

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
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Medicare Plan Payment Group
Innovative Healthcare Delivery Systems Group

DATE: May 15, 2014

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and Demonstration Organizations Systems Staff

FROM: Cheri Rice /s/
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SUBJECT: Announcement of the August 2014 Software Release

The Centers for Medicare and Medicaid Services (CMS) continues to implement software improvements to the enrollment and payment systems that support Medicare Advantage and Prescription Drug (MAPD) programs. This letter provides detailed information regarding the planned release of systems changes scheduled for August 2014. This release focuses on improving the efficiency of CMS systems as well as Plan processing.

The August 2014 Release changes are as follows and may require Plan action:

1. [Display Full Enrollment History on the Medicare Advantage Prescription Drug System \(MARx\) Eligibility Screen](#)
2. [MARx User Interface \(UI\) Screen Enhancements](#)
3. [MARx to Receive & Process State Dual Medicaid Audit Records from the Medicare Beneficiary Database \(MBD\)](#)
4. [Prevention of Premium Withholding for Members of Some Plans](#)
5. [Note Regarding Limiting the Number of Multiple MARx UI Sessions](#)

1. [Display Full Enrollment History on the Medicare Advantage Prescription Drug System \(MARx\) Eligibility Screen](#)

The Beneficiary Eligibility (M232) screen is being enhanced for Plan users. They will see the following changes:

- Addition of the End date in the Enrollment Information section of the screen.

- Addition of the Plan Benefit Package (PBP) as a column in the Enrollment Information section of the screen.
- Display of consecutive enrollments in two different PBPs under the same contract on separate lines.
- Display of all of a beneficiary's enrollments in the Enrollment Information section of the screen with the most recent enrollment as the top row.
- Display of the contract and PBP associated with the two most recent enrollments. No other enrollments will display the contract/PBP information. Only the start/end dates and drug flag indicator will be displayed.
- For enrollments in PACE contracts, there will be a special indicator to identify that the beneficiary did not have Part D entitlement for the full PACE enrollment period.

The Part D Enrollment section will be removed from the M232 screen because the Drug Plan information is shown as a column in the Enrollment Information section. Please note that multiple lines do not necessarily mean there were multiple periods of enrollment. The lines denote the timeframes during which the contract provided drug coverage.

An example of the updated Beneficiary Eligibility (M232) screen is attached:

- *Beneficiary Eligibility Screen (M232):* [Attachment A](#)

2. MARx User Interface (UI) Screen Enhancements

Payment/Adjustment Detail Screen:

The MARx system currently captures and stores the total dollar amounts paid to a Plan on a monthly basis as well as the amount paid for payment adjustments on the Payment/Adjustment Detail Screen. In an effort to display information in an organized manner, CMS will restructure the information populated to the MARx Payment/Adjustment Detail Screen. (See [Attachment B, Figure 1](#))

Viewing Payments and Adjustments:

If a beneficiary has payment/adjustment data available in MARx, the end user may click on the chevron (>>) to the left of the Payment or Adjustment line and a drop-down box displays the components that make up the payment/adjustment line. (See [Attachment B, Figure 2](#))

Adjustments will be totaled by adjustment reason code. To view all the payment adjustments associated with one of the adjustment reason codes, the user may click on the adjustment reason code and a drop-down box will display all the adjustments for that adjustment reason code. (See [Attachment B, Figure 3 and 4](#))

UI Message Displaying the Last Successful Login:

To stay in compliance with the CMS Policy for Information Security and Privacy, CMS will modify the MARx application to display a message indicating the last successful login date at the time of logon. (See [Attachment B, Figure 5](#))

3. MARx to Receive & Process State Dual Medicaid Audit Records from the Medicare Beneficiary Database (MBD)

Status Activity Screen:

CMS will introduce new MARx UI screens displaying a beneficiary's current Medicaid and Home Community Based Services (HCBS) health status information and the history of audited and valid records. (See [Attachment B, Figure 6](#))

If a beneficiary has a history of a Medicaid or HCBS special status, a "View" hyperlink will be displayed in the history column for that special status. When the user selects the hyperlink, the user can view the special status history on the Status Detail screen. (See [Attachment B, Figure 7](#))

Status Detail:

The new Status Detail screen displays data specific to each of the special statuses (e.g., Medicaid, and HCBS) and, if applicable, the data records/periods that are valid and audited. The most common data values populated on the Status Detail screen are:

- Status Start and End Date
- Valid/Audit Record
- Record Add Timestamp
- Record Update Timestamp
- Record Audit Timestamp

With the introduction of the Status Activity (M256) and Status Detail (M257) screens, the following screens have become redundant and will be retired with this release:

- Beneficiary Detail: Status (M205),
- Beneficiary Detail: History (M208), and
- Beneficiary Detail: Medicaid (M236).

