



Center for Clinical Standards and Quality/Survey & Certification Group

Ref: S&C: 16-27-NH

DATE: June 3, 2016
TO: State Survey Agency Directors
FROM: Director
Survey and Certification Group
SUBJECT: Public Release of Nursing Home Enforcement Information Announcement

Memorandum Summary

Posting of Nursing Home Enforcement Information: The Centers for Medicare & Medicaid (CMS) is posting information at <http://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationEnforcement/Nursing-Home-Enforcement.html> which includes data on nursing home enforcement actions between 2006 and 2014.

The contents of this letter supports activities or actions to improve patient or resident safety and increase quality and reliability of care for better outcomes.

Background

Sections 1819(h) and 1919(h) of the Social Security Act ("the Act"), as well as implementing regulations at 42 C.F.R. Part 488, subpart F, "Enforcement of Compliance for Long Term Care Facilities with Deficiencies," provide that the CMS or the State, respectively, may impose one or more enforcement remedies when a facility is out of compliance with Medicare and Medicaid program participation requirements. CMS is posting on our website information about nursing home provider enforcement as part of our ongoing efforts to ensure transparency, consistency of application of enforcement remedies, and data management to track enforcement actions across the nation. This information includes the following:

- General information about nursing home enforcement such as an explanation of the scope and severity of deficiencies and the types of enforcement actions that may be imposed;
- Frequently asked questions (FAQs) related to enforcement actions including where more information may be located; and,
- Enforcement reports detailing the distribution of federal enforcement remedies imposed from 2006 to 2014 by CMS Region and State, by type of enforcement action, and by year imposed.

The enforcement reports include the following data:

- **Enforcement Remedies In Effect for All Surveys by Region:** This table includes, by Region, the number of enforcement remedies in effect for all surveys, as reported in the Certification and Survey Provider Enhanced Reports (CASPER), a national Federal database system.
- **Enforcement Remedies In Effect for All Surveys by State:** This table includes, by State, the number of enforcement remedies in effect for all surveys, as reported in CASPER.
- **Percent of Providers with Remedies by Region:** This table includes, by Region, the percent of nursing home providers with remedies imposed, as reported in CASPER.
- **Civil Money Penalties (CMPs) by Region:** This table includes, by Region, information about Per Day and Per Instance CMPs imposed frequencies, as reported in CASPER and verified against the Civil Money Penalty Tracking System (CMPTS), a national Federal database system.

Public Distribution

The reports are available at <http://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationEnforcement/Nursing-Home-Enforcement.html>.

Contact: For questions and comments related to the Nursing Home enforcement reports, please contact Akosua Ghailan at DNH_TriageTeam@cms.hhs.gov.

Effective Date: Immediately. This memorandum should be communicated with all survey and certification staff, their managers and the State/Regional Office training coordinators within 30 days of this memorandum.

/s/
David R. Wright

Attachment- Nursing Home Enforcement Reports

cc: Survey and Certification Regional Office Management

Centers for Medicare & Medicaid Services
Center for Clinical Standards & Quality
Survey & Certification Group
Division of Nursing Homes

Nursing Home Enforcement Reports
Through December 31, 2014

Version 1.0

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1 Introduction

1.1 Overview

The Nursing Home Enforcement Reports in this document cover years 2006-2014. They provide general information regarding enforcement actions taken by the Centers for Medicare & Medicaid Services (CMS) Regional Offices or State Survey Agencies when a nursing home is not in compliance with Medicare and/or Medicaid requirements at 42 C.F.R Part 483. Enforcement actions refer to remedies, described below, that CMS imposed against noncompliant nursing homes.

Nursing homes include Skilled Nursing Facilities (SNFs), Nursing Facilities (NFs) and dually participating SNFs/NFs. To participate in the Medicare and the Medicaid programs, or both, nursing homes must be certified as meeting Federal participation requirements. These facilities are required to be in compliance with federal requirements to receive payment under the Medicare or Medicaid programs. The determination as to whether a participating nursing home meets Medicare requirements is made by the Secretary of the Department of Health & Human Services (the Secretary). Under section 1864(a) of the Social Security Act, the Secretary enters into agreements with any state that is willing and able to conduct a survey and certify whether a facility meets Federal standards. Section 1902(a)(33)(B) of the Act provides for state survey agencies to perform the same survey tasks for facilities participating or seeking to participate in the Medicaid program. The results of Medicare and Medicaid related surveys are used by CMS and the State Medicaid Agency, respectively, as the basis for a decision to enter into or deny a provider agreement, recertify facility participation in one or both programs, or terminate the facility from the program. They are also used to determine whether one or more enforcement remedies should be imposed against a facility where noncompliance with Federal requirements is identified. The Secretary has delegated to CMS the authority to impose certain remedies against any participating nursing home that does not meet these federal requirements.

For Survey, Certification, and Enforcement of participating nursing homes, CMS is divided into ten Regional Offices. Refer to Section 2.2 to identify which States are assigned to each CMS region. Each of the 50 States, Puerto Rico and the District of Columbia has an agency that conducts on-site surveys on behalf of CMS to determine whether nursing homes are compliant with federal requirements. Surveys are conducted on a 9 to 15 month cycle, with a statewide average of 12 months between surveys.

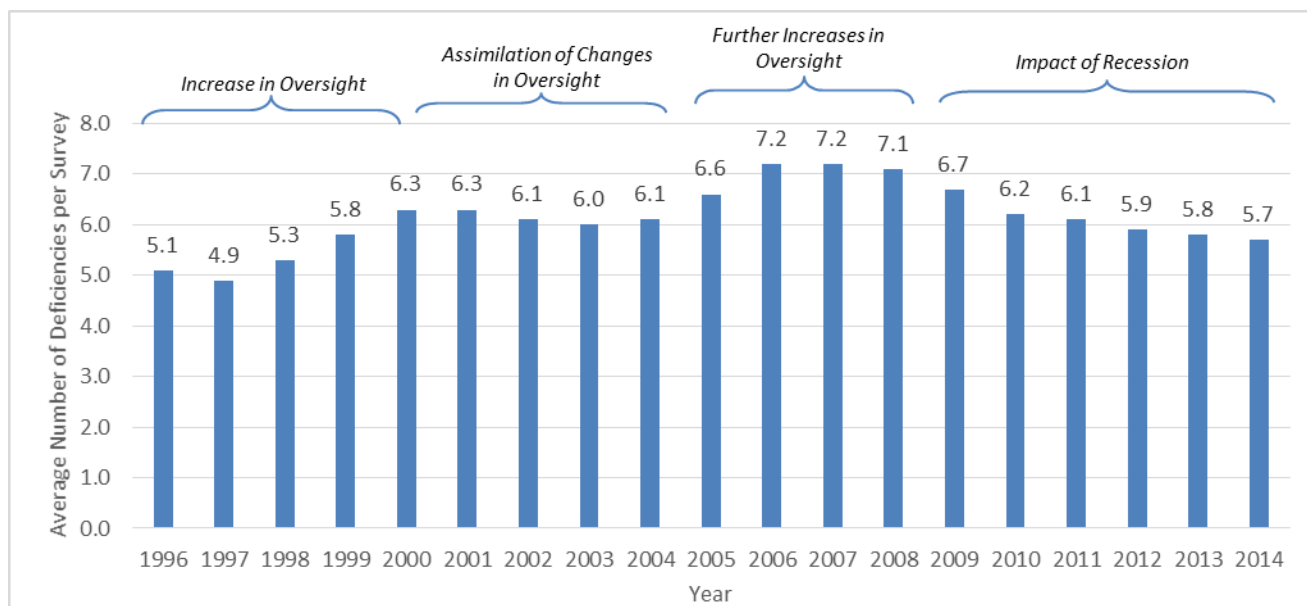
1.2 Enforcement Reports in Context: The Impact of the Recession

This report provides data about enforcement actions taken during the years that state governments were experiencing budget constraints as a consequence of the recession that began in December 2007. This recession is commonly referred to as the “Great Recession” because the economic damage done to the economies of the United States and nations around the world was of historic proportions.¹ To fully appreciate the enforcement data contained in this report, it is helpful to evaluate survey and enforcement activities both before and after the recession (see Figure 1). The period before the recession was notable for an increase in survey activities, initiatives and deficiency citations, while the period after the recession began is notable for a decline in overall survey activities and enforcement actions.

Deficiency Citation Trends Before the Recession

Between 1996 and 2008, the average number of deficiencies cited per survey increased from 5.1 to 7.1, an increase of 37 percent (see Figure 1). Within this period, there was a large increase in average deficiencies cited between 1997 and 2000, during which the average number of deficiencies increased from 4.9 to 6.3. As noted in Figure 1, the average number of deficiencies decreased slightly between 2000 and 2003, but then increased sharply in 2005 and 2006. There was little change in average deficiencies cited between 2006 and 2008.

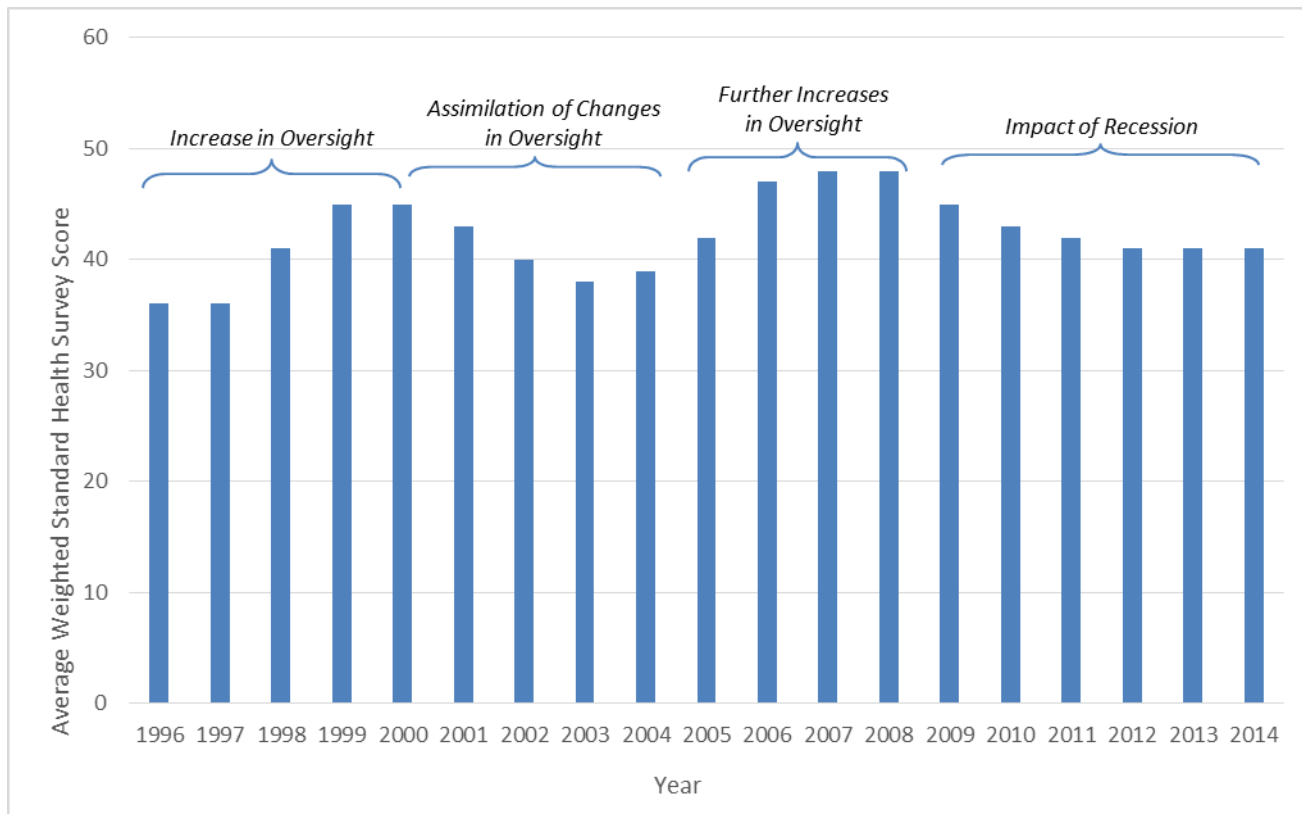
Figure 1: Average Number of Deficiencies per Survey, 1996-2014



¹ [Tracy Gordon, “State and Local Budgets and the Great Recession,” Brookings, December 2012.](#)

Figure 2 shows the weighted health inspection scores (using the five-star algorithm)² over the same period. Between 1996 and 1998, health inspection scores increased by more than one-third. Similar intervals of change are seen as with the number of deficiencies. However, while the number of deficiencies cited declined more gradually, the notable decrease in health inspection scores in the 2000 to 2004 period also indicates that the deficiencies cited declined in scope and severity.

Figure 2: Average Weighted Standard Health Survey Score, 1996-2014



While it is not possible to know for certain all the causes of this variation in survey results over time, there are several potential sources:

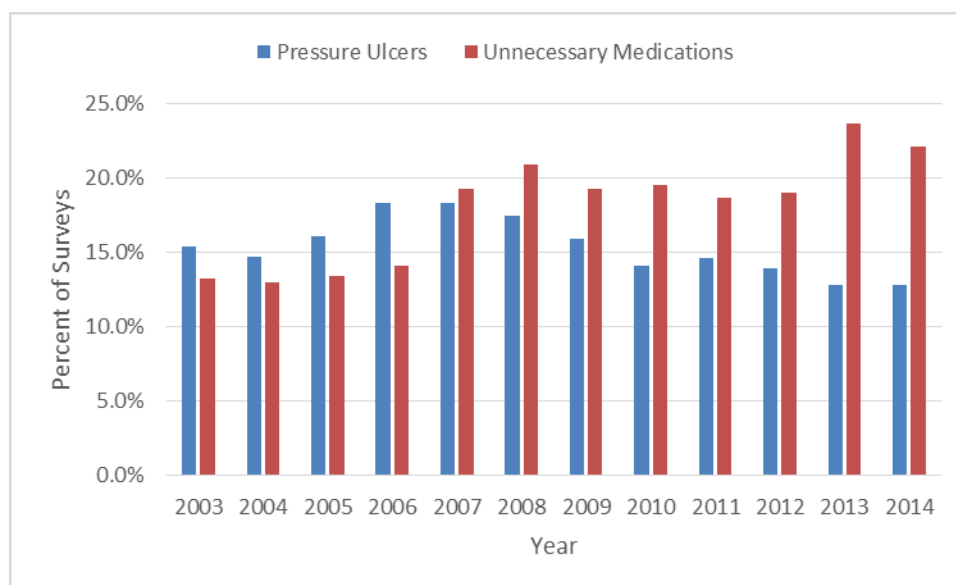
- Nursing Home Enforcement Oversight Initiative:** The period from 1997 to 2000 saw many CMS (then HCFA) quality assurance initiatives and a great deal of attention from Congress and the Government Accountability Office regarding oversight of nursing homes. The CMS initiatives included increased survey activity and established less predictable survey scheduling. For example, completed complaint investigations increased from 32,422 in federal fiscal year (FY) 1999 to 45,414 in FY 2000, a 40 percent increase. Additional improvements in oversight began in 2004, such as implementation of the FY 2004 Automated Survey Processing Environment (ASPEN) Complaint Tracking System (ACTS), implementation of the FY 2005 ASPEN Enforcement Manager (AEM), a phased implementation of new surveyor guidance (FY 2003 to FY2009), increased surveyor training (FY 2004 to FY2009), expansion of

² ["Design for Nursing Home Compare Five-Star Quality Rating System: Technical User's Guide," Centers for Medicare & Medicaid Services, February 2015.](#)

the Special Focus Facility Program (SFF) in FY 2005, better enforcement of State performance standards (FY 2004 to FY2009), new quality initiatives from reduction of pressure ulcers and restraint use (FY 2006 to FY2009), and publication of the Nursing Home Action Plan that describes specific initiatives for improving nursing home quality. Other initiatives, such as the Quality Indicator Survey (QIS), are also associated with changes in survey results.

