</p> <p>If there are any mitigating factors that you would like CMS to consider related to a specific participant, please enter the information in this column.</p>