Valid and Audited Records:

Prior to this enhancement, special status audited information was not available in the MARx UI. This enhancement will allow users to select the "View Audit" link to view the audited information history for most of the statuses. (See [Attachment B, Figure 8](#))

4. Prevention of Premium Withholding for Members of Some Plans

The majority of members in Medicare-Medicaid Plans (MMPs), Program for All-Inclusive Care for the Elderly (PACE) Plans, and the Limited Income Newly Eligible Transition (LINET – formerly known as POS/POS10 (Point of Sale)) Plans do not have premiums. Therefore, premium withhold will not be an option for members of these Plans.

After the MARx August 2014 release, any Transaction Type 75 submitted by these Plan types requesting Social Security Administration (SSA) or Railroad Retirement Board (RRB) withholding will be rejected with transaction reply code (TRC) 191 – No Change in Premium Withhold Option. The definition of that TRC now includes a reason stating SSA/RRB withholding was requested for a LINET, MMP, or PACE Plan.

Similarly, when any Transaction Type 61 submitted by these Plan types requesting withholding by SSA/RRB is accepted, the Premium Payment Option (PPO) will change to Direct Bill. Plans will receive TRC 338 – Enrollment Accepted, PPO Changed.

In the Advance Announcement of the August 2014 Software Release, we stated that POS Plans would be subject to this new editing. That statement is incorrect. Members of POS Plans can continue having their premiums withheld by SSA/RRB. Prevention of withholding only applies to the PACE, MMP, and LINET Plan types.

The Transaction Reply Codes (TRCs) associated with this change are attached:

- *New TRC 338, Enrollment Accepted, PPO Changed: [Attachment C, Figure 1](#)*
- *Updated TRC 191, No Change in Premium Withhold Option: [Attachment C, Figure 2](#)*

5. Note Regarding Limiting the Number of Multiple MARx UI Sessions

CMS is postponing the implementation of MAPD 2689, Limit the Number of Multiple MARx UI Sessions, due to the complexity of the proposed changes. MAPD 2689 is tentatively scheduled for the February 2015 release.

Plans are encouraged to contact the MAPD Help Desk for any issues encountered during the systems update process. Please direct any questions or concerns to the MAPD Help Desk at 1-800-927-8069 or e-mail at mapdhelp@cms.hhs.gov.

Beneficiary Eligibility (M232) Screen

Beneficiary: Eligibility (M232) User: XXXX Role: Date: 4/23/2014 [Print](#) [Help...](#)

Enter the claim number of the beneficiary.
 *Indicates required field

*Claim #
 Date

Claim Number: XXXXXXXXXA
 Claim Number Cross Reference:
 Name:
 Birth Date: 05/29/1940
 Date of Death:
 Sex: F
 Address: 100 MAIN STREET
 ANYTOWN, UT 46000
 Most recent State: UT (46)
 Most recent County: SALT LAKE (170)

Enrollment Information for 04/23/2014				
Contract	PBP	Start	End	Drug Plan
H1036	019	01/01/2014		Y
H1036	010	10/01/2012	12/31/2013	Y
		01/01/2011	09/30/2012	Y
		04/01/2010	12/31/2010	Y
		05/01/2009	03/31/2010	Y
		01/01/2009	04/30/2009	Y*

* Beneficiary is enrolled in a PACE plan. If this plan offers Part D benefits, this beneficiary will only receive them if eligible for Part D.

Entitlement Information			
Part	Start	End	Option
A	05/01/2005		E
B	05/01/2005		Y

Eligibility Information		
Part	Start	End
D	03/01/2009	

Number of Uncovered Months View Audit					
Start Date	Indicator	Number of Uncovered Months	Total Number of Uncovered Months	Record Add-Time Stamp	Record Type
01/01/2009		0	0	01/08/2009 0:0:0	V
05/01/2009		0	0	05/13/2009 0:0:0	V
04/01/2010		0	0	03/11/2010 0:0:0	V
01/01/2011		0	0	12/15/2010 0:0:0	V
10/01/2012		0	0	09/05/2012 0:0:0	V
01/01/2014		0	0	10/22/2013 0:0:0	V