- **Refinements to Interpretative Guidelines and Surveyor Protocols:** One important factor affecting survey results over time is improvement in CMS survey interpretive guidelines and other survey protocols, reinforced by the surveyor training that CMS coordinates with each new release. CMS has worked closely with clinical experts from academia and industry to refine survey guidance in a number of key survey areas. Since 2004, CMS has published more than a dozen sets of revised interpretive guidelines. Some of these have resulted in measurable changes in survey outcomes. For example:
 - In late 2004, CMS published surveyor guidance on evaluating pressure ulcer care. This was followed by nearly a 20 percent increase in the percentage of surveys resulting in pressure ulcer citations (see Figure 3).
 - A change in CMS interpretive guidelines about the unnecessary use of medications coincided with a more than 50 percent increase in the number of cited deficiencies for unnecessary medications between 2004 and 2008 (see Figure 3).
 - However, one CMS policy change may have had the opposite effect. In January 2000, CMS introduced the “double G policy,” where nursing homes that receive a deficiency citation at a scope and severity level of G on successive surveys must face specific and automatic penalties. Implementation of the double G policy was immediately followed by a steep decline in the proportion of surveys resulting in G-level deficiencies citations.

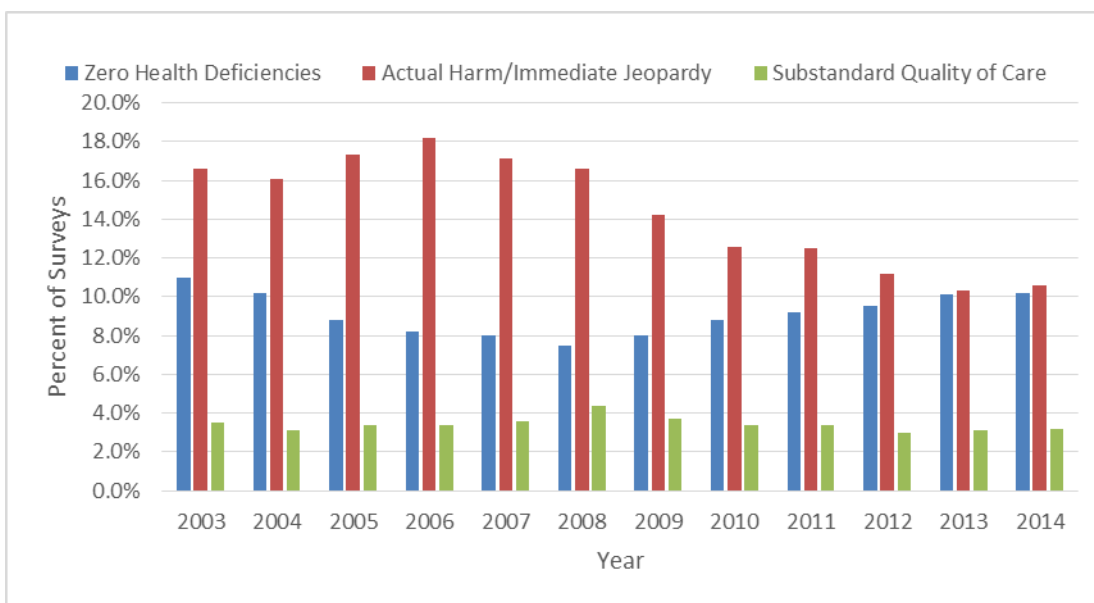
Figure 3: Percent of Surveys with Citations for Pressure Ulcers and Unnecessary Medications, 2003-2014



Enforcement Trends After the Recession

The recession that began December 2007 officially lasted until June 2009,³ but its effects on state budgets and the economy in general lasted many years after the recession ended. Most, if not all, State Survey Agencies were negatively impacted by the recession. This led to widespread reports of layoffs, furloughs, hiring freezes, and other measures that limited State Survey Agency oversight capacity. The recession-based constraints affecting State Survey Agencies correlate with lower deficiency citation rates for the post-2009 period, as noted in Figure 1. Lower deficiency citation rates inexorably lead to fewer opportunities for enforcement and therefore fewer enforcement actions imposed against a noncompliant facility, as seen in the enforcement reports in this publication. Figure 4 also shows a notable decline in actual harm and immediate jeopardy level citations. This decline also results in decreased enforcement activity because most enforcement actions are taken in response to deficiency findings at the actual harm and immediate jeopardy levels.

Figure 4: Percent of Surveys Resulting in Zero Health Deficiencies, Actual Harm/Immediate Jeopardy, and Substandard Quality of Care, 2003-2014



³ ["Business Cycle Dating Committee, National Bureau of Economic Research," *The National Bureau of Economic Research*, September 20, 2010.](#)

Summary of Enforcement Reports

- ***Enforcement Remedies In Effect for All Surveys by Region and by State:*** These reports represent all Health Inspection and Life Safety Code (LSC) Standard and Complaint surveys conducted by State Survey Agencies during calendar years 2006 to 2014 and include, by CMS region and by State, the number of enforcement remedies in effect for all surveys, as reported in the Certification and Survey Provider Enhanced Reports (CASPER), a national federal database system. These reports provide information on administrative remedies that took effect, rather than remedies that were initially imposed, but never went into effect because the facility was able to achieve substantial compliance before the effective date of the remedy. An enforcement remedy may be imposed, but later rescinded and never take effect because the facility achieved substantial compliance prior to the effective date of the remedy or remedies imposed. “Substantial compliance” means a level of compliance with the federal requirements of participation such that any identified deficiencies pose no greater risk to resident health or safety than the potential for causing minimal harm (refer to 42 C.F.R. §488.301).
- ***Percent of Providers with Remedies by Region:*** This report represents all Health Inspection and LSC Standard and Complaint surveys conducted by State Survey Agencies during calendar years 2006 to 2014 and includes, by CMS region, the percent of providers with enforcement remedies in effect, as reported in CASPER.
- ***Civil Money Penalties:*** This report represents Civil Money Penalties (CMPs) in effect during calendar years 2006 to 2014 and includes, by CMS region, the frequencies of Per Day and Per Instance CMPs in effect, as reported in CASPER and verified against the Civil Money Penalty Tracking System (CMPTS), a national federal database system.

1.3 Enforcement Remedies

Sections 1819(h) and 1919(h) of the Social Security Act, as well as regulations at 42 CFR Part 488, Subpart J, provide that CMS or the State may impose one or more enforcement remedies when a facility is out of substantial compliance with Federal requirements. In order to select the appropriate remedy or remedies, the seriousness of the deficiencies is assessed. Factors to determine the seriousness of deficiencies relate to whether the deficiencies constitute:

- No actual harm with a potential for minimal harm;
- No actual harm with a potential for more than minimal harm that is not immediate jeopardy;
- Actual harm that is not immediate jeopardy; or
- Immediate jeopardy to resident health or safety.

AND, whether deficiencies:

- Are isolated;
- Constitute a pattern; or
- Are widespread.

The severity of the deficiency reflects the impact the deficiency has on individuals. The four severity levels are:

- **Level 1 — No actual harm with potential for minimal harm:** A deficiency that has the potential for causing no more than a minor negative impact on the residents or employees;
- **Level 2 — No actual harm with a potential for more than minimal harm that is not immediate jeopardy:** Noncompliance with the requirements that results in the potential for no more than minimal physical, mental, and/or psychosocial harm to the residents or employees and/or that result in minimal discomfort to the residents or employees of the facility, but has the potential to result in more than minimal harm that is not immediate jeopardy;
- **Level 3 — Actual harm that is not immediate jeopardy:** Noncompliance with the requirements that results in actual harm to residents or employees that is not immediate jeopardy;
- **Level 4 — Immediate jeopardy to resident health or safety:** Noncompliance with the requirements that results in immediate jeopardy to resident or employee health or safety in which immediate corrective action is necessary because the provider's noncompliance with one or more of those requirements has caused, or is likely to cause, serious injury, harm, impairment or death to a resident who receives care in a facility or an employee of the facility.

The scope of the deficiency reflects the pervasiveness of the deficiency throughout the facility and is defined as:

- **Isolated:** When one or a very limited number of residents or employees is/are affected and/or a very limited area or number of locations within the facility are affected;

Nursing Home Enforcement Reports: Introduction

- ***Pattern:*** When more than a very limited number of residents or employees are affected, and/or the situation has occurred in more than a limited number of locations but the locations are not dispersed throughout the facility; or,
- ***Widespread:*** When the problems causing the deficiency are pervasive (affect many locations) throughout the facility and/or represent a systemic failure that affected, or has the potential to affect, a large portion or all of the residents or employees.

Assessment Factors Used to Determine the Seriousness of Deficiencies

Severity	Scope		
	Isolated	Pattern	Widespread
Level 4 Immediate jeopardy to resident health or safety	J Plan of Correction Required: Category 3 Optional: Category 1 Optional: Category 2	K Plan of Correction Required: Category 3 Optional: Category 1 Optional: Category 2	L Plan of Correction Required: Category 3 Optional: Category 1 Optional: Category 2
Level 3 Actual harm that is not immediate jeopardy	G Plan of Correction Required: Category 2 Optional: Category 1 Optional: Termination	H Plan of Correction Required: Category 2 Optional: Category 1 Optional: Termination	I Plan of Correction Required: Category 2 Optional: Category 1 Optional: Temporary Management Optional: Termination
Level 2 No actual harm with potential for more than minimal harm that is not immediate jeopardy	D Plan of Correction Required: Category 1 Optional: Category 2 Optional: Termination	E Plan of Correction Required: Category 1 Optional: Category 2 Optional: Termination	F Plan of Correction Required: Category 2 Optional: Category 1 Optional: Termination
Level 1 No actual harm with potential for minimal harm	A No Plan of Correction No remedies Commitment to Correct Not on CMS-2567	B Plan of Correction	C Plan of Correction
<p>A, B, C: Substantial compliance means a level of compliance with the requirements of participation such that any identified deficiencies pose no greater risk to resident health or safety than the potential for causing minimal harm (42 CFR §488.301). J, K, L, H, I, F: Substandard quality of care is any deficiency in 42 CFR §483.13, Resident Behavior and Facility Practices, 42 CFR §483.15, Quality of Life, or 42 CFR §483.25, Quality of Care, that constitutes immediate jeopardy to resident health or safety; or a pattern of or widespread actual harm that is not immediate jeopardy; or a widespread potential for more than minimal harm that is not immediate jeopardy, with no actual harm.</p>			

Available Remedies by Category

Category 1	Category 2	Category 3
Directed Plan of Correction State Monitor Directed In-Service Training	Denial of Payment for New Admissions Denial of Payment for All Individuals imposed by CMS Civil Money Penalties: <ul style="list-style-type: none"> • \$50 - \$3,000/day • \$1,000 - \$10,000/instance 	Temporary Management Termination Optional: Civil Money Penalties <ul style="list-style-type: none"> • \$3,050 - \$10,000/day • \$1,000 - \$10,000/instance

2 Enforcement Remedies

2.1 Enforcement Remedies In Effect for All Surveys by Region

2.1.1 Description

Description	Details
Overview	This report includes, by region, the number of enforcement remedies in effect for all surveys, as reported in CASPER (Certification And Survey Provider Enhanced Reports, a national federal database system).
Notes	This report provides information on remedies that actually took effect, rather than remedies that were initially imposed but never went into effect. A remedy may be imposed, but later rescinded and never take effect because the facility achieved substantial compliance prior to the effective date of the remedy or remedies imposed. "Substantial compliance" means a level of compliance with the federal requirements of participation such that any identified deficiencies pose no greater risk to resident health or safety than the potential for causing minimal harm (refer to 42 C.F.R. §488.301).
Data Source	CASPER, health inspection and LSC standard and complaint surveys performed by State survey agencies
Date of Report	1/2016
Left Axis	Region
Left Axis Range	Calendar Year, 2006 to 2014
Horizontal Axis	Active Providers, CMS-Approved Alternative or Additional State Remedy; Civil Money Penalty; Denial of Payment for All Individuals; Discretionary Denial of Payment for New Admissions; Mandatory Denial of Payment (New Admissions 3-months); Directed In Service Training; Directed Plan of Correction; Discretionary Termination; Mandatory Termination; State Monitoring; Temporary Management; Transfer of Residents; Transfer of Residents/Closure of Facility
Totals	Total Enforcement Remedies In Effect, All Regions (National)

Nursing Home Enforcement Reports: Enforcement Remedies

2.1.2 Tables

Table 1: Enforcement Remedies In Effect for All Surveys by Region

Region	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
1	2006	1027	343	2	49	0	18	20	84	163	3	0	2	2	0	0
1	2007	1014	367	2	130	0	7	18	60	149	0	0	1	0	0	0
1	2008	1004	303	2	102	0	5	14	46	131	1	0	2	0	0	0
1	2009	991	284	0	101	0	5	12	45	120	0	0	1	0	0	0
1	2010	983	322	8	149	1	6	11	30	114	1	0	2	0	0	0
1	2011	977	278	6	127	0	4	6	36	97	0	2	0	0	0	0
1	2012	969	283	3	137	0	7	7	39	88	0	0	1	0	1	0
1	2013	958	303	5	173	0	3	8	32	79	0	0	2	1	0	0
1	2014	951	292	2	179	0	2	10	10	88	1	0	0	0	0	0
2	2006	1029	174	0	78	0	33	2	21	32	0	3	5	0	0	0
2	2007	1028	258	0	146	0	22	3	37	44	0	0	6	0	0	0
2	2008	1020	232	0	149	0	17	3	24	36	0	0	3	0	0	0
2	2009	1013	179	0	93	0	21	1	27	27	0	0	10	0	0	0
2	2010	1009	130	0	71	0	20	3	14	17	0	1	3	1	0	0
2	2011	1008	153	0	84	0	18	3	18	23	1	1	5	0	0	0
2	2012	1009	96	0	36	0	5	5	21	20	0	1	8	0	0	0
2	2013	1009	163	1	77	0	3	6	30	34	1	0	10	0	1	0
2	2014	1007	119	0	58	0	2	3	20	26	1	0	8	0	0	1
3	2006	1435	138	2	55	0	11	40	27	0	0	3	0	0	0	0
3	2007	1433	204	7	122	0	11	35	27	0	0	1	0	0	0	1
3	2008	1429	179	2	106	0	7	39	21	2	0	0	0	0	0	2
3	2009	1428	185	2	112	0	8	36	26	0	0	1	0	0	0	0
3	2010	1430	156	1	96	0	3	37	18	0	0	1	0	0	0	0
3	2011	1423	167	1	109	0	6	32	16	0	0	3	0	0	0	0
3	2012	1420	95	0	57	0	1	26	8	0	1	2	0	0	0	0
3	2013	1420	115	1	77	0	2	23	12	0	0	0	0	0	0	0
3	2014	1412	113	0	70	0	1	30	12	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

