

# **MCBS COMMUNITY SURVEY**

## **2016 General Specifications**

### **QUESTIONNAIRE FLOW**

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# 1. SPECIFICATION COMPONENTS

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## Questionnaire

Refers to the entire set of questions administered to an SP. The Questionnaire is also referred to as the “**Instrument**” when referring to the CAPI program.

## Section

Refers to a subset of questions in the Questionnaire. Groups of related questions in the Questionnaire are organized into sections. Each section has a **SECTION ID** (abbreviation). Ex: the HIQ Section collects data about the SP’s Health Insurance plans. A Section may be administered every round, only once a year, or only when the SP is entering or exiting the study.

## Core Components of the Questionnaire:

### Introduction

#### **INQ Introduction**

Verifies SP name, collects SP status and respondent information. Verifies/collects SP’s DOB, marital status, # children in the SP’s 1<sup>st</sup> round interview. Verifies SP’s marital status every fall round.

### Address Verification

#### **AVQ Address Verification**

Verifies SP’s home and mailing address.  
INTTYPE = 1,4,5,6,7,10: Administered after IN.  
INTTYPE = 2,3: Administered directly before CL.<sup>1</sup>

### Household

Collects information regarding who lives in the household with the SP.

#### **ENS Enumeration Summary**

Reviews persons who lived in the household in the previous round and probes whether or not person still lives in the household in the current round. Also collects new current round household members. Collects job status for SP and household members.

### Health Insurance

Collects information about plans that cover SP’s medical expenses.

#### **HIS Health Insurance Summary**

Allows interviewer to add, delete, and update Health insurance plans collected in previous round.

#### **HIQ Health Insurance**

Collects information about Medicare, Medicaid, Tricare, Public and Private Health Insurance Plans.

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<sup>1</sup> A summary of questionnaire section order and interview types is available in Section 2.

## **Utilization**

Collects dates for SP's doctor visits, Hospital stays, etc. Each visit is referred to as an "event". Also collects other types of medical events, such as prescribed medicines and other medical expenses.

The following sections collect events for a specific type of provider:

**DUQ Dental**  
**ERQ Emergency Room**  
**IPQ Inpatient Hospital Stays**  
**OPQ Outpatient Department Visits**  
**IUQ Institutionalization Stays**  
**HHS Home Health Care Summary**  
**HHQ Home Health Care**  
**MPQ Medical Provider Visits**  
**OMQ Other Medical Expenses**  
**PMS Prescription Medicine Summary**  
**PMQ Prescription Medicines**

## **Cost Series**

Collects information regarding medical statements and charges for doctor visits and other events:

### **STQ Statement Section.**

Collects data directly from SP's statements that they have received from Medicare or other health insurance plan.

### **PSQ Post Statement Section**

Identifies if SP has incurred charges for an other medical expense (OME) Rent-to-Buy item. Response to questions in PSQ determine if event will be asked about in the No Statement (NSQ) Section.

### **NSQ No Statement Section.**

Collect data from Respondent about the COSTs for a particular event when a Statement is not available.

### **CPS Cost Payment Summary Section.**

Reviews STQ and NSQ data collected over past 2 rounds and updates/collects additional COST data not previously reported.

See details below regarding the when COST data is collected for events.

## **Summary Sections**

Some of the sections above are referred to as a Summary Section. There are two types of summary sections.

1) A section that allows interviewer to add, delete or update data collected during the SP's previous round interview. Data collected in these sections is written to previous round database records.

**HIS Health Insurance Summary**

**PMS Prescription Medicine Summary**

2) A section that summarizes data collected in the previous round with the purpose of collecting additional current round data. Data collected in these sections is written to current round database records.

**ENS Enumeration Summary**

**HHS Home Health Care Summary**

**CPS Cost Payment Summary**

## **Health Status**

Collections information about the SP's health status and functioning.

**HFQ Health Status and Functioning**

Administered each Fall round to collect information about the SP's general health status, ability to perform various physical activities, general health conditions, Instrumental Activities of Daily Living, and Activities of Daily Living.

## **Experiences with Care**

Sections that collect information about the SP's experiences with care, including access to care, satisfaction with care, and usual source of care.

