OPEN PAYMENTS

Creating public transparency into health care industry & health care provider financial relationships

Program Year 2018 Data Submission & System Enhancements

February 13, 2019
CMS Open Payments Team

Program Year 2017 Data Refresh

- On January 18, 2019 CMS refreshed the Open Payments Program Year 2017 data to reflect changes that were made after the initial Program Year 2017 data publication

Total U.S. Dollar Value
$8.31 Billion

Total Records Published
11.27 Million

General Payments
$2.81 Billion

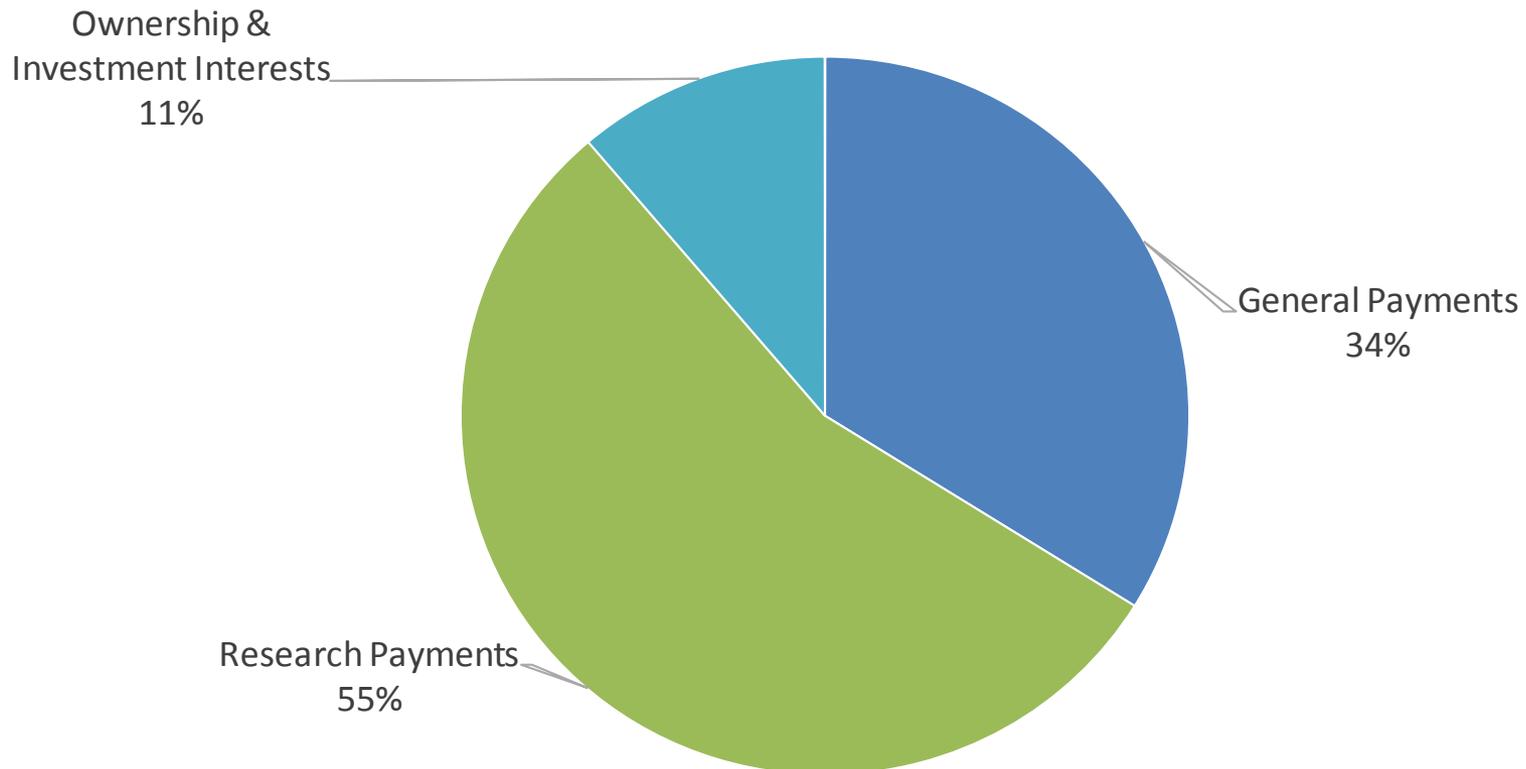Research Payments
$4.56 Billion

Ownership & Investment
$932 Million

Program Year 2017 at a glance

Program Year 2017 Data (Refresh)
Percent Total Value of Payments

Ownership &
Investment Interests
11%

General Payments
34%

Research Payments
55%

Refresh Reminders

- What's Included:

  - **Record Updates:** changes to non-disputed records that were made on or before November 15, 2018

  - **Dispute Resolutions:** dispute indicators removed for previously disputed records for those resolved on or before December 31, 2018

  - **Record Deletions:** records deleted on or before December 31, 2018 were removed from the Open Payments database

- What's not Included:

  - records submitted for the first time <u>after</u> the close of the Program Year 2017 submission window (March 31, 2018)

  - Any records that were disputed and for which dispute resolution resulted in a change to the covered recipient.