Region	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
4	2006	2714	330	1	170	0	49	36	45	17	2	6	4	0	0	0
4	2007	2705	624	0	463	0	75	37	20	14	7	2	6	0	0	0
4	2008	2696	654	0	480	0	98	18	10	33	7	1	6	1	0	0
4	2009	2697	464	0	362	0	47	14	8	22	3	2	6	0	0	0
4	2010	2694	418	0	325	0	56	4	8	18	3	2	2	0	0	0
4	2011	2699	493	0	365	0	61	9	36	16	3	2	1	0	0	0
4	2012	2698	516	0	409	0	53	16	24	9	2	1	2	0	0	0
4	2013	2706	389	0	294	0	49	19	3	18	4	2	0	0	0	0
4	2014	2701	503	0	395	1	70	8	6	19	0	4	0	0	0	0
5	2006	3536	1215	0	502	0	96	223	220	49	2	3	119	0	0	1
5	2007	3535	1842	3	986	1	119	186	396	82	2	6	60	1	0	0
5	2008	3506	1741	3	932	0	116	157	361	113	1	4	54	0	0	0
5	2009	3498	1520	1	908	0	89	119	276	82	2	5	38	0	0	0
5	2010	3486	1305	0	922	0	85	123	75	39	0	2	59	0	0	0
5	2011	3472	1200	0	901	0	71	98	49	33	1	2	45	0	0	0
5	2012	3473	895	1	678	0	53	67	29	21	2	3	40	0	0	1
5	2013	3472	735	1	578	0	54	55	6	11	1	1	27	0	0	1
5	2014	3469	674	0	530	0	50	36	12	16	1	0	29	0	0	0
6	2006	2158	707	0	331	0	124	70	161	11	3	6	0	1	0	0
6	2007	2153	952	0	612	0	124	48	149	16	0	3	0	0	0	0
6	2008	2142	999	0	623	0	139	57	157	12	5	6	0	0	0	0
6	2009	2130	1018	0	659	0	110	52	172	19	1	5	0	0	0	0
6	2010	2143	938	0	648	1	134	45	86	17	3	4	0	0	0	0
6	2011	2155	948	0	659	0	134	35	97	20	1	2	0	0	0	0
6	2012	2146	918	0	613	0	170	29	93	8	4	1	0	0	0	0
6	2013	2147	748	0	448	0	147	22	100	27	1	3	0	0	0	0
6	2014	2152	850	1	518	0	151	36	115	24	3	2	0	0	0	0
7	2006	1570	254	0	44	0	119	75	1	0	3	2	10	0	0	0
7	2007	1574	369	1	146	0	129	76	0	0	5	2	10	0	0	0
7	2008	1566	372	0	153	0	148	65	0	0	5	1	0	0	0	0
7	2009	1555	274	0	113	0	110	49	0	1	1	0	0	0	0	0
7	2010	1545	211	0	98	0	69	44	0	0	0	0	0	0	0	0
7	2011	1535	228	1	111	0	75	37	0	0	1	1	1	0	0	1
7	2012	1536	167	0	74	0	67	22	1	0	0	1	1	0	0	1
7	2013	1532	236	0	131	0	53	36	15	0	1	0	0	0	0	0
7	2014	1547	236	0	112	1	73	23	22	3	1	0	0	1	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

Region	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
8	2006	640	107	4	19	0	33	7	19	12	0	0	13	0	0	0
8	2007	636	162	4	63	0	39	9	21	13	0	1	10	2	0	0
8	2008	633	119	1	60	0	23	5	27	1	0	0	2	0	0	0
8	2009	634	126	1	56	0	21	3	40	2	0	1	2	0	0	0
8	2010	637	152	1	53	0	19	3	71	0	0	3	2	0	0	0
8	2011	631	171	2	57	0	17	2	81	3	0	3	5	1	0	0
8	2012	633	172	1	66	0	19	3	69	1	0	7	6	0	0	0
8	2013	632	187	2	67	0	18	4	89	2	0	2	3	0	0	0
8	2014	626	194	4	49	0	19	4	108	5	0	0	5	0	0	0
9	2006	1529	227	0	76	0	72	9	22	33	1	5	9	0	0	0
9	2007	1515	272	0	121	0	54	15	38	18	3	4	19	0	0	0
9	2008	1506	345	0	166	0	71	11	71	11	0	0	15	0	0	0
9	2009	1490	314	0	111	0	51	9	119	19	0	0	4	1	0	0
9	2010	1483	260	0	77	1	34	5	110	24	0	0	9	0	0	0
9	2011	1484	266	1	83	1	37	17	88	34	1	2	2	0	0	0
9	2012	1484	255	1	76	1	32	32	92	17	0	1	3	0	0	0
9	2013	1481	203	1	114	1	37	31	13	4	0	0	2	0	0	0
9	2014	1475	214	1	128	0	19	53	9	0	0	4	0	0	0	0
10	2006	482	104	0	28	0	27	2	42	2	0	0	3	0	0	0
10	2007	479	165	0	90	0	27	3	38	2	2	0	3	0	0	0
10	2008	471	135	0	74	0	32	5	16	1	0	0	7	0	0	0
10	2009	470	106	0	83	0	12	3	3	1	0	1	3	0	0	0
10	2010	465	92	0	70	0	17	2	0	0	0	0	3	0	0	0
10	2011	462	140	0	98	0	23	6	4	0	0	3	6	0	0	0
10	2012	467	100	0	60	0	26	6	1	0	1	0	6	0	0	0
10	2013	460	130	0	107	0	16	5	0	0	0	1	1	0	0	0
10	2014	461	164	0	145	0	10	6	0	0	1	0	2	0	0	0
All	2006	16120	3599	9	1352	0	582	484	642	319	14	28	165	3	0	1
All	2007	16072	5215	17	2879	1	607	430	786	338	19	19	115	3	0	1
All	2008	15973	5079	8	2845	0	656	374	733	340	19	12	89	1	0	2
All	2009	15906	4470	4	2598	0	474	298	716	293	7	15	64	1	0	0
All	2010	15875	3984	10	2509	3	443	277	412	229	7	13	80	1	0	0
All	2011	15846	4044	11	2594	1	446	245	425	226	8	21	65	1	0	1
All	2012	15835	3497	6	2206	1	433	213	377	164	10	17	67	0	1	2
All	2013	15817	3209	11	2066	1	382	209	300	175	8	9	45	1	1	1
All	2014	15801	3359	8	2184	2	397	209	314	181	8	10	44	1	0	1

2.2 Enforcement Remedies In Effect for All Surveys by State

2.2.1 Description

Description	Details
Overview	This report include, by State, the number of enforcement remedies in effect for all surveys, as reported in CASPER (Certification And Survey Provider Enhanced Reports, a national federal database system).
Notes	This report provides information on remedies that actually took effect, rather than remedies that were initially imposed but never went into effect. A remedy may be imposed, but later rescinded and never take effect because the facility achieved substantial compliance prior to the effective date of the remedy or remedies imposed. "Substantial compliance" means a level of compliance with the federal requirements of participation such that any identified deficiencies pose no greater risk to resident health or safety than the potential for causing minimal harm (refer to 42 C.F.R. §488.301).
Data Source	CASPER, health inspection and LSC standard and complaint State Agency surveys
Date of Report	1/2016
Left Axis	State
Left Axis Range	Calendar Year, 2006 to 2014
Horizontal Axis	Active Providers, CMS-Approved Alternative or Additional State Remedy; Civil Money Penalty; Denial of Payment for All Individuals; Discretionary Denial of Payment for New Admissions; Mandatory Denial of Payment (New Admissions 3-months); Directed In Service Training; Directed Plan of Correction; Discretionary Termination; Mandatory Termination; State Monitoring; Temporary Management; Transfer of Residents; Transfer of Residents/Closure of Facility
Totals	Total Enforcement Remedies In Effect, All States in Region

Nursing Home Enforcement Reports: Enforcement Remedies

2.2.2 Tables

2.2.2.1 Region 1

Table 2: Region 1 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
CT	2006	245	33	0	26	0	4	3	0	0	0	0	0	0	0	0
CT	2007	244	66	0	58	0	4	1	1	2	0	0	0	0	0	0
CT	2008	242	50	0	47	0	0	3	0	0	0	0	0	0	0	0
CT	2009	242	34	0	31	0	2	1	0	0	0	0	0	0	0	0
CT	2010	239	44	0	40	0	0	4	0	0	0	0	0	0	0	0
CT	2011	238	32	0	30	0	2	0	0	0	0	0	0	0	0	0
CT	2012	234	48	0	43	0	2	3	0	0	0	0	0	0	0	0
CT	2013	231	62	0	59	0	0	3	0	0	0	0	0	0	0	0
CT	2014	229	69	0	64	0	0	2	1	2	0	0	0	0	0	0
MA	2006	456	42	2	18	0	4	11	1	1	3	0	2	0	0	0
MA	2007	448	67	2	51	0	1	9	1	2	0	0	1	0	0	0
MA	2008	441	46	2	32	0	2	5	0	2	1	0	2	0	0	0
MA	2009	434	48	0	40	0	0	6	1	1	0	0	0	0	0	0
MA	2010	429	98	7	69	0	3	5	5	6	1	0	2	0	0	0
MA	2011	428	96	6	77	0	1	5	3	3	0	1	0	0	0	0
MA	2012	425	82	2	75	0	1	2	1	1	0	0	0	0	0	0
MA	2013	422	105	4	91	0	1	1	4	4	0	0	0	0	0	0
MA	2014	419	98	1	95	0	0	2	0	0	0	0	0	0	0	0
ME	2006	112	245	0	3	0	5	0	82	154	0	0	0	1	0	0
ME	2007	112	212	0	7	0	1	4	58	142	0	0	0	0	0	0
ME	2008	112	180	0	5	0	2	0	45	128	0	0	0	0	0	0
ME	2009	109	179	0	11	0	2	2	44	119	0	0	1	0	0	0
ME	2010	109	138	0	4	0	0	1	25	108	0	0	0	0	0	0
ME	2011	108	128	0	1	0	0	0	33	94	0	0	0	0	0	0
ME	2012	108	135	0	5	0	3	0	38	87	0	0	1	0	1	0
ME	2013	107	109	0	5	0	0	0	28	74	0	0	2	0	0	0
ME	2014	106	110	0	12	0	0	2	9	86	1	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
NH	2006	83	9	0	2	0	2	1	1	3	0	0	0	0	0	0
NH	2007	82	8	0	5	0	1	1	0	1	0	0	0	0	0	0
NH	2008	82	15	0	11	0	1	2	1	0	0	0	0	0	0	0
NH	2009	80	2	0	2	0	0	0	0	0	0	0	0	0	0	0
NH	2010	80	24	0	24	0	0	0	0	0	0	0	0	0	0	0
NH	2011	78	9	0	9	0	0	0	0	0	0	0	0	0	0	0
NH	2012	77	8	0	8	0	0	0	0	0	0	0	0	0	0	0
NH	2013	76	10	0	9	0	0	1	0	0	0	0	0	0	0	0
NH	2014	76	1	0	0	0	0	1	0	0	0	0	0	0	0	0
RI	2006	90	6	0	0	0	1	1	0	4	0	0	0	0	0	0
RI	2007	87	7	0	5	0	0	0	0	2	0	0	0	0	0	0
RI	2008	87	8	0	6	0	0	2	0	0	0	0	0	0	0	0
RI	2009	86	19	0	16	0	1	2	0	0	0	0	0	0	0	0
RI	2010	86	10	1	6	1	2	0	0	0	0	0	0	0	0	0
RI	2011	85	7	0	6	0	1	0	0	0	0	0	0	0	0	0
RI	2012	85	5	1	3	0	1	0	0	0	0	0	0	0	0	0
RI	2013	84	3	1	2	0	0	0	0	0	0	0	0	0	0	0
RI	2014	84	6	1	3	0	2	0	0	0	0	0	0	0	0	0
VT	2006	41	8	0	0	0	2	4	0	1	0	0	0	1	0	0
VT	2007	41	7	0	4	0	0	3	0	0	0	0	0	0	0	0
VT	2008	40	4	0	1	0	0	2	0	1	0	0	0	0	0	0
VT	2009	40	2	0	1	0	0	1	0	0	0	0	0	0	0	0
VT	2010	40	8	0	6	0	1	1	0	0	0	0	0	0	0	0
VT	2011	40	6	0	4	0	0	1	0	0	0	1	0	0	0	0
VT	2012	40	5	0	3	0	0	2	0	0	0	0	0	0	0	0
VT	2013	38	14	0	7	0	2	3	0	1	0	0	0	1	0	0
VT	2014	37	8	0	5	0	0	3	0	0	0	0	0	0	0	0
All	2006	1027	343	2	49	0	18	20	84	163	3	0	2	2	0	0
All	2007	1014	367	2	130	0	7	18	60	149	0	0	1	0	0	0
All	2008	1004	303	2	102	0	5	14	46	131	1	0	2	0	0	0
All	2009	991	284	0	101	0	5	12	45	120	0	0	1	0	0	0
All	2010	983	322	8	149	1	6	11	30	114	1	0	2	0	0	0
All	2011	977	278	6	127	0	4	6	36	97	0	2	0	0	0	0
All	2012	969	283	3	137	0	7	7	39	88	0	0	1	0	1	0
All	2013	958	303	5	173	0	3	8	32	79	0	0	2	1	0	0
All	2014	951	292	2	179	0	2	10	10	88	1	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