Employer Subsidy	
Start	End
There are no employer subsidies for the beneficiary	

Low Income Status				
Subsidy Start Date	Subsidy End Date	Premium Subsidy Level	Co-Payment Level	Subsidy Source
There are no low income subsidies for the beneficiary				

Attachment B

Figure 1: Payment/Adjustment Detail (M215) Screen – Monthly Payment and Adjustment Totals

Payment/Adjustment Detail (M215)										
Payment Date	Type	Description	Adjustment Code	Part A	Part B	Part D	Total	Paid for Month	Paid Flag	Cleanup ID
03/2014	ADJUSTMENT	TOTAL		\$0.00	\$0.00	\$40.68	\$40.68	03/2014	Y	
03/2014	PAYMENT	TOTAL	-	\$74.28	\$83.59	\$249.01	\$406.88	03/2014	Y	

Figure 2: Payment/Adjustment Detail (M215) Screen – Display the Breakdown of Payment Data by Means of a Clickable Drop Down Function

Payment/Adjustment Detail (M215)										
Payment Date	Type	Description	Adjustment Code	Part A	Part B	Part D	Total	Paid for Month	Paid Flag	Cleanup ID
07/01/2009	PAYMENT	TOTAL		277.01	242.72	126.95	646.68			
07/01/2009	PAYMENT COMPONENT	RISK ADJUSTMENT	-	188.66	165.31	.00	353.97	07/01/2009	Y	
07/01/2009	PAYMENT COMPONENT	DEMOGRAPHIC	-	.00	.00	.00	.00	07/01/2009	-	
07/01/2009	PAYMENT	BLEND	-	188.66	165.31	.00	353.97	07/01/2009	Y	
07/01/2009	PAYMENT	PART C PREMIUM	-	.00	.00	.00	.00	07/01/2009	Y	
07/01/2009	PAYMENT	PART A/B COST SHARING REDUCTION	-	53.40	46.70	.00	100.10	07/01/2009	Y	
07/01/2009	PAYMENT	PART A/B MANDATORY SUPP BENEFITS	-	15.66	13.72	.00	29.38	07/01/2009	Y	
07/01/2009	PAYMENT	PART D SUPP BENEFITS	-	19.29	16.91	.00	36.20	07/01/2009	Y	
07/01/2009	PAYMENT COMPONENT	PART D BASIC PREMIUM	-	.00	.00	21.70	21.70	07/01/2009	-	
07/01/2009	PAYMENT	PART D DIRECT SUBSIDY	-	.00	.00	67.74	67.74	07/01/2009	Y	
07/01/2009	PAYMENT	PART D REINSURANCE	-	.00	.00	37.51	37.51	07/01/2009	Y	
07/01/2009	PAYMENT	PART D BASIC PREMIUM REDUCTION REBATE	-	11.57	10.13	.00	21.70	07/01/2009	Y	
07/01/2009	PAYMENT	TOTAL	-	277.01	242.72	126.95	646.68	07/01/2009	Y	
07/01/2009	ADJUSTMENT	TOTAL	-	-626.22	-548.70	-1.80	-1176.72			

Figure 3: Payment/Adjustment Detail (M215) Screen – Display the Breakdown of Adjustment Data (Sorted by Adjustment Reason Code) by Means of Clickable Drop Down Function

Claim #: [REDACTED] ACTIVE DOB: 3
Age: 25 Sex: MALE
*State: MA (22) County: HAMPDEN (070)

Payment/Adjustment Detail (M215) User: Role Date: 3/25/2014 [Close](#) [Print](#) [Help...](#)

[Export as excel](#)

Payment Date	Type	Description	Adjustment Code	Payment/Adjustments				Paid for Month	Paid Flag	Cleanup ID
				Part A	Part B	Part D	Total			
* 07/01/2009	PAYMENT	TOTAL		277.01	242.72	126.95	646.68			
* 07/01/2009	ADJUSTMENT	TOTAL	-	-626.22	-548.70	-1.80	-1176.72			
07/01/2009	ADJUSTMENT	TOTAL	26-PART C RISK ADJUSTMENT FACTOR CHANGE (MID-YEAR)	-626.22	-548.70	.00	-1174.92			
07/01/2009	ADJUSTMENT	TOTAL	41-PART D RISK ADJUSTER FACTOR CHANGE / ONGOING	.00	.00	-1.80	-1.80			

Figure 4: Payment/Adjustment Detail (M215) Screen – Display the Breakdown of Adjustment Data by Means of Clickable Drop Down Function from the Adjustment Reason Code Detail Line

