

<b>CMS Manual System</b>	<b>Department of Health &amp; Human Services (DHHS)</b>
<b>Pub 100-20 One-Time Notification</b>	<b>Centers for Medicare &amp; Medicaid Services (CMS)</b>
<b>Transmittal 12752</b>	<b>Date: July 31, 2024</b>
	<b>Change Request 13527</b>

**Transmittal 12641 issued May 16, 2024, is being rescinded and replaced by Transmittal 12752, dated July 31, 2024, to revise the Header Layout on the Suppression File Format attachment. All other information remains the same.**

**SUBJECT: Updates to the Recovery Audit Contractor Data Warehouse (RACDW) Suppression Upload File Format**

**I. SUMMARY OF CHANGES:** The purpose of this Change Request (CR) is to implement updates to the RACDW Suppression Upload File Format to include new fields and new requirements for existing fields.

**EFFECTIVE DATE: October 1, 2024**

*\*Unless otherwise specified, the effective date is the date of service.*

**IMPLEMENTATION DATE: October 7, 2024**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

<b>R/N/D</b>	<b>CHAPTER / SECTION / SUBSECTION / TITLE</b>
N/A	N/A

**III. FUNDING:**

**For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**IV. ATTACHMENTS:**

**One Time Notification**

# Attachment - One-Time Notification

Pub. 100-20	Transmittal: 12752	Date: July 31, 2024	Change Request: 13527
-------------	--------------------	---------------------	-----------------------

**Transmittal 12641 issued May 16, 2024, is being rescinded and replaced by Transmittal 12752, dated July 31, 2024, to revise the Header Layout on the Suppression File Format attachment. All other information remains the same.**

**SUBJECT: Updates to the Recovery Audit Contractor Data Warehouse (RACDW) Suppression Upload File Format**

**EFFECTIVE DATE: October 1, 2024**

*\*Unless otherwise specified, the effective date is the date of service.*

**IMPLEMENTATION DATE: October 7, 2024**

**I. SUMMARY OF CHANGES:** The purpose of this Change Request (CR) is to implement updates to the RACDW Suppression Upload File Format to include new fields and new requirements for existing fields.

## II. GENERAL INFORMATION

**A. Background:** The purpose of this Change Request (CR) is to implement updates to the RACDW Suppression Upload File Format to include new fields and new requirements for existing fields.

**B. Policy:** The nationwide Recovery Audit program was mandated under Division B, Title III, Section 302 of the Tax Relief and Healthcare Act of 2006.

## III. BUSINESS REQUIREMENTS TABLE

*"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.*

Number	Requirement	Responsibility										
		A/B MAC			D M E M A C	Shared- System Maintainers				Other		
		A	B	H H H		F I S S	M C S	V M S	C W F			
13527.1	The contractor shall utilize the updated Suppression File Format to upload suppression data into the RAC Data Warehouse.	X	X	X	X							CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.2	The contractor shall enter the Unique Provider National Provider Identification (NPI) for each provider being suppressed.	X	X	X	X							CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs

Number	Requirement	Responsibility								
		A/B MAC			D M E M A C	Shared- System Maintainers				Other
		A	B	H H H		F I S S	M C S	V M S	C W F	
13527.3	If the contractor is suppressing a Diagnostic Related Group (DRG) code, the contractors shall enter the 4-digit DRG Code.	X	X	X	X					
13527.3.1	If the contractor is suppressing multiple DRG codes for a single provider, the contractor shall enter each DRG code being suppressed as a separate suppression file.	X	X	X	X					CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.4	The contractor shall enter either a Provider State Code or a Provider Zip Code for each provider being suppressed.	X	X	X	X					CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.5	The contractor shall enter the provider's name within the Comment field for each provider being suppressed.	X	X	X	X					CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.6	The contractor shall enter the name, email, and phone number of the user's CMS COR.	X	X	X	X					QIO, RRB-SMAC, SMRC, UPICs
13527.7	The contractor shall enter the UPIC CSE (case) Number for each provider being suppressed.									UPICs
13527.8	The contractor shall enter the Investigation Status code for each provider being suppressed.	X	X	X	X					CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.8.1	The contractor shall utilize the following Types of Investigation Stage Values:  1 – Initial Lead  2 – Open Investigation  3 – Final Investigation  4 - Closed Investigation	X	X	X	X					CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs

Number	Requirement	Responsibility										
		A/B MAC			D M E M A C	Shared-System Maintainers				Other		
		A	B	H H H		F I S S	M C S	V M S	C W F			
	Note: If Initial Lead is selected, no codes will be required if they are unavailable.											
13527.9	The contractor should enter the Revenue Code for any provider being suppressed.	X	X	X	X							CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.10	The contractor shall enter the Reason for Investigation code for each provider being suppressed.	X	X	X	X							CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.10.1	The contractor shall enter a Reason for Investigation within the comment field if 'Other' is selected.	X	X	X	X							CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.11	The contractor shall enter a Referred From code for each provider being suppressed.	X	X	X	X							CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs
13527.11.1	The contractor shall enter a Referred From entity within the comment field if 'Other' is selected.	X	X	X	X							CMS, QIO, RAC Data Warehouse, RRB-SMAC, SMRC, UPICs

**IV. PROVIDER EDUCATION TABLE**

Number	Requirement	Responsibility				
		A/B MAC			D M E M A C	C E D I
		A	B	H H H		
	None					

## V. SUPPORTING INFORMATION

**Section A: Recommendations and supporting information associated with listed requirements:** N/A

*"Should" denotes a recommendation.*

X-Ref Requirement Number	Recommendations or other supporting information:
--------------------------	--

**Section B: All other recommendations and supporting information:**N/A

## VI. CONTACTS

**Pre-Implementation Contact(s):** Olive Davies-Cole, 410-786-1207 or Olive.Davies-Cole@CMS.hhs.gov

**Post-Implementation Contact(s):** Contact your Contracting Officer's Representative (COR).

## VII. FUNDING

### **Section A: For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**ATTACHMENTS: 1**