2.2.2.2 Region 2

Table 3: Region 2 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
NJ	2006	364	63	0	54	0	2	0	3	4	0	0	0	0	0	0
NJ	2007	365	112	0	99	0	3	0	3	7	0	0	0	0	0	0
NJ	2008	363	110	0	99	0	1	0	4	6	0	0	0	0	0	0
NJ	2009	360	56	0	48	0	0	0	3	4	0	0	1	0	0	0
NJ	2010	361	34	0	31	0	0	0	0	1	0	1	0	1	0	0
NJ	2011	362	46	0	36	0	0	1	4	4	0	1	0	0	0	0
NJ	2012	366	6	0	0	0	0	2	1	2	0	1	0	0	0	0
NJ	2013	365	35	0	34	0	0	1	0	0	0	0	0	0	0	0
NJ	2014	366	50	0	43	0	2	1	0	1	1	0	1	0	0	1
NY	2006	656	111	0	24	0	31	2	18	28	0	3	5	0	0	0
NY	2007	654	146	0	47	0	19	3	34	37	0	0	6	0	0	0
NY	2008	649	121	0	49	0	16	3	20	30	0	0	3	0	0	0
NY	2009	645	121	0	43	0	21	1	24	23	0	0	9	0	0	0
NY	2010	640	95	0	40	0	20	2	14	16	0	0	3	0	0	0
NY	2011	638	107	0	48	0	18	2	14	19	1	0	5	0	0	0
NY	2012	635	87	0	35	0	5	1	20	18	0	0	8	0	0	0
NY	2013	636	122	0	41	0	2	4	30	34	1	0	9	0	1	0
NY	2014	634	67	0	14	0	0	1	20	25	0	0	7	0	0	0
PR	2006	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PR	2007	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PR	2008	7	1	0	1	0	0	0	0	0	0	0	0	0	0	0
PR	2009	7	1	0	1	0	0	0	0	0	0	0	0	0	0	0
PR	2010	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PR	2011	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PR	2012	7	2	0	1	0	0	1	0	0	0	0	0	0	0	0
PR	2013	7	6	1	2	0	1	1	0	0	0	0	1	0	0	0
PR	2014	6	2	0	1	0	0	1	0	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
VI	2006	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
VI	2007	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
VI	2008	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
VI	2009	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0
VI	2010	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0
VI	2011	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
VI	2012	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0
VI	2013	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
VI	2014	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All	2006	1029	174	0	78	0	33	2	21	32	0	3	5	0	0	0
All	2007	1028	258	0	146	0	22	3	37	44	0	0	6	0	0	0
All	2008	1020	232	0	149	0	17	3	24	36	0	0	3	0	0	0
All	2009	1013	179	0	93	0	21	1	27	27	0	0	10	0	0	0
All	2010	1009	130	0	71	0	20	3	14	17	0	1	3	1	0	0
All	2011	1008	153	0	84	0	18	3	18	23	1	1	5	0	0	0
All	2012	1009	96	0	36	0	5	5	21	20	0	1	8	0	0	0
All	2013	1009	163	1	77	0	3	6	30	34	1	0	10	0	1	0
All	2014	1007	119	0	58	0	2	3	20	26	1	0	8	0	0	1

2.2.2.3 Region 3

Table 4: Region 3 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
DC	2006	20	3	0	0	0	1	2	0	0	0	0	0	0	0	0
DC	2007	20	4	0	2	0	1	1	0	0	0	0	0	0	0	0
DC	2008	18	8	0	2	0	2	4	0	0	0	0	0	0	0	0
DC	2009	19	4	0	3	0	0	1	0	0	0	0	0	0	0	0
DC	2010	19	5	0	2	0	0	3	0	0	0	0	0	0	0	0
DC	2011	19	8	0	7	0	0	1	0	0	0	0	0	0	0	0
DC	2012	19	9	0	5	0	0	4	0	0	0	0	0	0	0	0
DC	2013	19	7	0	4	0	1	2	0	0	0	0	0	0	0	0
DC	2014	19	8	0	3	0	1	4	0	0	0	0	0	0	0	0
DE	2006	44	11	0	5	0	1	5	0	0	0	0	0	0	0	0
DE	2007	45	11	0	8	0	0	3	0	0	0	0	0	0	0	0
DE	2008	46	6	0	4	0	0	2	0	0	0	0	0	0	0	0
DE	2009	47	8	0	3	0	1	4	0	0	0	0	0	0	0	0
DE	2010	47	12	0	10	0	0	2	0	0	0	0	0	0	0	0
DE	2011	46	17	0	10	0	0	7	0	0	0	0	0	0	0	0
DE	2012	47	20	0	12	0	0	8	0	0	0	0	0	0	0	0
DE	2013	46	17	0	8	0	0	9	0	0	0	0	0	0	0	0
DE	2014	46	8	0	4	0	0	4	0	0	0	0	0	0	0	0
MD	2006	235	8	2	2	0	1	3	0	0	0	0	0	0	0	0
MD	2007	234	16	6	6	0	0	3	0	0	0	0	0	0	0	1
MD	2008	231	8	2	0	0	0	4	0	2	0	0	0	0	0	0
MD	2009	230	2	2	0	0	0	0	0	0	0	0	0	0	0	0
MD	2010	232	1	1	0	0	0	0	0	0	0	0	0	0	0	0
MD	2011	231	6	0	5	0	0	0	0	0	0	1	0	0	0	0
MD	2012	230	11	0	9	0	0	1	0	0	0	1	0	0	0	0
MD	2013	231	11	1	8	0	0	2	0	0	0	0	0	0	0	0
MD	2014	228	13	0	9	0	0	4	0	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
PA	2006	722	83	0	37	0	5	12	27	0	0	2	0	0	0	0
PA	2007	722	117	0	73	0	5	12	27	0	0	0	0	0	0	0
PA	2008	720	108	0	68	0	1	16	21	0	0	0	0	0	0	2
PA	2009	721	132	0	85	0	4	16	26	0	0	1	0	0	0	0
PA	2010	716	91	0	57	0	2	14	18	0	0	0	0	0	0	0
PA	2011	713	80	0	54	0	2	8	16	0	0	0	0	0	0	0
PA	2012	710	17	0	4	0	0	4	8	0	0	1	0	0	0	0
PA	2013	708	51	0	34	0	0	5	12	0	0	0	0	0	0	0
PA	2014	704	46	0	27	0	0	7	12	0	0	0	0	0	0	0
VA	2006	282	23	0	8	0	0	14	0	0	0	1	0	0	0	0
VA	2007	280	30	0	20	0	1	8	0	0	0	1	0	0	0	0
VA	2008	284	26	0	22	0	1	3	0	0	0	0	0	0	0	0
VA	2009	282	20	0	14	0	0	6	0	0	0	0	0	0	0	0
VA	2010	290	14	0	9	0	0	4	0	0	0	1	0	0	0	0
VA	2011	287	29	0	16	0	4	7	0	0	0	2	0	0	0	0
VA	2012	288	21	0	15	0	0	5	0	0	1	0	0	0	0	0
VA	2013	290	17	0	13	0	1	3	0	0	0	0	0	0	0	0
VA	2014	288	21	0	13	0	0	8	0	0	0	0	0	0	0	0
WV	2006	132	10	0	3	0	3	4	0	0	0	0	0	0	0	0
WV	2007	132	26	1	13	0	4	8	0	0	0	0	0	0	0	0
WV	2008	130	23	0	10	0	3	10	0	0	0	0	0	0	0	0
WV	2009	129	19	0	7	0	3	9	0	0	0	0	0	0	0	0
WV	2010	126	33	0	18	0	1	14	0	0	0	0	0	0	0	0
WV	2011	127	27	1	17	0	0	9	0	0	0	0	0	0	0	0
WV	2012	126	17	0	12	0	1	4	0	0	0	0	0	0	0	0
WV	2013	126	12	0	10	0	0	2	0	0	0	0	0	0	0	0
WV	2014	127	17	0	14	0	0	3	0	0	0	0	0	0	0	0
All	2006	1435	138	2	55	0	11	40	27	0	0	3	0	0	0	0
All	2007	1433	204	7	122	0	11	35	27	0	0	1	0	0	0	1
All	2008	1429	179	2	106	0	7	39	21	2	0	0	0	0	0	2
All	2009	1428	185	2	112	0	8	36	26	0	0	1	0	0	0	0
All	2010	1430	156	1	96	0	3	37	18	0	0	1	0	0	0	0
All	2011	1423	167	1	109	0	6	32	16	0	0	3	0	0	0	0
All	2012	1420	95	0	57	0	1	26	8	0	1	2	0	0	0	0
All	2013	1420	115	1	77	0	2	23	12	0	0	0	0	0	0	0
All	2014	1412	113	0	70	0	1	30	12	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

2.2.2.4 Region 4

Table 5: Region 4 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
AL	2006	231	78	0	28	0	8	5	34	1	0	2	0	0	0	0
AL	2007	234	44	0	36	0	5	2	0	1	0	0	0	0	0	0
AL	2008	232	47	0	40	0	3	2	1	1	0	0	0	0	0	0
AL	2009	232	33	0	26	0	5	1	0	0	1	0	0	0	0	0
AL	2010	230	28	0	20	0	6	1	0	1	0	0	0	0	0	0
AL	2011	228	41	0	32	0	5	1	0	2	0	1	0	0	0	0
AL	2012	229	17	0	13	0	2	1	0	0	0	1	0	0	0	0
AL	2013	228	18	0	13	0	2	2	0	1	0	0	0	0	0	0
AL	2014	227	27	0	21	0	4	0	0	2	0	0	0	0	0	0
FL	2006	688	55	0	30	0	7	15	0	0	0	1	2	0	0	0
FL	2007	681	117	0	95	0	8	13	0	0	0	0	1	0	0	0
FL	2008	682	114	0	88	0	18	6	0	0	0	1	1	0	0	0
FL	2009	678	72	0	67	0	3	1	0	0	0	1	0	0	0	0
FL	2010	682	122	0	106	0	12	1	0	0	1	2	0	0	0	0
FL	2011	686	113	0	102	0	5	4	0	0	1	1	0	0	0	0
FL	2012	684	131	0	116	0	7	6	0	2	0	0	0	0	0	0
FL	2013	691	122	0	100	0	10	6	0	3	1	2	0	0	0	0
FL	2014	689	147	0	123	1	16	4	0	1	0	2	0	0	0	0
GA	2006	361	59	0	30	0	4	11	0	12	0	0	2	0	0	0
GA	2007	362	110	0	81	0	8	7	0	7	2	0	5	0	0	0
GA	2008	360	125	0	83	0	12	4	1	18	2	0	5	0	0	0
GA	2009	360	85	0	60	0	5	2	0	12	0	0	6	0	0	0
GA	2010	360	57	0	40	0	10	1	0	4	0	0	2	0	0	0
GA	2011	360	34	0	30	0	1	0	0	2	0	0	1	0	0	0
GA	2012	359	42	0	32	0	5	1	0	2	0	0	2	0	0	0
GA	2013	358	20	0	16	0	3	1	0	0	0	0	0	0	0	0
GA	2014	357	36	0	32	0	3	1	0	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
KY	2006	297	28	0	13	0	11	1	0	0	1	2	0	0	0	0
KY	2007	292	50	0	31	0	16	2	0	1	0	0	0	0	0	0
KY	2008	290	82	0	54	0	22	3	0	1	2	0	0	0	0	0
KY	2009	287	50	0	41	0	7	2	0	0	0	0	0	0	0	0
KY	2010	286	31	0	28	0	3	0	0	0	0	0	0	0	0	0
KY	2011	285	75	0	51	0	21	1	0	1	1	0	0	0	0	0
KY	2012	285	81	0	65	0	12	3	0	1	0	0	0	0	0	0
KY	2013	286	62	0	44	0	12	3	0	3	0	0	0	0	0	0
KY	2014	288	85	0	65	0	17	0	0	2	0	1	0	0	0	0
MS	2006	204	7	0	2	0	1	1	3	0	0	0	0	0	0	0
MS	2007	206	37	0	28	0	5	2	2	0	0	0	0	0	0	0
MS	2008	207	65	0	47	0	12	0	5	0	1	0	0	0	0	0
MS	2009	203	32	0	24	0	4	2	2	0	0	0	0	0	0	0
MS	2010	203	30	0	22	0	6	0	2	0	0	0	0	0	0	0
MS	2011	203	50	0	25	0	6	2	16	1	0	0	0	0	0	0
MS	2012	207	46	0	35	0	7	2	2	0	0	0	0	0	0	0
MS	2013	206	26	0	16	0	5	2	0	3	0	0	0	0	0	0
MS	2014	205	23	0	14	0	6	1	1	1	0	0	0	0	0	0
NC	2006	424	59	1	36	0	7	3	7	4	0	1	0	0	0	0
NC	2007	422	147	0	110	0	5	9	18	5	0	0	0	0	0	0
NC	2008	425	112	0	87	0	7	3	3	11	1	0	0	0	0	0
NC	2009	426	118	0	93	0	5	5	5	9	0	1	0	0	0	0
NC	2010	423	94	0	66	0	11	1	6	10	0	0	0	0	0	0
NC	2011	423	109	0	76	0	2	1	20	10	0	0	0	0	0	0
NC	2012	422	112	0	78	0	9	1	21	2	1	0	0	0	0	0
NC	2013	423	76	0	55	0	8	3	3	7	0	0	0	0	0	0
NC	2014	424	103	0	76	0	10	2	5	10	0	0	0	0	0	0
SC	2006	178	20	0	17	0	3	0	0	0	0	0	0	0	0	0
SC	2007	177	33	0	25	0	7	1	0	0	0	0	0	0	0	0
SC	2008	176	40	0	33	0	6	0	0	1	0	0	0	0	0	0
SC	2009	182	33	0	28	0	5	0	0	0	0	0	0	0	0	0
SC	2010	186	33	0	26	0	4	0	0	3	0	0	0	0	0	0
SC	2011	189	36	0	28	0	8	0	0	0	0	0	0	0	0	0
SC	2012	189	45	0	39	0	3	1	1	1	0	0	0	0	0	0
SC	2013	189	32	0	28	0	2	1	0	0	1	0	0	0	0	0
SC	2014	188	44	0	40	0	3	0	0	1	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
TN	2006	331	24	0	14	0	8	0	1	0	1	0	0	0	0	0
TN	2007	331	86	0	57	0	21	1	0	0	5	2	0	0	0	0
TN	2008	324	69	0	48	0	18	0	0	1	1	0	0	1	0	0
TN	2009	329	41	0	23	0	13	1	1	1	2	0	0	0	0	0
TN	2010	324	23	0	17	0	4	0	0	0	2	0	0	0	0	0
TN	2011	325	35	0	21	0	13	0	0	0	1	0	0	0	0	0
TN	2012	323	42	0	31	0	8	1	0	1	1	0	0	0	0	0
TN	2013	325	33	0	22	0	7	1	0	1	2	0	0	0	0	0
TN	2014	323	38	0	24	0	11	0	0	2	0	1	0	0	0	0
All	2006	2714	330	1	170	0	49	36	45	17	2	6	4	0	0	0
All	2007	2705	624	0	463	0	75	37	20	14	7	2	6	0	0	0
All	2008	2696	654	0	480	0	98	18	10	33	7	1	6	1	0	0
All	2009	2697	464	0	362	0	47	14	8	22	3	2	6	0	0	0
All	2010	2694	418	0	325	0	56	4	8	18	3	2	2	0	0	0
All	2011	2699	493	0	365	0	61	9	36	16	3	2	1	0	0	0
All	2012	2698	516	0	409	0	53	16	24	9	2	1	2	0	0	0
All	2013	2706	389	0	294	0	49	19	3	18	4	2	0	0	0	0
All	2014	2701	503	0	395	1	70	8	6	19	0	4	0	0	0	0