Claim #: [REDACTED] ACTIVE DOB
Age: 25 Sex: MALE
*State: MA (22) County: HAMPDEN (070)

Payment/Adjustment Detail (M215) User: Role Date: 3/25/2014 [Close](#) [Print](#) [Help...](#)

[Export as excel](#)

Payment Date	Type	Description	Adjustment Code	Payment/Adjustments				Paid for Month	Paid Flag	Cleanup ID
				Part A	Part B	Part D	Total			
* 07/01/2009	PAYMENT	TOTAL		277.01	242.72	126.95	646.68			
* 07/01/2009	ADJUSTMENT	TOTAL	-	-626.22	-548.70	-1.80	-1176.72			
07/01/2009	ADJUSTMENT	TOTAL	26-PART C RISK ADJUSTMENT FACTOR CHANGE (MID-YEAR)	-626.22	-548.70	.00	-1174.92			
07/01/2009	ADJUSTMENT	TOTAL	41-PART D RISK ADJUSTER FACTOR CHANGE / ONGOING	.00	.00	-1.80	-1.80			

Adjustments Components Table - Contract# H5698

Payment Date	Type	Description	Adjustment Code	Payment/Adjustments				Paid for Month	Paid Flag	Cleanup ID
				Part A	Part B	Part D	Total			
* 07/01/2009	ADJUSTMENT	PART D DIRECT SUBSIDY	41-PART D RISK ADJUSTER FACTOR CHANGE / ONGOING	.00	.00	-.30	-.30	06/01/2009	Y	
* 07/01/2009	ADJUSTMENT	TOTAL	41-PART D RISK ADJUSTER FACTOR CHANGE / ONGOING	.00	.00	-.30	-.30	06/01/2009	Y	
* 07/01/2009	ADJUSTMENT	PART D DIRECT SUBSIDY	41-PART D RISK ADJUSTER FACTOR CHANGE / ONGOING	.00	.00	-.30	-.30	05/01/2009	Y	
* 07/01/2009	ADJUSTMENT	TOTAL	41-PART D RISK ADJUSTER FACTOR CHANGE / ONGOING	.00	.00	-.30	-.30	05/01/2009	Y	

Figure 5: User Security Role Selection (M002) Screen with Last Logged In Date and Time Displayed at Top of Screen



Figure 6: Status Activity (M256) Screen

Claim #: ACTIVE DOB: Age: Sex: FEMALE
State: IA (16) County: WINNESHIEK (950)

Snapshot | Enrollment | Payments | Adjustments | Premiums | SSA - RRB | PW Paid/Collected | Transactions | Factors | Utilization | MSA | Residence Address | Rx Insurance | Jurisdiction Status Activity

Status Activity (M256) User: Role: Date: 2/15/2014 Close Print Help...
Change User View

*View hyperlink is only displayed when more information is available.
Information on the screen represents the beneficiary's status as of today's date.*

SSA State and County Codes			
State	County	History	
IA (16)	WINNESHIEK (950)	View	

Health Status Flags			
Active	Type	History	
Y	ESRD	View	
Y	MSP	View	
N	NHC		
Y	HHC	View	
N	Medicaid		
N	Hospice	View	
Y	HCBS	View	
N	XREF		
N	Institutional		
N	Long Term Institutional		
Y	Disabled	View	

Eligibility Status Flags			
Active	Type	History	
Y	Part A	View	
Y	Part B	View	
Y	Part D	View	
N	Employer Subsidy	View	
Y	Opt-Out Part D		
N	Opt-Out MMP		

Low Income Subsidy				
Subsidy Start	Subsidy End	LI Premium Subsidy Level	LI Co-payment Level	History
07/01/2012		50%		View

Uncovered Months	
Months	History
25	View

Attachment B

Figure 7: Status Detail (M257) Screen – Valid Records

Claim #: [REDACTED] **ACTIVE** **DOB:** [REDACTED]
 Age: 86 Sex: FEMALE
 State: IA (16) County: WINNEESHIEK (950)

Status Detail: Medicaid (M257) User: [REDACTED] Role: [REDACTED] Date: 2/15/2014

Medicaid

Status Period Start Date	Status Period End Date	Medicaid Source	State	Premiums Payer Code	Dual Status Code	Record Add Timestamp	Record Update Timestamp
* 07/01/2013	02/28/2014	003P - PLANS	IA (16)	999	05 - QDWI	07/01/2013 00:00:00	07/01/2013 00:00:00
* 07/01/2012	06/30/2013	003P - PLANS	MD (01)	999	03 - SLMB ONLY	07/01/2012 00:00:00	07/01/2012 00:00:00

