

<b>CMS Manual System</b>	<b>Department of Health &amp; Human Services (DHHS)</b>
<b>Pub 100-06 Medicare Financial Management</b>	<b>Centers for Medicare &amp; Medicaid Services (CMS)</b>
<b>Transmittal 186</b>	<b>Date: March 18, 2011</b>
	<b>Change Request 7336</b>

**SUBJECT: Receivables Initiated by the Recovery Auditor as Independent Audit Accessible Information**

**I. SUMMARY OF CHANGES:** This Change Request is for the Medicare Financial Management Manual relating to the Recovery Audit National Program. This change implements a new manual subsection, 100.16, pertaining to Recovery Auditor receivables as independent audit accessible information.

**EFFECTIVE DATE: \*April 18, 2011**

**IMPLEMENTATION DATE: April 18, 2011**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

<b>R/N/D</b>	<b>CHAPTER / SECTION / SUBSECTION / TITLE</b>
R	4/Table of Contents
N	4/100/100.16/Receivables Initiated by the Recovery Auditor as Independent Audit Accessible Information

**III. FUNDING:**

**For Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs) and/or Carriers:**

No additional funding will be provided by CMS; Contractor activities are to be carried out within their operating budgets.

**For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**IV. ATTACHMENTS:**

**Business Requirements**

**Manual Instruction**

*\*Unless otherwise specified, the effective date is the date of service.*



**IV. SUPPORTING INFORMATION:**

**Section A: For any recommendations and supporting information associated with listed requirements, use the box below: N/A**

*Use "Should" to denote a recommendation.*

X-Ref Requirement Number	Recommendations or other supporting information:

**Section B: For all other recommendations and supporting information, use this space: N/A**

**V. CONTACTS:**

**Pre-Implementation Contact(s):** Jennifer Elmezzi (410)786-1023 [jennifer.elmezzi@cms.hhs.gov](mailto:jennifer.elmezzi@cms.hhs.gov)

**Post-Implementation Contact(s):** Contact your Contracting Officer's Technical Representative (COTR) or Contractor Manager, as applicable.

**VI. FUNDING:**

**Section A: For *Fiscal Intermediaries (FIs), Carriers, and Regional Home Health Intermediaries (RHHIs)*:**

No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

**Section B: For *Medicare Administrative Contractors (MACs)*:**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements

# Medicare Financial Management

## Chapter 4 - Debt Collection

### Table of Contents *(Rev.186, 03-18-11)*

*100.16 – Receivables Initiated by the Recovery Auditor as Independent Audit Accessible Information*

*100.16 – Receivables Initiated by the Recovery Auditor as Independent Audit Accessible Information  
(Rev. 186, Issued: 03-18-11, Effective: 04-18-11, Implementation: 04-18-11)*

*As stated in Chapter 7, Section 20.1: CMS requires its contractors to perform risk assessments on an annual basis. Contractors requested to provide Recovery Auditor initiated information during the course of an independent audit are responsible for retrieving such documentation from the Recovery Auditor, (i.e.: the production of demand letters), and delivering it to the independent auditor performing the risk assessment for review. Contractors are obligated to produce any pertinent information stemming from Recovery Auditor receivables upon independent auditor request. While contractors must show good faith and diligent effort, Recovery Auditors shall supply all requested documentation in a timely fashion. Situations where documentation is not provided should be sent to CMS as soon as possible.*