2.2.2.5 Region 5

Table 6: Region 5 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
IL	2006	807	448	0	173	0	35	57	179	0	0	1	3	0	0	0
IL	2007	804	626	0	278	1	26	47	270	1	0	0	3	0	0	0
IL	2008	806	566	0	224	0	29	39	268	3	0	2	1	0	0	0
IL	2009	798	512	0	217	0	22	34	236	0	1	2	0	0	0	0
IL	2010	795	289	0	200	0	18	32	37	0	0	1	1	0	0	0
IL	2011	789	218	0	163	0	14	32	4	0	1	2	2	0	0	0
IL	2012	783	151	0	116	0	13	9	9	0	1	1	2	0	0	0
IL	2013	773	142	0	112	0	13	14	3	0	0	0	0	0	0	0
IL	2014	769	133	0	100	0	18	8	6	0	0	0	1	0	0	0
IN	2006	524	238	0	143	0	3	65	15	10	0	2	0	0	0	0
IN	2007	520	331	0	221	0	12	59	32	6	0	0	1	0	0	0
IN	2008	516	319	0	202	0	9	47	48	13	0	0	0	0	0	0
IN	2009	513	206	0	145	0	7	20	25	7	1	1	0	0	0	0
IN	2010	511	136	0	106	0	4	14	10	2	0	0	0	0	0	0
IN	2011	512	155	0	114	0	3	16	16	6	0	0	0	0	0	0
IN	2012	520	80	0	52	0	3	7	11	7	0	0	0	0	0	0
IN	2013	524	77	0	62	0	0	10	1	3	0	0	0	0	0	1
IN	2014	530	96	0	78	0	0	4	6	8	0	0	0	0	0	0
MI	2006	429	223	0	66	0	32	65	22	34	2	0	2	0	0	0
MI	2007	435	400	3	215	0	52	51	31	38	2	5	2	1	0	0
MI	2008	430	323	3	202	0	45	39	15	18	1	0	0	0	0	0
MI	2009	430	322	1	230	0	38	42	5	5	0	1	0	0	0	0
MI	2010	431	396	0	294	0	40	54	3	5	0	0	0	0	0	0
MI	2011	429	402	0	339	0	36	24	2	1	0	0	0	0	0	0
MI	2012	432	265	1	223	0	17	22	0	0	0	1	0	0	0	1
MI	2013	433	195	1	164	0	21	9	0	0	0	0	0	0	0	0
MI	2014	436	132	0	113	0	11	7	0	1	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
MN	2006	401	170	0	36	0	4	17	0	0	0	0	113	0	0	0
MN	2007	396	99	0	38	0	1	11	0	0	0	0	49	0	0	0
MN	2008	394	126	0	61	0	2	11	0	0	0	0	52	0	0	0
MN	2009	389	87	0	45	0	0	5	0	0	0	0	37	0	0	0
MN	2010	386	127	0	62	0	0	7	0	0	0	0	58	0	0	0
MN	2011	383	101	0	52	0	0	6	0	0	0	0	43	0	0	0
MN	2012	383	98	0	51	0	3	8	0	0	0	0	36	0	0	0
MN	2013	381	58	0	22	0	1	9	0	0	0	0	26	0	0	0
MN	2014	380	55	0	22	0	1	4	0	0	0	0	28	0	0	0
OH	2006	973	75	0	47	0	10	13	3	0	0	0	1	0	0	1
OH	2007	978	188	0	125	0	8	16	33	0	0	1	5	0	0	0
OH	2008	963	184	0	141	0	2	19	19	0	0	2	1	0	0	0
OH	2009	970	178	0	154	0	0	14	9	0	0	1	0	0	0	0
OH	2010	967	198	0	158	0	1	15	24	0	0	0	0	0	0	0
OH	2011	963	194	0	146	0	4	17	27	0	0	0	0	0	0	0
OH	2012	961	185	0	148	0	7	17	9	0	1	1	2	0	0	0
OH	2013	962	169	0	148	0	4	12	2	0	1	1	1	0	0	0
OH	2014	960	162	0	144	0	4	13	0	0	1	0	0	0	0	0
WI	2006	402	61	0	37	0	12	6	1	5	0	0	0	0	0	0
WI	2007	402	198	0	109	0	20	2	30	37	0	0	0	0	0	0
WI	2008	397	223	0	102	0	29	2	11	79	0	0	0	0	0	0
WI	2009	398	215	0	117	0	22	4	1	70	0	0	1	0	0	0
WI	2010	396	159	0	102	0	22	1	1	32	0	1	0	0	0	0
WI	2011	396	130	0	87	0	14	3	0	26	0	0	0	0	0	0
WI	2012	394	116	0	88	0	10	4	0	14	0	0	0	0	0	0
WI	2013	399	94	0	70	0	15	1	0	8	0	0	0	0	0	0
WI	2014	394	96	0	73	0	16	0	0	7	0	0	0	0	0	0
All	2006	3536	1215	0	502	0	96	223	220	49	2	3	119	0	0	1
All	2007	3535	1842	3	986	1	119	186	396	82	2	6	60	1	0	0
All	2008	3506	1741	3	932	0	116	157	361	113	1	4	54	0	0	0
All	2009	3498	1520	1	908	0	89	119	276	82	2	5	38	0	0	0
All	2010	3486	1305	0	922	0	85	123	75	39	0	2	59	0	0	0
All	2011	3472	1200	0	901	0	71	98	49	33	1	2	45	0	0	0
All	2012	3473	895	1	678	0	53	67	29	21	2	3	40	0	0	1
All	2013	3472	735	1	578	0	54	55	6	11	1	1	27	0	0	1
All	2014	3469	674	0	530	0	50	36	12	16	1	0	29	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

2.2.2.6 Region 6

Table 7: Region 6 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
AR	2006	249	72	0	35	0	28	7	0	0	2	0	0	0	0	0
AR	2007	242	138	0	109	0	27	2	0	0	0	0	0	0	0	0
AR	2008	242	113	0	84	0	25	3	0	0	1	0	0	0	0	0
AR	2009	232	144	0	114	0	25	4	0	0	1	0	0	0	0	0
AR	2010	248	179	0	137	0	33	8	0	0	1	0	0	0	0	0
AR	2011	240	132	0	107	0	20	2	2	1	0	0	0	0	0	0
AR	2012	239	121	0	92	0	29	0	0	0	0	0	0	0	0	0
AR	2013	237	65	0	47	0	17	0	0	1	0	0	0	0	0	0
AR	2014	234	65	0	50	0	14	1	0	0	0	0	0	0	0	0
LA	2006	302	69	0	35	0	10	21	0	0	0	3	0	0	0	0
LA	2007	294	116	0	91	0	9	16	0	0	0	0	0	0	0	0
LA	2008	287	106	0	74	0	11	18	1	1	0	1	0	0	0	0
LA	2009	287	92	0	71	0	9	10	0	0	0	2	0	0	0	0
LA	2010	284	94	0	68	0	12	12	0	1	1	0	0	0	0	0
LA	2011	283	107	0	82	0	20	5	0	0	0	0	0	0	0	0
LA	2012	281	113	0	86	0	21	6	0	0	0	0	0	0	0	0
LA	2013	280	45	0	33	0	5	6	0	0	0	1	0	0	0	0
LA	2014	280	29	0	21	0	5	3	0	0	0	0	0	0	0	0
NM	2006	74	29	0	18	0	6	5	0	0	0	0	0	0	0	0
NM	2007	72	38	0	25	0	4	9	0	0	0	0	0	0	0	0
NM	2008	74	44	0	29	0	5	9	0	0	1	0	0	0	0	0
NM	2009	74	19	0	17	0	2	0	0	0	0	0	0	0	0	0
NM	2010	71	45	0	39	0	6	0	0	0	0	0	0	0	0	0
NM	2011	72	39	0	31	0	7	0	1	0	0	0	0	0	0	0
NM	2012	73	42	0	33	0	8	0	0	0	1	0	0	0	0	0
NM	2013	74	19	0	13	0	5	0	0	0	0	1	0	0	0	0
NM	2014	74	31	0	23	0	6	1	0	0	1	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
OK	2006	360	86	0	51	0	8	19	0	6	0	2	0	0	0	0
OK	2007	348	136	0	94	0	7	19	0	15	0	1	0	0	0	0
OK	2008	336	159	0	108	0	13	25	0	9	1	3	0	0	0	0
OK	2009	329	152	0	99	0	9	26	0	16	0	2	0	0	0	0
OK	2010	326	127	0	79	1	12	17	0	16	0	2	0	0	0	0
OK	2011	320	175	0	115	0	18	23	0	18	1	0	0	0	0	0
OK	2012	317	167	0	109	0	27	19	0	8	3	1	0	0	0	0
OK	2013	316	126	0	68	0	22	14	0	22	0	0	0	0	0	0
OK	2014	315	144	0	80	0	23	20	0	21	0	0	0	0	0	0
TX	2006	1173	451	0	192	0	72	18	161	5	1	1	0	1	0	0
TX	2007	1197	524	0	293	0	77	2	149	1	0	2	0	0	0	0
TX	2008	1203	577	0	328	0	85	2	156	2	2	2	0	0	0	0
TX	2009	1208	611	0	358	0	65	12	172	3	0	1	0	0	0	0
TX	2010	1214	493	0	325	0	71	8	86	0	1	2	0	0	0	0
TX	2011	1240	495	0	324	0	69	5	94	1	0	2	0	0	0	0
TX	2012	1236	475	0	293	0	85	4	93	0	0	0	0	0	0	0
TX	2013	1240	493	0	287	0	98	2	100	4	1	1	0	0	0	0
TX	2014	1249	581	1	344	0	103	11	115	3	2	2	0	0	0	0
All	2006	2158	707	0	331	0	124	70	161	11	3	6	0	1	0	0
All	2007	2153	952	0	612	0	124	48	149	16	0	3	0	0	0	0
All	2008	2142	999	0	623	0	139	57	157	12	5	6	0	0	0	0
All	2009	2130	1018	0	659	0	110	52	172	19	1	5	0	0	0	0
All	2010	2143	938	0	648	1	134	45	86	17	3	4	0	0	0	0
All	2011	2155	948	0	659	0	134	35	97	20	1	2	0	0	0	0
All	2012	2146	918	0	613	0	170	29	93	8	4	1	0	0	0	0
All	2013	2147	748	0	448	0	147	22	100	27	1	3	0	0	0	0
All	2014	2152	850	1	518	0	151	36	115	24	3	2	0	0	0	0

2.2.2.7 Region 7

Table 8: Region 7 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
IA	2006	461	53	0	20	0	14	16	1	0	1	1	0	0	0	0
IA	2007	461	90	1	48	0	18	20	0	0	2	1	0	0	0	0
IA	2008	458	88	0	49	0	16	22	0	0	1	0	0	0	0	0
IA	2009	458	52	0	24	0	11	17	0	0	0	0	0	0	0	0
IA	2010	450	64	0	39	0	6	19	0	0	0	0	0	0	0	0
IA	2011	447	54	0	32	0	5	17	0	0	0	0	0	0	0	0
IA	2012	446	54	0	26	0	19	9	0	0	0	0	0	0	0	0
IA	2013	445	70	0	52	0	6	11	1	0	0	0	0	0	0	0
IA	2014	451	73	0	39	1	15	5	9	3	1	0	0	0	0	0
KS	2006	355	106	0	9	0	60	25	0	0	2	0	10	0	0	0
KS	2007	353	91	0	27	0	34	18	0	0	2	0	10	0	0	0
KS	2008	357	68	0	14	0	37	14	0	0	3	0	0	0	0	0
KS	2009	345	57	0	22	0	25	9	0	0	1	0	0	0	0	0
KS	2010	348	48	0	14	0	21	13	0	0	0	0	0	0	0	0
KS	2011	347	57	1	16	0	33	6	0	0	0	0	0	0	0	1
KS	2012	351	36	0	10	0	20	4	0	0	0	1	0	0	0	1
KS	2013	352	82	0	40	0	22	8	11	0	1	0	0	0	0	0
KS	2014	358	89	0	38	0	30	8	12	0	0	0	0	1	0	0
MO	2006	527	72	0	12	0	32	27	0	0	0	1	0	0	0	0
MO	2007	531	150	0	59	0	58	31	0	0	1	1	0	0	0	0
MO	2008	524	188	0	77	0	82	27	0	0	1	1	0	0	0	0
MO	2009	522	136	0	58	0	58	19	0	1	0	0	0	0	0	0
MO	2010	520	79	0	41	0	27	11	0	0	0	0	0	0	0	0
MO	2011	519	93	0	55	0	25	10	0	0	1	1	1	0	0	0
MO	2012	517	62	0	36	0	18	6	1	0	0	0	1	0	0	0
MO	2013	517	64	0	33	0	16	12	3	0	0	0	0	0	0	0
MO	2014	516	54	0	27	0	17	9	1	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
NE	2006	227	23	0	3	0	13	7	0	0	0	0	0	0	0	0
NE	2007	229	38	0	12	0	19	7	0	0	0	0	0	0	0	0
NE	2008	227	28	0	13	0	13	2	0	0	0	0	0	0	0	0
NE	2009	230	29	0	9	0	16	4	0	0	0	0	0	0	0	0
NE	2010	227	20	0	4	0	15	1	0	0	0	0	0	0	0	0
NE	2011	222	24	0	8	0	12	4	0	0	0	0	0	0	0	0
NE	2012	222	15	0	2	0	10	3	0	0	0	0	0	0	0	0
NE	2013	218	20	0	6	0	9	5	0	0	0	0	0	0	0	0
NE	2014	222	20	0	8	0	11	1	0	0	0	0	0	0	0	0
All	2006	1570	254	0	44	0	119	75	1	0	3	2	10	0	0	0
All	2007	1574	369	1	146	0	129	76	0	0	5	2	10	0	0	0
All	2008	1566	372	0	153	0	148	65	0	0	5	1	0	0	0	0
All	2009	1555	274	0	113	0	110	49	0	1	1	0	0	0	0	0
All	2010	1545	211	0	98	0	69	44	0	0	0	0	0	0	0	0
All	2011	1535	228	1	111	0	75	37	0	0	1	1	1	0	0	1
All	2012	1536	167	0	74	0	67	22	1	0	0	1	1	0	0	1
All	2013	1532	236	0	131	0	53	36	15	0	1	0	0	0	0	0
All	2014	1547	236	0	112	1	73	23	22	3	1	0	0	1	0	0

