

<b>CMS Manual System</b>	<b>Department of Health &amp; Human Services (DHHS)</b>
<b>Pub 100-04 Medicare Claims Processing</b>	<b>Centers for Medicare &amp; Medicaid Services (CMS)</b>
<b>Transmittal 10358</b>	<b>Date: September 18, 2020</b>
	<b>Change Request 11981</b>

**SUBJECT: Instructions for Downloading the Medicare ZIP Code File for January 2021**

**I. SUMMARY OF CHANGES:** This instruction describes the process for updating the two Medicare ZIP Code files (ZIP5 and ZIP9) for the January 2021 quarter. This instruction also describes the revision to and the process for downloading the Calendar Year-End ZIP Code files. The attached Recurring Update Notification applies to Chapter 15, Section 20.1.5(B).

**EFFECTIVE DATE: January 1, 2021**

*\*Unless otherwise specified, the effective date is the date of service.*

**IMPLEMENTATION DATE: January 4, 2021**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

<b>R/N/D</b>	<b>CHAPTER / SECTION / SUBSECTION / TITLE</b>
N/A	N/A

**III. FUNDING:**

**For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**IV. ATTACHMENTS:**

**Recurring Update Notification**

# Attachment - Recurring Update Notification

Pub. 100-04	Transmittal: 10358	Date: September 18, 2020	Change Request: 11981
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## I. GENERAL INFORMATION

**A. Background:** Each calendar quarter, CMS issues an updated, 5-digit ZIP Code file (ZIP5) and 9-digit ZIP Code File (ZIP9) to be used for pricing Medicare claims.

Every 2 months, CMS obtains an updated listing of ZIP Codes from the U.S. Postal Service (USPS). On the basis of the updated USPS file, CMS updates the Medicare ZIP Code files and makes them available to contractors.

Under normal circumstances, approximately 6 weeks prior to the beginning of each calendar quarter (i.e., approximately 6 weeks prior to January 1, April 1, July 1, and October 1), CMS will make available the updated ZIP5 and ZIP9 files. Thus, the updated files will be available on approximately November 15th for the January 1 release, approximately February 15th for the April 1 release, approximately May 15th for the July 1 release, and approximately August 15th for the October 1 release.

In addition to the 5 and 9-digit ZIP Code files, CMS will post on the CMS Web site at <http://www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/ProspMedicareFeeSvcPmtGen/index.html> a list of the 5-digit ZIP Codes that require a 4-digit extension, and a list of the most recent additions and deletions to that file. Under normal circumstances, these two files will also be posted approximately 6 weeks prior to the beginning of each calendar quarter.

When the updated files are loaded to the Connect: Direct, it will add to the inventory of ZIP Code files on the mainframe. The name of the ZIP5 file is MU00.@AAA2390.ZIP5.LOCALITY.Vyyyyr, where “yyyy” equals the calendar year and “r” equals the release number with January = 1, April = 2, July = 3, and October = 4. Also, the name of the ZIP9 file is MU00.@AAA2390.ZIP9.LOCALITY.Vyyyyr.

**NOTE:** Even the most recently updated ZIP Code files will not contain ZIP Codes established by the USPS after CMS compiled the file. Therefore, for ZIP Codes reported on claims that are not on the most recent ZIP Code files, follow the instructions in Publication 100-04, Medicare Claims Processing Manual, Chapter 15, section 20.1.5(B).

**B. Policy:** This instruction describes the process for updating the Medicare ZIP Code files for both the January 2021 Quarterly Update and the 2020 Calendar Year-end Release.

## II. BUSINESS REQUIREMENTS TABLE

*"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.*

Number	Requirement	Responsibility			
		A/B MAC	D M E	Shared- System Maintainers	Other

		A	B	H H H	M A C	F I S S	M C S	V M S	C W F	
11981.1	Contractors shall use the most recent version of the Medicare ZIP5 Code files to process ambulance claims.	X	X			X				
11981.1.1	Contractors shall do jurisdictional pricing for other benefit categories where instructions direct the use of the ZIP Code files.	X	X			X				
11981.2	Contractors shall go to the Connect: Direct and search for the ZIP Code files approximately 6 weeks before the beginning of each calendar quarter.		X		X	X				
11981.2.1	Contractors shall confirm that the release number (the last 5 digits) corresponds to the upcoming calendar quarter for the January 2021 release and the last calendar quarter for the December 2020 year-end release.		X		X	X				
11981.2.2	Contractors shall notify Wendy Knarr by email at Wendy.Knarr@cms.hhs.gov, if the release number (the last 5 digits) does not correspond to the upcoming calendar quarter.		X		X	X				
11981.2.3	Contractors shall download the following files and implement them into their testing and production regions: <ol style="list-style-type: none"> <li>The ZIP5 file for the January 2021 release: MU00.@AAA2390.ZIP5.LOCALITY.V20211 (The release number for this file is 20211, i.e., release 1 for the year 2021).</li> <li>The ZIP9 file for the January 2021 release: MU00.@AAA2390.ZIP9.LOCALITY.V20211 (The release number for this file is 20211, i.e., release 1 for the year 2021).</li> </ol>		X		X	X				VDC
11981.2.4	Contractors shall download the following files and implement them into their testing and production regions: <ol style="list-style-type: none"> <li>The ZIP5 file for the December 2020 year-end release: MU00.@AAA2390.ZIP5.LOCALITY.V20204 (The release number for this file is 20204, i.e., end of year release for the year 2020.)</li> <li>The ZIP9 file for the December 2020 year-end release: MU00.@AAA2390.ZIP9.LOCALITY.V20204 (The release number for this file is 20204, i.e., end of year release for the year 2020.)</li> </ol>		X		X	X				VDC

Number	Requirement	Responsibility								
		A/B MAC		D M E M A C	Shared- System Maintainers				Other	
		A	B		H H H	F I S S	M C S	V M S		C W F
11981.3	Contractors shall notify CMS of successful receipt via e-mail to price_file_receipt@cms.hhs.gov stating the name of the file received, (e.g., CLAB, ASP, etc.) and the entity for which it was received (i.e., include states, carrier numbers, quarter, and if Part A, Part B, or both)."	X	X		X	X				

### III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility				
		A/B MAC			D M E M A C	C E D I
		A	B	H H H		
	None					

### IV. SUPPORTING INFORMATION

**Section A: Recommendations and supporting information associated with listed requirements: N/A**

*"Should" denotes a recommendation.*

X-Ref Requirement Number	Recommendations or other supporting information:

**Section B: All other recommendations and supporting information: N/A**

### V. CONTACTS

**Pre-Implementation Contact(s):** Wendy Knarr, Wendy.Knarr@cms.hhs.gov

**Post-Implementation Contact(s):** Contact your Contracting Officer's Representative (COR).

### VI. FUNDING

#### Section A: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is

not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**ATTACHMENTS: 0**