Program Year 2018 Timeline

The dates above represent anticipated dates for the Program Year 2018 data submission timeline

Reporting Entity Recertification

- **Reporting entities that registered in any previous calendar year must recertify to perform any Open Payments system functions**

  – Recertification confirms that details in the Open Payments system are accurate

  – Recertification can only be performed by an active user who holds an officer role

  – If a reporting entity does not have an active officer, contact the Open Payments Help Desk for assistance

  – Outdated or inaccurate reporting entity profile information can be updated during recertification

  – Changes to the entity's Legal Name, Tax Identification Number (TIN), or DUNS number will require re-vetting of the entity

  – Reporting entities due for recertification are given a status of "Pending Recertification"

2019 System Enhancements

- **Physician Taxonomy Code Validation**
  - Updated bulk file validation to match those of the graphic user interface for validating physician taxonomy codes

- **Expired License Warning**
  - Updated presentation of expired license warning
  - Ability to filter records based on the warning status

2019 System Enhancements

- **Usability enhancements**
  - Enhancements to reduce the amount of scrolling and clicking needed when editing a record via the graphic user interface

    - The payment record layout was updated to facilitate data entry and review, including reducing the amount of scrolling required

    - Introduced the Payment Navigation Bar to provide a visual indicator of the page the user is on within the record

    - The Payment Navigation Bar allows users to navigate to each page out of order/ sequence assuming there are no errors on the page the user is currently on

2019 System Enhancements

- **Additional Enhancements include:**
  - New section on the submissions page to display file processing status

  - Prevention of uploading file with same attributes while the file is processing

  - Ability to delete records directly from the Review and Dispute page

  - Performance enhancements to reduce processing time of final submission and allow users to move records to final submission status without requiring all records to be ready for submission

Delay in Publication Expiration Reminder

- **Delay in Publications Renewal Limit Reached Validation**

  - Delay in publication of payments may be requested and delays may be held up to four years

  - The four year limit has now been reached for payments made in the 2014 calendar year

  - Records with previously requested delays that many no longer be delayed will be identified on the record listing page with a "Delay Limit Reached" status

  - If there are attempts to renew a publication delay for a record ineligible for delay, an error message will be presented

Next Steps

New or Returning?	Next Steps
New Reporting Entity	• Register in EIDM and request access to the Open Payments system • Register self and reporting entity (if applicable) in the Open Payments system • Assign user roles • Submit Program Year 2018 data by March 31, 2019 (if applicable) • Collecting Program Year 2019 payment data and report it in early 2020
Returning Reporting Entity	• Ensure EIDM account is active • Recertify reporting entity information and provide required review and dispute contact information • Confirm user roles • Submit Program Year 2018 data by March 31, 2019 • Collect Program Year 2019 payment data and report it in early 2020

Data Submission Resources

- Resources to help reporting entities ensure accurate data submission:

  - **Validated Physician List**
    - Accessed through the Open Payments system

  - **Teaching Hospital Lists**
    - 2019 Teaching Hospital list is now available for use when collecting data for January 1, 2019 – December 31, 2019
    - All Teaching Hospital Lists from previous program years are available on the Resources page of the Open Payments website

System Maintenance & Suggestions

- System maintenance is scheduled for the following times:

  – Saturday February 23, 2019 4:00pm (ET) through 1:00am (ET)
    Sunday February 24, 2019

  – Saturday March 9, 2019 8:30pm (ET) through 11:00am(ET)
    Sunday March 10, 2019

- The following suggestions may be helpful when working to meet the requirements for timely, accurate, and complete reporting

  – Review Content
  – Submit Early
  – Record Resubmission
  – Consult Ownership and Investment Definitions

Question & Answer Session

- To ask a question:
  - Raise your hand and the presenter will call on you and unmute your line
  - Submit your question via the Q&A box
  - Please note:
    - the Open Payments team will refer all questions with specific and/or detailed scenarios to the help desk.

Stay Connected

- **Visit the Open Payments Website**
  - For resources including Open Payments FAQs and more information about the program visit https://cms.gov/openpayments

- **Subscribe to the Listserv**
  - Receive program updates through the Open Payments listserv
  - Subscribe at our Contact Us Page

- **Search the Data**
  - Access the Open Payments data at https://openpaymentsdata.cms.gov

- **Have Questions or Need Help?**
  - Email: openpayments@cms.hhs.gov
  - Call: 1-855-326-8366 (TTY Line: 1-844-649-2766)
  - Help Desk Hours: 8:30am – 7:30pm (ET)

Talk to you soon!

- **Review, Dispute & Corrections Webinar**
  - March 27, 2019 1:00pm – 2:00pm (ET)
    - Registration, agenda items, and call-in information to follow
    - Subscribe to the Open Payments Listserv to ensure you and your team receive updates