2.2.2.8 Region 8

Table 9: Region 8 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
CO	2006	215	53	0	15	0	28	3	0	4	0	0	3	0	0	0
CO	2007	215	94	0	47	0	29	4	0	9	0	1	2	2	0	0
CO	2008	214	65	0	47	0	15	2	0	0	0	0	1	0	0	0
CO	2009	213	61	0	41	0	17	2	0	0	0	1	0	0	0	0
CO	2010	213	55	0	37	0	13	2	0	0	0	3	0	0	0	0
CO	2011	211	56	0	35	0	13	1	0	1	0	3	2	1	0	0
CO	2012	211	59	0	40	0	12	1	0	0	0	5	1	0	0	0
CO	2013	217	59	0	46	0	8	3	0	0	0	2	0	0	0	0
CO	2014	214	40	0	26	0	11	3	0	0	0	0	0	0	0	0
MT	2006	97	10	0	4	0	0	0	0	4	0	0	2	0	0	0
MT	2007	95	23	0	13	0	5	1	0	2	0	0	2	0	0	0
MT	2008	92	10	0	4	0	3	2	0	1	0	0	0	0	0	0
MT	2009	90	4	0	1	0	1	1	0	1	0	0	0	0	0	0
MT	2010	87	3	0	0	0	1	0	0	0	0	0	2	0	0	0
MT	2011	85	5	0	0	0	3	0	0	1	0	0	1	0	0	0
MT	2012	84	4	0	0	0	1	1	0	0	0	1	1	0	0	0
MT	2013	83	10	0	1	0	5	0	1	1	0	0	2	0	0	0
MT	2014	83	16	0	8	0	4	0	1	1	0	0	2	0	0	0
ND	2006	83	5	4	0	0	0	0	0	1	0	0	0	0	0	0
ND	2007	83	4	4	0	0	0	0	0	0	0	0	0	0	0	0
ND	2008	83	1	1	0	0	0	0	0	0	0	0	0	0	0	0
ND	2009	84	1	1	0	0	0	0	0	0	0	0	0	0	0	0
ND	2010	87	1	1	0	0	0	0	0	0	0	0	0	0	0	0
ND	2011	85	2	2	0	0	0	0	0	0	0	0	0	0	0	0
ND	2012	84	1	1	0	0	0	0	0	0	0	0	0	0	0	0
ND	2013	82	2	2	0	0	0	0	0	0	0	0	0	0	0	0
ND	2014	80	4	4	0	0	0	0	0	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
SD	2006	111	29	0	0	0	1	1	19	1	0	0	7	0	0	0
SD	2007	110	30	0	0	0	2	1	21	1	0	0	5	0	0	0
SD	2008	112	29	0	0	0	1	0	27	0	0	0	1	0	0	0
SD	2009	110	41	0	0	0	0	0	40	0	0	0	1	0	0	0
SD	2010	110	72	0	0	0	1	0	71	0	0	0	0	0	0	0
SD	2011	112	86	0	0	0	1	1	81	1	0	0	2	0	0	0
SD	2012	113	73	0	0	0	1	0	69	0	0	1	2	0	0	0
SD	2013	111	93	0	0	0	2	1	88	1	0	0	1	0	0	0
SD	2014	112	114	0	0	0	1	0	107	3	0	0	3	0	0	0
UT	2006	95	3	0	0	0	0	1	0	1	0	0	1	0	0	0
UT	2007	94	5	0	3	0	0	0	0	1	0	0	1	0	0	0
UT	2008	93	9	0	9	0	0	0	0	0	0	0	0	0	0	0
UT	2009	98	17	0	14	0	1	0	0	1	0	0	1	0	0	0
UT	2010	101	16	0	16	0	0	0	0	0	0	0	0	0	0	0
UT	2011	100	22	0	22	0	0	0	0	0	0	0	0	0	0	0
UT	2012	102	32	0	26	0	2	1	0	1	0	0	2	0	0	0
UT	2013	101	21	0	20	0	1	0	0	0	0	0	0	0	0	0
UT	2014	99	17	0	15	0	1	0	0	1	0	0	0	0	0	0
WY	2006	39	7	0	0	0	4	2	0	1	0	0	0	0	0	0
WY	2007	39	6	0	0	0	3	3	0	0	0	0	0	0	0	0
WY	2008	39	5	0	0	0	4	1	0	0	0	0	0	0	0	0
WY	2009	39	2	0	0	0	2	0	0	0	0	0	0	0	0	0
WY	2010	39	5	0	0	0	4	1	0	0	0	0	0	0	0	0
WY	2011	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WY	2012	39	3	0	0	0	3	0	0	0	0	0	0	0	0	0
WY	2013	38	2	0	0	0	2	0	0	0	0	0	0	0	0	0
WY	2014	38	3	0	0	0	2	1	0	0	0	0	0	0	0	0
All	2006	640	107	4	19	0	33	7	19	12	0	0	13	0	0	0
All	2007	636	162	4	63	0	39	9	21	13	0	1	10	2	0	0
All	2008	633	119	1	60	0	23	5	27	1	0	0	2	0	0	0
All	2009	634	126	1	56	0	21	3	40	2	0	1	2	0	0	0
All	2010	637	152	1	53	0	19	3	71	0	0	3	2	0	0	0
All	2011	631	171	2	57	0	17	2	81	3	0	3	5	1	0	0
All	2012	633	172	1	66	0	19	3	69	1	0	7	6	0	0	0
All	2013	632	187	2	67	0	18	4	89	2	0	2	3	0	0	0
All	2014	626	194	4	49	0	19	4	108	5	0	0	5	0	0	0

2.2.2.9 Region 9

Table 10: Region 9 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
AZ	2006	136	41	0	15	0	13	1	12	0	0	0	0	0	0	0
AZ	2007	136	48	0	23	0	11	0	13	0	1	0	0	0	0	0
AZ	2008	138	71	0	30	0	18	0	23	0	0	0	0	0	0	0
AZ	2009	136	67	0	26	0	15	2	24	0	0	0	0	0	0	0
AZ	2010	138	29	0	10	1	5	0	12	1	0	0	0	0	0	0
AZ	2011	143	27	0	11	0	8	0	8	0	0	0	0	0	0	0
AZ	2012	146	29	0	12	1	6	1	8	0	0	1	0	0	0	0
AZ	2013	145	21	0	9	0	4	3	5	0	0	0	0	0	0	0
AZ	2014	145	32	0	17	0	6	1	8	0	0	0	0	0	0	0
CA	2006	1296	143	0	56	0	54	7	8	3	1	5	9	0	0	0
CA	2007	1283	200	0	93	0	42	15	24	1	2	4	19	0	0	0
CA	2008	1271	252	0	121	0	51	10	48	7	0	0	15	0	0	0
CA	2009	1255	228	0	76	0	33	5	94	15	0	0	4	1	0	0
CA	2010	1245	220	0	61	0	28	5	98	19	0	0	9	0	0	0
CA	2011	1239	203	1	65	1	28	10	80	14	0	2	2	0	0	0
CA	2012	1237	215	1	57	0	22	31	84	17	0	0	3	0	0	0
CA	2013	1235	166	1	94	1	31	25	8	4	0	0	2	0	0	0
CA	2014	1230	165	1	99	0	11	49	1	0	0	4	0	0	0	0
GU	2006	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2007	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2008	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2009	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2010	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2011	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2012	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2013	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GU	2014	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Nursing Home Enforcement Reports: Enforcement Remedies

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
HI	2006	49	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HI	2007	47	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HI	2008	48	7	0	6	0	1	0	0	0	0	0	0	0	0	0
HI	2009	49	6	0	4	0	2	0	0	0	0	0	0	0	0	0
HI	2010	48	1	0	1	0	0	0	0	0	0	0	0	0	0	0
HI	2011	50	3	0	2	0	1	0	0	0	0	0	0	0	0	0
HI	2012	49	4	0	2	0	2	0	0	0	0	0	0	0	0	0
HI	2013	48	3	0	2	0	1	0	0	0	0	0	0	0	0	0
HI	2014	46	6	0	5	0	0	1	0	0	0	0	0	0	0	0
NV	2006	47	43	0	5	0	5	1	2	30	0	0	0	0	0	0
NV	2007	48	24	0	5	0	1	0	1	17	0	0	0	0	0	0
NV	2008	48	15	0	9	0	1	1	0	4	0	0	0	0	0	0
NV	2009	49	13	0	5	0	1	2	1	4	0	0	0	0	0	0
NV	2010	51	10	0	5	0	1	0	0	4	0	0	0	0	0	0
NV	2011	51	33	0	5	0	0	7	0	20	1	0	0	0	0	0
NV	2012	51	7	0	5	0	2	0	0	0	0	0	0	0	0	0
NV	2013	52	13	0	9	0	1	3	0	0	0	0	0	0	0	0
NV	2014	53	11	0	7	0	2	2	0	0	0	0	0	0	0	0
All	2006	1529	227	0	76	0	72	9	22	33	1	5	9	0	0	0
All	2007	1515	272	0	121	0	54	15	38	18	3	4	19	0	0	0
All	2008	1506	345	0	166	0	71	11	71	11	0	0	15	0	0	0
All	2009	1490	314	0	111	0	51	9	119	19	0	0	4	1	0	0
All	2010	1483	260	0	77	1	34	5	110	24	0	0	9	0	0	0
All	2011	1484	266	1	83	1	37	17	88	34	1	2	2	0	0	0
All	2012	1484	255	1	76	1	32	32	92	17	0	1	3	0	0	0
All	2013	1481	203	1	114	1	37	31	13	4	0	0	2	0	0	0
All	2014	1475	214	1	128	0	19	53	9	0	0	4	0	0	0	0

2.2.2.10 Region 10

Table 11: Region 10 - Enforcement Remedies In Effect for All Surveys by State

State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
AK	2006	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AK	2007	15	1	0	0	0	1	0	0	0	0	0	0	0	0	0
AK	2008	15	3	0	2	0	1	0	0	0	0	0	0	0	0	0
AK	2009	15	2	0	2	0	0	0	0	0	0	0	0	0	0	0
AK	2010	15	12	0	11	0	1	0	0	0	0	0	0	0	0	0
AK	2011	15	7	0	5	0	2	0	0	0	0	0	0	0	0	0
AK	2012	16	2	0	1	0	1	0	0	0	0	0	0	0	0	0
AK	2013	17	4	0	3	0	1	0	0	0	0	0	0	0	0	0
AK	2014	18	10	0	9	0	1	0	0	0	0	0	0	0	0	0
ID	2006	80	12	0	4	0	8	0	0	0	0	0	0	0	0	0
ID	2007	81	13	0	6	0	6	0	0	0	1	0	0	0	0	0
ID	2008	78	16	0	9	0	6	0	1	0	0	0	0	0	0	0
ID	2009	79	17	0	11	0	4	0	2	0	0	0	0	0	0	0
ID	2010	80	12	0	5	0	7	0	0	0	0	0	0	0	0	0
ID	2011	79	28	0	10	0	11	3	3	0	0	0	1	0	0	0
ID	2012	79	21	0	11	0	8	1	0	0	1	0	0	0	0	0
ID	2013	79	34	0	23	0	9	2	0	0	0	0	0	0	0	0
ID	2014	78	43	0	35	0	5	3	0	0	0	0	0	0	0	0
OR	2006	141	74	0	24	0	5	1	42	2	0	0	0	0	0	0
OR	2007	138	129	0	84	0	2	3	38	2	0	0	0	0	0	0
OR	2008	138	83	0	63	0	1	3	15	1	0	0	0	0	0	0
OR	2009	138	74	0	70	0	1	1	1	1	0	0	0	0	0	0
OR	2010	138	53	0	52	0	0	1	0	0	0	0	0	0	0	0
OR	2011	138	83	0	82	0	1	0	0	0	0	0	0	0	0	0
OR	2012	141	44	0	39	0	2	2	1	0	0	0	0	0	0	0
OR	2013	139	55	0	52	0	2	1	0	0	0	0	0	0	0	0
OR	2014	141	37	0	36	0	1	0	0	0	0	0	0	0	0	0

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State	Calendar Year	Active Providers	Total Enforcement Remedies In Effect	CMS-Approved Alternative or Additional State Remedy	Civil Money Penalty	Denial of Payment for All Individuals	Discretionary Denial of Payment for New Admissions	Mandatory Denial of Payment (New Admissions 3-months)	Directed In Service Training	Directed Plan of Correction	Discretionary Termination	Mandatory Termination	State Monitoring	Temporary Management	Transfer of Residents	Transfer of Residents/ Closure of Facility
WA	2006	246	18	0	0	0	14	1	0	0	0	0	3	0	0	0
WA	2007	245	22	0	0	0	18	0	0	0	1	0	3	0	0	0
WA	2008	240	33	0	0	0	24	2	0	0	0	0	7	0	0	0
WA	2009	238	13	0	0	0	7	2	0	0	0	1	3	0	0	0
WA	2010	232	15	0	2	0	9	1	0	0	0	0	3	0	0	0
WA	2011	230	22	0	1	0	9	3	1	0	0	3	5	0	0	0
WA	2012	231	33	0	9	0	15	3	0	0	0	0	6	0	0	0
WA	2013	225	37	0	29	0	4	2	0	0	0	1	1	0	0	0
WA	2014	224	74	0	65	0	3	3	0	0	1	0	2	0	0	0
All	2006	482	104	0	28	0	27	2	42	2	0	0	3	0	0	0
All	2007	479	165	0	90	0	27	3	38	2	2	0	3	0	0	0
All	2008	471	135	0	74	0	32	5	16	1	0	0	7	0	0	0
All	2009	470	106	0	83	0	12	3	3	1	0	1	3	0	0	0
All	2010	465	92	0	70	0	17	2	0	0	0	0	3	0	0	0
All	2011	462	140	0	98	0	23	6	4	0	0	3	6	0	0	0
All	2012	467	100	0	60	0	26	6	1	0	1	0	6	0	0	0
All	2013	460	130	0	107	0	16	5	0	0	0	1	1	0	0	0
All	2014	461	164	0	145	0	10	6	0	0	1	0	2	0	0	0

2.3 Percent of Providers with Enforcement Remedies In Effect by Region

2.3.1 Description

Description	Details
Overview	This report includes, by region, the percent of providers with enforcement remedies in effect, as reported in CASPER (Certification And Survey Provider Enhanced Reports, a national federal database system).
Notes	This report provides information on remedies that actually took effect, rather than remedies that were initially imposed but never went into effect. A remedy may be imposed, but later rescinded and never take effect because the facility achieved substantial compliance prior to the effective date of the remedy or remedies imposed. "Substantial compliance" means a level of compliance with the federal requirements of participation such that any identified deficiencies pose no greater risk to resident health or safety than the potential for causing minimal harm (refer to 42 C.F.R. §488.301). A provider falls into one of the following types: providers with 1 remedy imposed, providers with 2 remedies, providers with 3 remedies, or providers with 4+ remedies. "Providers with any remedies" is the sum of those distinct categories.
Data Source	CASPER, health inspection and LSC standard and complaint surveys performed by State survey agencies
Date of Report	1/2016
Left Axis	Region
Left Axis Range	Calendar Year, 2006 to 2014
Horizontal Axis	Active Providers; % Active Providers With No Remedies; % Active Providers With Any Remedies; % Active Providers With 1 Remedy; % Active Providers With 2 Remedies; % Active Providers With 3 Remedies; % Active Providers With 4+ Remedies
Totals	All Regions (National)