Figure 8: Status Detail (M257) Screen – Audited Records

Claim #: [REDACTED] **ACTIVE** **DOB:** [REDACTED]
 Age: 86 Sex: FEMALE
 State: IA (16) County: WINNEESHIEK (950)

Status Detail: Medicaid (M257) User: [REDACTED] Role: [REDACTED] Date: 2/15/2014

Medicaid

Status Period Start Date	Status Period End Date	Medicaid Source	State	Premiums Payer Code	Dual Status Code	Record Add Timestamp	Record Update Timestamp
* 07/01/2013	02/28/2014	003P - PLANS	IA (16)	999	05 - QDWI	07/01/2013 00:00:00	07/01/2013 00:00:00

Medicaid Eligibility Month/Year	Dual Status Code	Record Add Timestamp	Record Update Timestamp	Action
02/2014	05 - QDWI	02/01/2014 00:00:00	02/01/2014 00:00:00	View Audit
01/2014	05 - QDWI	01/01/2014 00:00:00	01/01/2014 00:00:00	View Audit
12/2013	05 - QDWI	12/01/2013 00:00:00	12/01/2013 00:00:00	View Audit
11/2013	05 - QDWI	11/01/2013 00:00:00	11/01/2013 00:00:00	View Audit
10/2013	05 - QDWI	10/01/2013 00:00:00	10/01/2013 00:00:00	View Audit
09/2013	05 - QDWI	09/01/2013 00:00:00	09/01/2013 00:00:00	View Audit
08/2013	05 - QDWI	08/01/2013 00:00:00	08/01/2013 00:00:00	View Audit
07/2013	05 - QDWI	07/01/2013 00:00:00	07/01/2013 00:00:00	Hide Audit

* 07/01/2012	06/30/2013	003P - PLANS	MD (01)	999	03 - SLMB ONLY	07/01/2012 00:00:00	07/01/2012 00:00:00
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Audit Details

Medicaid Eligibility Month/Year	Valid/Audit	Record Add Timestamp	Record Update Timestamp	Record Audit Timestamp
07/2013	V	07/01/2013 09:26:34	07/01/2013 09:26:50	
07/2013	A	07/01/2013 09:10:07	07/01/2013 09:10:16	07/01/2013 09:15:27
07/2013	A	07/01/2013 09:03:10	07/01/2013 09:03:17	07/01/2013 09:04:54

Figure 1: New TRC 338 – Enrollment Accepted, PPO Changed

Code	Type	Title	Short Definition	Definition
338	I	Enrollment Accepted, PPO Changed	PPO CHG	<p>CMS has changed the Premium Payment Option specified on the enrollment transaction because the beneficiary is enrolled in a LINET, MMP, or PACE plan. If the beneficiary premiums are zero, the PPO is changed to 'N – No Premium'. If the beneficiary premiums are greater than zero, the PPO is changed to 'D – direct bill'.</p> <p>This TRC may be generated in response to an accepted Enrollment or PBP change (Transaction Type 61).</p> <p>Plan Action: Update the Plan's beneficiary records to reflect the updated premium payment method.</p>

Figure 2: Updated TRC 191 – No Change in Premium Withhold Option

Code	Type	Title	Short Definition	Definition
191	R	No Change in Premium Withhold Option	DUP PRM WH OPTN	<p>A Premium Payment Option Change transaction (Transaction Type 75) was submitted, however, no data change was made to the beneficiary's record for one of the following reasons:</p> <ol style="list-style-type: none"> 1. The submitted transaction contained a Premium Payment Option value that matched the Premium Payment Option already on record with CMS. 2. Beneficiary has a premium. Setting the Premium Payment Option to "no premium", "N", is not acceptable. Beneficiary premium may be due wholly or in part to a late enrollment penalty. 3. Beneficiary premiums are zero. Withholding cannot be established. 4. A Premium Payment Option request of 'Deduct from SSA (S)' or 'Deduct from RRB (R)' was submitted on a Premium Payment Option Change transaction (Transaction Type 75) when the beneficiary has 'No Premiums'. The Premium Payment Option was set to 'N', which matches the Premium Payment Option already on record with CMS. 5. SSA or RRB Withholding was requested for a LINET, MMP or PACE Plan. <p>This transaction had no effect on the beneficiary's records.</p> <p>Plan Action: None required.</p>