**ACQ Access to Care**

Administered each Fall round to determine whether the SP had particular types of medical encounters (hospital emergency room, hospital clinic or outpatient department, long-term care facility, or medical doctor visits) during the reference period. If the SP had one or more of a particular type of medical encounter, this section collects information about services received and amount of time associated with the most recent encounter.

**SCQ Satisfaction with Care**

Administered each Fall round to elicit the SP's opinion about the health care the SP has received. The questions refer to medical care received from all medical providers, including both doctors and hospitals.

**USQ Usual Source of Care**

Administered each Fall round to obtain information about the usual source of health care for the SP, that is the particular clinic, health center, doctor's office, or other place where the SP usually goes when sick or in need of medical advice.

## **Socio-Demographics**

Collects background information about the SP, including demographic and income information.

### **DIQ Demographics/Income**

Administered once during the Baseline interview to collect information about the SP's background, including Hispanic origin, race, education, and income.

### **IAQ Income and Assets**

Administered each Summer round to collect information about the SP's income and other financial resources.

## **Closing**

Collects SP and Proxy address information, Contact and Future Proxy Information. Includes final instructions to respondent about future interviews.

### **AVQ Address Verification**

Collects/Verifies SP's home and mailing address.

### **CLQ Closing**

Collects/Verifies Proxy, Contact, Future Proxy name and address information. Collects future interview name and phone number. Includes final instructions to respondent regarding future interviews and general closing remarks.

### **EXQ Exit Closing**

Is administered to SP's during their last interview in the study (exit cases). Includes general closing remarks.

## **Topical Sections**

Refers to a special interest topic section. Typically, Topical Sections are administered once a year. However, a Topical Section may be administered in multiple rounds.

The following are examples of Topical Sections:

### **Fall Round**

**HAQ HOUSING CHARACTERISTICS**

**NAQ NICOTINE AND ALCOHOL USE**

**Winter Round**  
**KNQ BENEFICIARY KNOWLEDGE**  
**AND INFORMATION NEEDS**

**Summer Round**  
**PAQ PATIENT ACTIVATION**  
**RXQ DRUG COVERAGE**

**Multiple Round Types**  
**MBQ MOBILITY OF BENEFICIARIES**  
**PVQ PREVENTIVE HEALTH**

## 2. ORDER SECTIONS ARE ADMINISTERED

The table below summarizes the order of questionnaire sections and the type of case eligible for each questionnaire section based on interview type (MRES.INTTYPE) and sampled person's vital status. A summary of interview types is available below the table.

Component	Abbrev.	SECTION Listed in the order the section is administered.	Section Administered in What Round?	Who gets what section based on Interview Type and SP's Status.
Introduction	INQ	INTRODUCTION	ALL	MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10
Address Verification	AVQ	ADDRESS VERIFICATION * Can be called either after IN or before CL.	ALL	MRES.INTTYPE = 1, 4, 5, 6, 7, or 10 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased.
Household	ENS	ENUMERATION	ALL	MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, 9, or 10
Topical Section	HAQ	HOUSING CHARACTERISTICS	FALL	MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased.
Health Ins	HIS	HEALTH INSURANCE SUMMARY	ALL	MRES.INTTYPE = 1, 4, 7, 9, or 10
Health Ins	HIQ	HEALTH INSURANCE	ALL	MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, 9, or 10
Utilization	DUQ	DENTAL UTILIZATION	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
Utilization	ERQ	EMERGENCY ROOM UTILIZATION	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
Utilization	IPQ	INPATIENT UTILIZATION	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 8, 9, or 10 *MRES.INTTYPE=8 only receive IP questions if ongoing IP visit from the previous round.
Utilization	OPQ	OUTPATIENT UTILIZATION	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
Utilization	IUQ	INSTITUTIONAL UTILIZATION	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
Utilization	HHS	HOME HEALTH SUMMARY	ALL	MRES.INTTYPE = 1, 4, or 9
Utilization	HHQ	HOME HEALTH UTILIZATION	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
Utilization	MPQ	MEDICAL PROVIDER UTILIZATION	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
Experiences with Care	ACQ	ACCESS TO CARE	FALL	MRES.INTTYPE = 1, 2, 4, 5, or 6 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased.
Utilization	OMQ	OTHER MEDICAL EXPENSES	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
Utilization	PMS	PRESCRIBED MEDICINES	ALL	MRES.INTTYPE = 1, 4, or 9
Utilization	PMQ	PRESCRIBED MEDICINES	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
COST Series	STQ	STATEMENT COST SERIES	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
COST Series	PSQ	POST-STATEMENT COST	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10
COST Series	NSQ	NO STATEMENT COST SERIES	ALL	MRES.INTTYPE = 1, 2, 4, 5, 6, 7, 9, or 10 (exclude MRES.INTTYPE=8)
COST Series	CPS	COST PAYMENT SUMMARY	ALL	MRES.INTTYPE = 1, 4, 5, or 9
Topical Section	MBQ	MOBILITY OF BENEFICIARIES	ALL	MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased.
Topical Section	PVQ	PREVENTIVE CARE	ALL	MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, 9, or 10 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased
Experiences with Care	ACQ	ACCESS TO CARE	FALL	MRES.INTTYPE = 3 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased

Component	Abbrev.	SECTION Listed in the order the section is administered.	Section Administered in What Round?	Who gets what section based on Interview Type and SP's Status.
Health Status	HFQ	HEALTH STATUS AND FUNCTIONING	FALL	MRES.INTTYPE = 1, 2, 3, 4, 5, or 6 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased
Topical Section	NAQ	NICOTINE AND ALCOHOL USE	FALL	MRES.INTTYPE = 1, 2, 3, 4, 5, or 6 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased
Experiences with Care	SCQ	SATISFACTION WITH CARE	FALL	MRES.INTTYPE = 1, 2, 3, 4, 5, or 6 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased
Experiences with Care	USQ	USUAL SOURCE OF CARE	FALL	MRES.INTTYPE = 1, 2, 3, 4, 5, or 6 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased
Socio-Demographics	DIQ	DEMOGRAPHICS AND INCOME	FALL	MRES.INTTYPE = 3
Topical Section	KNQ	BENEFICIARY KNOWLEDGE AND INFORMATION NEEDS	WINTER	MRES.INTTYPE = 1, 2, 4, 5, 6, or 7 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased
Socio-Demographics	IAQ	INCOME AND ASSETS	SUMMER	MRES.INTTYPE = 1, 2, 4, 5, 6, 8, 9, or 10
Topical Section	PAQ	PATIENT ACTIVATION	SUMMER	MRES.INTTYPE = 1, 2, 4, 5, 6, 8, 9, or 10 and MRES.SPPROXY = 1/SP and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased.
Topical Section	RXQ	DRUG COVERAGE	SUMMER	MRES.INTTYPE = 1, 2, 4, 5, 6, 9, or 10 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased
Address Verification	AVQ	ADDRESS VERIFICATION * Can be called either after IN or before CL.	ALL	MRES.INTTYPE = 2 or 3 and MRES.SPALIVE ^= 2/AliveAndInstitute and MRES.SPALIVE ^= 3/Deceased.
Closing	CLQ	CLOSING	ALL	(MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, or 10) or (MRES.INTTYPE = 8 or 9 and MRES.SPALIVE = 3/Deceased).
Closing	EXQ	CLOSING FOR EXIT INTERVIEW	SUMMER	MRES.INTTYPE = 8 or 9 and MRES.SPALIVE ^= 3/Deceased.
End	END	END SECTION	ALL	MRES.INTTYPE = 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10

## SUMMARY OF INTERVIEW TYPES:

MRES.INTTYPE=1/StandardHadPrev  
 MRES.INTTYPE=2/NewFromFacility  
 MRES.INTTYPE=3/NewFromSupplement  
 MRES.INTTYPE=4/StandardSkippedPrev  
 MRES.INTTYPE=5/LastRndFacSum  
 MRES.INTTYPE=6/LastRndFacBase  
 MRES.INTTYPE=7/SupSmp1stTimeUtil  
 MRES.INTTYPE=8/ExitInterviewHadPrev  
 MRES.INTTYPE=9/ExitInterviewSkipPre  
 MRES.INTTYPE=10/SupSmp1stTimeUtilSkipped

## VALID IN ROUNDS:

ALL  
 ALL  
 FALL ONLY  
 ALL  
 ALL  
 ALL  
 WINTER ONLY  
 SUMMER ONLY  
 SUMMER ONLY  
 SUMMER ONLY