2.3.2 Tables

Table 12: Percent of Providers with Enforcement Remedies In Effect by Region

Region	Calendar Year	Active Providers	% Active Providers With No Remedies	% Active Providers With Any Remedies	% Active Providers With 1 Remedy	% Active Providers With 2 Remedies	% Active Providers With 3 Remedies	% Active Providers With 4+ Remedies
1	2006	1027	73.4%	26.6%	16.0%	6.2%	2.0%	2.3%
1	2007	1014	79.0%	21.0%	12.8%	4.8%	1.7%	1.7%
1	2008	1004	79.9%	20.1%	14.2%	3.8%	1.0%	1.1%
1	2009	991	81.6%	18.4%	12.8%	2.9%	1.9%	0.7%
1	2010	983	78.7%	21.3%	14.3%	5.2%	0.9%	0.8%
1	2011	977	79.4%	20.6%	15.4%	3.7%	1.0%	0.5%
1	2012	969	78.1%	21.9%	17.4%	3.1%	0.6%	0.7%
1	2013	958	74.8%	25.2%	21.1%	2.9%	0.5%	0.6%
1	2014	951	76.3%	23.7%	18.8%	3.9%	0.7%	0.2%
2	2006	1029	89.4%	10.6%	5.0%	2.7%	1.8%	1.1%
2	2007	1028	86.6%	13.4%	7.9%	2.0%	1.8%	1.7%
2	2008	1020	85.7%	14.3%	9.3%	2.5%	1.3%	1.2%
2	2009	1013	91.0%	9.0%	5.3%	1.2%	0.6%	1.9%
2	2010	1009	93.2%	6.8%	3.5%	1.8%	1.0%	0.6%
2	2011	1008	91.4%	8.6%	5.8%	1.5%	0.5%	0.9%
2	2012	1009	95.8%	4.2%	1.7%	0.6%	1.1%	0.8%
2	2013	1009	90.7%	9.3%	5.9%	1.2%	0.7%	1.5%
2	2014	1007	93.1%	6.9%	3.9%	1.7%	0.8%	0.5%
3	2006	1435	90.6%	9.4%	6.1%	2.4%	0.8%	0.2%
3	2007	1433	89.3%	10.7%	7.3%	2.7%	0.3%	0.3%
3	2008	1429	90.6%	9.4%	6.4%	2.5%	0.3%	0.1%
3	2009	1428	91.3%	8.7%	6.4%	2.0%	0.3%	0.1%
3	2010	1430	91.4%	8.6%	5.9%	2.2%	0.2%	0.4%
3	2011	1423	92.4%	7.6%	5.1%	2.0%	0.4%	0.1%
3	2012	1420	91.4%	8.6%	6.3%	1.8%	0.4%	0.1%
3	2013	1420	95.2%	4.8%	3.2%	1.3%	0.1%	0.1%
3	2014	1412	93.9%	6.1%	4.6%	1.3%	0.1%	0.1%
4	2006	2714	85.1%	14.9%	10.5%	3.3%	0.9%	0.3%
4	2007	2705	84.8%	15.2%	9.5%	4.3%	0.9%	0.5%
4	2008	2696	83.8%	16.2%	10.3%	4.4%	0.7%	0.7%
4	2009	2697	87.4%	12.6%	9.3%	2.5%	0.4%	0.3%
4	2010	2694	88.8%	11.2%	8.1%	2.3%	0.6%	0.1%
4	2011	2699	87.1%	12.9%	9.0%	3.0%	0.6%	0.2%
4	2012	2698	86.8%	13.2%	9.3%	2.7%	0.9%	0.3%
4	2013	2706	89.8%	10.2%	7.5%	2.0%	0.7%	0.1%
4	2014	2701	87.3%	12.7%	9.0%	3.0%	0.6%	0.1%
5	2006	3536	74.7%	25.3%	13.7%	6.6%	3.3%	1.7%
5	2007	3535	73.9%	26.1%	12.0%	8.4%	2.9%	2.8%
5	2008	3506	73.3%	26.7%	13.5%	7.9%	3.0%	2.3%
5	2009	3498	76.2%	23.8%	12.5%	7.3%	2.4%	1.7%
5	2010	3486	77.7%	22.3%	13.3%	6.4%	1.6%	1.1%
5	2011	3472	78.1%	21.9%	13.7%	5.8%	1.7%	0.6%
5	2012	3473	83.4%	16.6%	11.1%	3.9%	1.1%	0.5%
5	2013	3472	84.4%	15.6%	11.3%	3.5%	0.6%	0.3%
5	2014	3469	86.1%	13.9%	9.9%	3.0%	0.8%	0.2%

Nursing Home Enforcement Reports: Enforcement Remedies

Region	Calendar Year	Active Providers	% Active Providers With No Remedies	% Active Providers With Any Remedies	% Active Providers With 1 Remedy	% Active Providers With 2 Remedies	% Active Providers With 3 Remedies	% Active Providers With 4+ Remedies
6	2006	2158	75.7%	24.3%	14.6%	7.0%	1.9%	0.8%
6	2007	2153	76.0%	24.0%	15.9%	5.8%	1.8%	0.5%
6	2008	2142	77.1%	22.9%	13.0%	6.7%	2.3%	0.9%
6	2009	2130	76.8%	23.2%	13.0%	7.2%	2.3%	0.8%
6	2010	2143	77.5%	22.5%	13.2%	7.0%	1.6%	0.8%
6	2011	2155	78.3%	21.7%	12.5%	7.1%	1.3%	0.9%
6	2012	2146	78.1%	21.9%	12.9%	6.8%	1.4%	0.7%
6	2013	2147	79.7%	20.3%	11.9%	6.1%	1.8%	0.6%
6	2014	2152	77.6%	22.4%	13.0%	6.6%	2.0%	1.0%
7	2006	1570	85.2%	14.8%	9.4%	4.7%	0.6%	0.1%
7	2007	1574	85.6%	14.4%	7.9%	5.3%	0.6%	0.6%
7	2008	1566	86.0%	14.0%	6.4%	6.6%	0.5%	0.4%
7	2009	1555	88.3%	11.7%	7.0%	3.9%	0.5%	0.3%
7	2010	1545	89.4%	10.6%	7.5%	2.8%	0.1%	0.1%
7	2011	1535	89.8%	10.2%	6.2%	3.6%	0.3%	0.1%
7	2012	1536	91.7%	8.3%	5.8%	2.1%	0.3%	0.2%
7	2013	1532	89.6%	10.4%	7.0%	2.3%	0.8%	0.3%
7	2014	1547	90.0%	10.0%	5.6%	3.6%	0.6%	0.3%
8	2006	640	86.6%	13.4%	9.5%	3.0%	0.6%	0.3%
8	2007	636	84.6%	15.4%	10.2%	3.0%	1.4%	0.8%
8	2008	633	85.3%	14.7%	12.0%	2.2%	0.5%	0.0%
8	2009	634	83.8%	16.2%	14.2%	1.3%	0.5%	0.3%
8	2010	637	81.8%	18.2%	14.9%	2.2%	0.8%	0.3%
8	2011	631	79.6%	20.4%	17.1%	2.2%	0.6%	0.5%
8	2012	633	78.4%	21.6%	18.5%	2.4%	0.6%	0.2%
8	2013	632	77.1%	22.9%	19.1%	2.7%	0.6%	0.5%
8	2014	626	77.0%	23.0%	17.7%	4.3%	0.6%	0.3%
9	2006	1529	91.0%	9.0%	3.3%	3.7%	2.0%	0.1%
9	2007	1515	91.0%	9.0%	4.6%	2.8%	1.3%	0.3%
9	2008	1506	88.8%	11.2%	5.2%	3.8%	1.9%	0.3%
9	2009	1490	87.7%	12.3%	6.9%	3.6%	1.3%	0.5%
9	2010	1483	89.0%	11.0%	7.3%	2.2%	0.7%	0.7%
9	2011	1484	89.0%	11.0%	6.5%	2.7%	1.5%	0.3%
9	2012	1484	90.1%	9.9%	5.2%	3.3%	1.0%	0.4%
9	2013	1481	91.6%	8.4%	4.5%	3.3%	0.5%	0.1%
9	2014	1475	91.2%	8.8%	5.2%	2.8%	0.6%	0.2%
10	2006	482	79.5%	20.5%	13.5%	5.4%	0.8%	0.8%
10	2007	479	80.6%	19.4%	12.3%	5.6%	0.6%	0.8%
10	2008	471	81.5%	18.5%	13.2%	3.6%	1.7%	0.0%
10	2009	470	85.7%	14.3%	10.6%	2.8%	0.6%	0.2%
10	2010	465	87.1%	12.9%	9.9%	2.2%	0.9%	0.0%
10	2011	462	80.3%	19.7%	14.9%	4.1%	0.2%	0.4%
10	2012	467	86.9%	13.1%	7.3%	4.9%	0.9%	0.0%
10	2013	460	79.3%	20.7%	15.9%	3.9%	0.9%	0.0%
10	2014	461	73.8%	26.2%	20.0%	4.8%	1.3%	0.2%
All	2006	16120	81.9%	18.1%	10.7%	4.8%	1.7%	0.8%
All	2007	16072	82.0%	18.0%	10.2%	5.1%	1.5%	1.1%
All	2008	15973	81.8%	18.2%	10.5%	5.2%	1.6%	1.0%
All	2009	15906	83.7%	16.3%	9.9%	4.3%	1.3%	0.8%
All	2010	15875	84.6%	15.4%	9.9%	4.0%	1.0%	0.6%
All	2011	15846	84.1%	15.9%	10.4%	4.0%	1.0%	0.5%
All	2012	15835	86.1%	13.9%	9.2%	3.3%	0.9%	0.4%
All	2013	15817	86.1%	13.9%	9.8%	3.0%	0.8%	0.4%
All	2014	15801	85.8%	14.2%	9.7%	3.4%	0.8%	0.3%

3 Civil Money Penalties

3.1 Civil Money Penalties by Region

3.1.1 Description

Description	Details
Overview	This report includes, by region, the Per Day and Per Instance CMPs imposition frequencies, as reported in CASPER (Certification And Survey Provider Enhanced Reports, a national federal database system) and verified against CMPTS (Civil Money Penalty Tracking System, a national federal database system).
Notes	This report provides information on CMPs that actually took effect, rather than remedies that were initially imposed but never went into effect. A remedy may be imposed, but later rescinded and never take effect because the facility achieved substantial compliance prior to the effective date of the remedy or remedies imposed. "Substantial compliance" means a level of compliance with the federal requirements of participation such that any identified deficiencies pose no greater risk to resident health or safety than the potential for causing minimal harm (refer to 42 C.F.R. §488.301). The Total Amount Due is the calculated Per Day or Per Instance amount plus any interest applied.
Data Source	CASPER, verified against CMPTS
Date of Report	1/2016
Left Axis	Region
Left Axis Range	Calendar Year, 2006 to 2014
Horizontal Axis	CMP Type (Per Day, Per Instance, All); Number of CMPs; % of CMPs, CMP Total Amount Due Median; CMP Per Day Days Median
Totals	All Regions (National)

3.1.2 Table

Table 13: Civil Money Penalties by Region

Region	Calendar Year	CMP Type	Number of CMPs	% of CMPs	CMP Total Amount Due Median	CMP Per Day Days Median
1	2006	Per Day	10	20.4%	\$7,323	49
1	2006	Per Instance	39	79.6%	\$2,600	
1	2006	All	49	100.0%	\$2,600	
1	2007	Per Day	30	23.1%	\$11,066	37
1	2007	Per Instance	100	76.9%	\$1,950	
1	2007	All	130	100.0%	\$1,950	
1	2008	Per Day	31	30.4%	\$9,588	34
1	2008	Per Instance	71	69.6%	\$1,950	
1	2008	All	102	100.0%	\$2,600	
1	2009	Per Day	30	29.7%	\$10,384	42
1	2009	Per Instance	71	70.3%	\$1,950	
1	2009	All	101	100.0%	\$2,500	
1	2010	Per Day	44	29.5%	\$9,064	36
1	2010	Per Instance	105	70.5%	\$2,500	
1	2010	All	149	100.0%	\$3,250	
1	2011	Per Day	57	44.9%	\$11,700	39
1	2011	Per Instance	70	55.1%	\$1,950	
1	2011	All	127	100.0%	\$2,633	
1	2012	Per Day	58	42.3%	\$10,806	42
1	2012	Per Instance	79	57.7%	\$1,625	
1	2012	All	137	100.0%	\$3,900	
1	2013	Per Day	67	38.7%	\$17,550	42
1	2013	Per Instance	106	61.3%	\$1,800	
1	2013	All	173	100.0%	\$2,680	
1	2014	Per Day	69	38.5%	\$14,700	42
1	2014	Per Instance	110	61.5%	\$1,820	
1	2014	All	179	100.0%	\$2,600	
2	2006	Per Day	57	73.1%	\$3,705	33
2	2006	Per Instance	21	26.9%	\$2,015	
2	2006	All	78	100.0%	\$3,100	
2	2007	Per Day	92	63.0%	\$4,453	38
2	2007	Per Instance	54	37.0%	\$2,258	
2	2007	All	146	100.0%	\$3,160	
2	2008	Per Day	102	68.5%	\$3,965	40
2	2008	Per Instance	47	31.5%	\$3,250	
2	2008	All	149	100.0%	\$3,835	
2	2009	Per Day	69	74.2%	\$10,800	39
2	2009	Per Instance	24	25.8%	\$3,663	
2	2009	All	93	100.0%	\$6,500	
2	2010	Per Day	47	66.2%	\$12,300	39
2	2010	Per Instance	24	33.8%	\$4,225	
2	2010	All	71	100.0%	\$5,980	
2	2011	Per Day	58	69.0%	\$6,415	39
2	2011	Per Instance	26	31.0%	\$3,250	
2	2011	All	84	100.0%	\$4,675	
2	2012	Per Day	11	30.6%	\$17,648	33
2	2012	Per Instance	25	69.4%	\$3,000	
2	2012	All	36	100.0%	\$3,575	
2	2013	Per Day	54	70.1%	\$18,671	40
2	2013	Per Instance	23	29.9%	\$5,363	
2	2013	All	77	100.0%	\$12,870	
2	2014	Per Day	44	75.9%	\$11,554	47
2	2014	Per Instance	14	24.1%	\$3,250	
2	2014	All	58	100.0%	\$8,123	

Nursing Home Enforcement Reports: Civil Money Penalties

Region	Calendar Year	CMP Type	Number of CMPs	% of CMPs	CMP Total Amount Due Median	CMP Per Day Days Median
3	2006	Per Day	28	50.9%	\$12,481	80
3	2006	Per Instance	27	49.1%	\$2,500	
3	2006	All	55	100.0%	\$5,000	
3	2007	Per Day	38	31.1%	\$11,383	58
3	2007	Per Instance	84	68.9%	\$2,500	
3	2007	All	122	100.0%	\$3,500	
3	2008	Per Day	36	34.0%	\$12,784	70
3	2008	Per Instance	70	66.0%	\$2,275	
3	2008	All	106	100.0%	\$3,250	
3	2009	Per Day	48	42.9%	\$14,885	65
3	2009	Per Instance	64	57.1%	\$2,763	
3	2009	All	112	100.0%	\$4,436	
3	2010	Per Day	47	49.0%	\$12,415	56
3	2010	Per Instance	49	51.0%	\$2,925	
3	2010	All	96	100.0%	\$5,915	
3	2011	Per Day	40	36.7%	\$14,820	71
3	2011	Per Instance	69	63.3%	\$3,250	
3	2011	All	109	100.0%	\$4,388	
3	2012	Per Day	27	47.4%	\$17,225	67
3	2012	Per Instance	30	52.6%	\$3,250	
3	2012	All	57	100.0%	\$5,200	
3	2013	Per Day	47	61.0%	\$60,255	118
3	2013	Per Instance	30	39.0%	\$1,950	
3	2013	All	77	100.0%	\$16,055	
3	2014	Per Day	53	75.7%	\$55,088	125
3	2014	Per Instance	17	24.3%	\$4,550	
3	2014	All	70	100.0%	\$40,268	
4	2006	Per Day	153	90.0%	\$14,700	60
4	2006	Per Instance	17	10.0%	\$5,200	
4	2006	All	170	100.0%	\$12,508	
4	2007	Per Day	420	90.7%	\$11,550	59
4	2007	Per Instance	43	9.3%	\$3,378	
4	2007	All	463	100.0%	\$10,000	
4	2008	Per Day	434	90.4%	\$13,878	56
4	2008	Per Instance	46	9.6%	\$1,950	
4	2008	All	480	100.0%	\$12,434	
4	2009	Per Day	308	85.1%	\$11,215	51
4	2009	Per Instance	54	14.9%	\$1,950	
4	2009	All	362	100.0%	\$9,230	
4	2010	Per Day	275	84.6%	\$10,140	49
4	2010	Per Instance	50	15.4%	\$3,088	
4	2010	All	325	100.0%	\$7,540	
4	2011	Per Day	300	82.2%	\$15,958	50
4	2011	Per Instance	65	17.8%	\$3,250	
4	2011	All	365	100.0%	\$10,920	
4	2012	Per Day	320	78.2%	\$15,435	53
4	2012	Per Instance	89	21.8%	\$3,250	
4	2012	All	409	100.0%	\$9,263	
4	2013	Per Day	244	83.0%	\$17,404	55
4	2013	Per Instance	50	17.0%	\$2,713	
4	2013	All	294	100.0%	\$13,640	
4	2014	Per Day	320	81.0%	\$18,304	64
4	2014	Per Instance	75	19.0%	\$2,000	
4	2014	All	395	100.0%	\$12,513	

Nursing Home Enforcement Reports: Civil Money Penalties

Region	Calendar Year	CMP Type	Number of CMPs	% of CMPs	CMP Total Amount Due Median	CMP Per Day Days Median
5	2006	Per Day	347	69.1%	\$9,750	47
5	2006	Per Instance	155	30.9%	\$2,450	
5	2006	All	502	100.0%	\$5,751	
5	2007	Per Day	656	66.5%	\$9,600	38
5	2007	Per Instance	330	33.5%	\$2,080	
5	2007	All	986	100.0%	\$5,200	
5	2008	Per Day	593	63.6%	\$9,100	37
5	2008	Per Instance	339	36.4%	\$2,167	
5	2008	All	932	100.0%	\$5,075	
5	2009	Per Day	539	59.4%	\$9,458	36
5	2009	Per Instance	369	40.6%	\$2,080	
5	2009	All	908	100.0%	\$4,696	
5	2010	Per Day	541	58.7%	\$9,783	38
5	2010	Per Instance	381	41.3%	\$2,080	
5	2010	All	922	100.0%	\$4,401	
5	2011	Per Day	581	64.5%	\$9,653	34
5	2011	Per Instance	320	35.5%	\$2,080	
5	2011	All	901	100.0%	\$5,363	
5	2012	Per Day	441	65.0%	\$9,880	33
5	2012	Per Instance	237	35.0%	\$2,275	
5	2012	All	678	100.0%	\$5,363	
5	2013	Per Day	429	74.2%	\$11,538	35
5	2013	Per Instance	149	25.8%	\$2,275	
5	2013	All	578	100.0%	\$8,450	
5	2014	Per Day	360	67.9%	\$10,920	33
5	2014	Per Instance	170	32.1%	\$2,649	
5	2014	All	530	100.0%	\$7,508	
6	2006	Per Day	52	15.7%	\$12,397	50
6	2006	Per Instance	279	84.3%	\$1,500	
6	2006	All	331	100.0%	\$1,700	
6	2007	Per Day	115	18.8%	\$11,500	59
6	2007	Per Instance	497	81.2%	\$1,641	
6	2007	All	612	100.0%	\$1,960	
6	2008	Per Day	147	23.6%	\$18,725	49
6	2008	Per Instance	476	76.4%	\$2,000	
6	2008	All	623	100.0%	\$2,524	
6	2009	Per Day	147	22.3%	\$21,304	50
6	2009	Per Instance	512	77.7%	\$2,263	
6	2009	All	659	100.0%	\$2,600	
6	2010	Per Day	121	18.7%	\$21,876	39
6	2010	Per Instance	527	81.3%	\$2,275	
6	2010	All	648	100.0%	\$2,600	
6	2011	Per Day	128	19.4%	\$18,027	46
6	2011	Per Instance	531	80.6%	\$2,275	
6	2011	All	659	100.0%	\$2,600	
6	2012	Per Day	134	21.9%	\$21,619	53
6	2012	Per Instance	479	78.1%	\$2,275	
6	2012	All	613	100.0%	\$2,600	
6	2013	Per Day	180	40.2%	\$31,355	45
6	2013	Per Instance	268	59.8%	\$2,092	
6	2013	All	448	100.0%	\$3,900	
6	2014	Per Day	193	37.3%	\$26,033	43
6	2014	Per Instance	325	62.7%	\$2,000	
6	2014	All	518	100.0%	\$4,000	

Nursing Home Enforcement Reports: Civil Money Penalties

Region	Calendar Year	CMP Type	Number of CMPs	% of CMPs	CMP Total Amount Due Median	CMP Per Day Days Median
7	2006	Per Day	22	50.0%	\$6,923	95
7	2006	Per Instance	22	50.0%	\$6,500	
7	2006	All	44	100.0%	\$6,500	
7	2007	Per Day	53	36.3%	\$5,655	90
7	2007	Per Instance	93	63.7%	\$6,500	
7	2007	All	146	100.0%	\$6,500	
7	2008	Per Day	49	32.0%	\$3,315	40
7	2008	Per Instance	104	68.0%	\$6,500	
7	2008	All	153	100.0%	\$6,500	
7	2009	Per Day	30	26.5%	\$2,788	35
7	2009	Per Instance	83	73.5%	\$6,500	
7	2009	All	113	100.0%	\$6,500	
7	2010	Per Day	26	26.5%	\$3,781	35
7	2010	Per Instance	72	73.5%	\$6,500	
7	2010	All	98	100.0%	\$6,500	
7	2011	Per Day	38	34.2%	\$3,010	36
7	2011	Per Instance	73	65.8%	\$6,500	
7	2011	All	111	100.0%	\$6,500	
7	2012	Per Day	24	32.4%	\$2,305	36
7	2012	Per Instance	50	67.6%	\$6,500	
7	2012	All	74	100.0%	\$5,200	
7	2013	Per Day	45	34.4%	\$6,630	35
7	2013	Per Instance	86	65.6%	\$5,216	
7	2013	All	131	100.0%	\$5,265	
7	2014	Per Day	72	64.3%	\$16,608	45
7	2014	Per Instance	40	35.7%	\$5,428	
7	2014	All	112	100.0%	\$8,713	
8	2006	Per Day	4	21.1%	\$33,134	73
8	2006	Per Instance	15	78.9%	\$3,575	
8	2006	All	19	100.0%	\$4,225	
8	2007	Per Day	18	28.6%	\$12,545	52
8	2007	Per Instance	45	71.4%	\$2,438	
8	2007	All	63	100.0%	\$3,250	
8	2008	Per Day	12	20.0%	\$11,440	43
8	2008	Per Instance	48	80.0%	\$2,600	
8	2008	All	60	100.0%	\$3,125	
8	2009	Per Day	7	12.5%	\$12,025	37
8	2009	Per Instance	49	87.5%	\$2,275	
8	2009	All	56	100.0%	\$2,290	
8	2010	Per Day	6	11.3%	\$13,000	57
8	2010	Per Instance	47	88.7%	\$2,925	
8	2010	All	53	100.0%	\$2,925	
8	2011	Per Day	6	10.5%	\$23,449	48
8	2011	Per Instance	51	89.5%	\$2,275	
8	2011	All	57	100.0%	\$2,275	
8	2012	Per Day	9	13.6%	\$17,600	44
8	2012	Per Instance	57	86.4%	\$2,600	
8	2012	All	66	100.0%	\$2,925	
8	2013	Per Day	10	14.9%	\$21,150	51
8	2013	Per Instance	57	85.1%	\$2,210	
8	2013	All	67	100.0%	\$2,275	
8	2014	Per Day	4	8.2%	\$55,582	70
8	2014	Per Instance	45	91.8%	\$2,145	
8	2014	All	49	100.0%	\$2,167	

Nursing Home Enforcement Reports: Civil Money Penalties

Region	Calendar Year	CMP Type	Number of CMPs	% of CMPs	CMP Total Amount Due Median	CMP Per Day Days Median
9	2006	Per Day	28	36.8%	\$24,050	77
9	2006	Per Instance	48	63.2%	\$2,750	
9	2006	All	76	100.0%	\$5,000	
9	2007	Per Day	11	9.1%	\$37,538	91
9	2007	Per Instance	110	90.9%	\$2,000	
9	2007	All	121	100.0%	\$2,500	
9	2008	Per Day	23	13.9%	\$37,895	71
9	2008	Per Instance	143	86.1%	\$2,600	
9	2008	All	166	100.0%	\$3,250	
9	2009	Per Day	45	40.5%	\$22,003	69
9	2009	Per Instance	66	59.5%	\$3,575	
9	2009	All	111	100.0%	\$6,500	
9	2010	Per Day	35	45.5%	\$30,485	73
9	2010	Per Instance	42	54.5%	\$3,250	
9	2010	All	77	100.0%	\$6,500	
9	2011	Per Day	35	42.2%	\$39,000	79
9	2011	Per Instance	48	57.8%	\$2,963	
9	2011	All	83	100.0%	\$7,963	
9	2012	Per Day	42	55.3%	\$29,047	60
9	2012	Per Instance	34	44.7%	\$1,950	
9	2012	All	76	100.0%	\$12,643	
9	2013	Per Day	68	59.6%	\$26,650	72
9	2013	Per Instance	46	40.4%	\$2,750	
9	2013	All	114	100.0%	\$14,075	
9	2014	Per Day	68	53.1%	\$24,675	69
9	2014	Per Instance	60	46.9%	\$2,500	
9	2014	All	128	100.0%	\$8,250	
10	2006	Per Day	3	10.7%	\$75,536	75
10	2006	Per Instance	25	89.3%	\$975	
10	2006	All	28	100.0%	\$975	
10	2007	Per Day	0	0.0%	0	0
10	2007	Per Instance	90	100.0%	\$975	
10	2007	All	90	100.0%	\$975	
10	2008	Per Day	2	2.7%	\$17,875	61
10	2008	Per Instance	72	97.3%	\$975	
10	2008	All	74	100.0%	\$975	
10	2009	Per Day	0	0.0%	0	0
10	2009	Per Instance	83	100.0%	\$975	
10	2009	All	83	100.0%	\$975	
10	2010	Per Day	2	2.9%	\$10,400	20
10	2010	Per Instance	68	97.1%	\$975	
10	2010	All	70	100.0%	\$975	
10	2011	Per Day	2	2.0%	\$27,105	54
10	2011	Per Instance	96	98.0%	\$975	
10	2011	All	98	100.0%	\$975	
10	2012	Per Day	1	1.7%	\$177,905	23
10	2012	Per Instance	59	98.3%	\$1,950	
10	2012	All	60	100.0%	\$1,950	
10	2013	Per Day	16	15.0%	\$8,044	33
10	2013	Per Instance	91	85.0%	\$1,170	
10	2013	All	107	100.0%	\$1,300	
10	2014	Per Day	6	4.1%	\$12,886	33
10	2014	Per Instance	139	95.9%	\$1,300	
10	2014	All	145	100.0%	\$1,300	

Nursing Home Enforcement Reports: Civil Money Penalties

Region	Calendar Year	CMP Type	Number of CMPs	% of CMPs	CMP Total Amount Due Median	CMP Per Day Days Median
All	2006	Per Day	704	52.1%	\$11,025	53
All	2006	Per Instance	648	47.9%	\$2,040	
All	2006	All	1352	100.0%	\$3,900	
All	2007	Per Day	1433	49.8%	\$10,000	47
All	2007	Per Instance	1446	50.2%	\$1,950	
All	2007	All	2879	100.0%	\$4,000	
All	2008	Per Day	1429	50.2%	\$10,920	44
All	2008	Per Instance	1416	49.8%	\$2,275	
All	2008	All	2845	100.0%	\$4,420	
All	2009	Per Day	1223	47.1%	\$10,823	44
All	2009	Per Instance	1375	52.9%	\$2,275	
All	2009	All	2598	100.0%	\$4,063	
All	2010	Per Day	1144	45.6%	\$10,996	42
All	2010	Per Instance	1365	54.4%	\$2,275	
All	2010	All	2509	100.0%	\$3,900	
All	2011	Per Day	1245	48.0%	\$11,895	40
All	2011	Per Instance	1349	52.0%	\$2,275	
All	2011	All	2594	100.0%	\$3,916	
All	2012	Per Day	1067	48.4%	\$12,666	42
All	2012	Per Instance	1139	51.6%	\$2,275	
All	2012	All	2206	100.0%	\$4,420	
All	2013	Per Day	1160	56.1%	\$16,071	44
All	2013	Per Instance	906	43.9%	\$2,113	
All	2013	All	2066	100.0%	\$6,500	
All	2014	Per Day	1189	54.4%	\$17,350	45
All	2014	Per Instance	995	45.6%	\$2,080	
All	2014	All	2184	100.0%	\$5,658	

3.2 Civil Money Penalties Total Amount Due Sum for All Regions

3.2.1 Description

Description	Details
Overview	This report includes, by region, the Per Day and Per Instance CMPs imposition frequencies, as reported in CASPER (Certification And Survey Provider Enhanced Reports, a national federal database system) and verified against CMPTS (Civil Money Penalty Tracking System, a national federal database system).
Notes	This report provides information on CMPs that actually took effect, rather than remedies that were initially imposed but never went into effect. A remedy may be imposed, but later rescinded and never take effect because the facility achieved substantial compliance prior to the effective date of the remedy or remedies imposed. "Substantial compliance" means a level of compliance with the federal requirements of participation such that any identified deficiencies pose no greater risk to resident health or safety than the potential for causing minimal harm (refer to 42 C.F.R. §488.301). The Total Amount Due Sum is the sum of the calculated Per Day or Per Instance amount plus any interest applied.
Data Source	CASPER, verified against CMPTS
Date of Report	1/2016
Left Axis	Region
Left Axis Range	Calendar Year, 2006 to 2014
Horizontal Axis	CMP Type (Per Day, Per Instance, All); CMP Total Amount Due Sum
Totals	All Regions (National)

3.2.2 Table

Table 14: Civil Money Penalties Total Amount Due Sum for All Regions

Region	Calendar Year	CMP Type	CMP Total Amount Due Sum
All	2006	Per Day	\$17,207,703
All	2006	Per Instance	\$1,841,686
All	2006	All	\$19,049,389
All	2007	Per Day	\$34,723,617
All	2007	Per Instance	\$3,919,122
All	2007	All	\$38,642,739
All	2008	Per Day	\$42,553,340
All	2008	Per Instance	\$4,941,427
All	2008	All	\$47,494,767
All	2009	Per Day	\$32,486,189
All	2009	Per Instance	\$3,923,909
All	2009	All	\$36,410,098
All	2010	Per Day	\$32,869,225
All	2010	Per Instance	\$3,770,221
All	2010	All	\$36,639,445
All	2011	Per Day	\$38,521,821
All	2011	Per Instance	\$3,661,109
All	2011	All	\$42,182,930
All	2012	Per Day	\$36,613,090
All	2012	Per Instance	\$3,328,100
All	2012	All	\$39,941,190
All	2013	Per Day	\$46,570,253
All	2013	Per Instance	\$2,628,359
All	2013	All	\$49,198,612
All	2014	Per Day	\$52,745,328
All	2014	Per Instance	\$2,764,664
All	2014	All	\$55,509,992