



OFFICE OF INFORMATION SERVICES

DATE: September 20, 2006

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and Demonstration Organizations Systems Staff

FROM: Henry Chao /s/
Deputy Director, Information Services Design and Development Group

**SUBJECT: Special TRR Communication – Week-at-a-Glance
September 25th through September 29th**

The following describes the Special TRRs that CMS is preparing to send to Plans during the week of September 25, 2006. The focus of these TRRs is described below. Only Plans with transactions/beneficiaries that are impacted by the specific conditions of each cleanup will receive a file.

Target dates for the Special TRRs are provided, however Plans should be aware that system resources and other factors might alter this schedule. The MMAHelp Desk will communicate any changes to the schedule that occur after this memo is published to Plans.

General Reminder to all Plans – CMS has changed the plan data submission cutoff date in November from November 15 to November 8, 2006. Please make necessary adjustments to your processes to meet this date.

Monday, September 25, 2006

Special Cleanup TRR

This TRR will follow normal naming conventions:
e.g. P#MMA.@BGD5050.PLNxxxxx.YM200609.D25.TRWEEKD

This TRR will address a number of conditions, some of which have been the subject of the regular Monday “cleanup” TRRs in the past.

1. Locked Beneficiaries – This appears to be happening when 2 transactions try to process at the same time and the beneficiary record is put into a “locked” status. Transactions receiving TRC165, because of beneficiary records being locked by CMS, were reprocessed. Plans should see transactions on the TRR with an accepted or rejected status and a legitimate TRC. Plans should not receive TRC165.

2. Blank TRC codes – Plans see a “Blank TRC” when a transaction (60, 61, 71, or 01) tried to process against a beneficiary record that was already “locked”. Transactions receiving these “Blank TRCs” were reprocessed.
 - a) For beneficiaries where no subsequent transactions have been processed, Plans should see transactions on the TRR with an accepted or rejected status and a legitimate TRC.
 - b) For beneficiaries with subsequent transactions, the reprocessing resulted in TRC199. The TRR containing the records with TRC 199 will be sent to the Plans on Tuesday of this week.

Tuesday, September 26, 2006

Special Reject TRR

This TRR will follow normal naming conventions:

e.g. P#MMA.@BGD5050.PLNxxxxx.YM200609.D26.TRWEEKD

As has been the process in the past, CMS will produce a Special Reject TRR as referred to above. Some transactions that were pended in the system are for beneficiaries who have since had other actions performed on their records. These prior transactions cannot be released back into the system and will be rejected back to plans with a TRC199 - Rejected, Returned to Plan for additional research. After examining these returned transactions, if a plan believes the transaction should be re-run, the plan should re-submit the transaction. This may require use of the retro process.

Wednesday, September 27, 2006

Special TRR – Reprocessing of PBP Change and Enrollment Transactions with TRC 106

This TRR will follow normal naming conventions:

e.g. P#MMA.@BGD5050.PLNxxxxx.YM200609.D27.TRWEEKD

It has come to CMS’ attention that during the TRC 165 cleanup effort, the Effective Date/Application Signature Date on some PBP Change and Enrollment Transactions were inadvertently changed. If a transaction code for a PBP change or enrollment was submitted after the Application Signature Date was changed by the CMS cleanup, then the records would have rejected with TRC 106 – Rejected, Another Transaction Received with Later Application Date.

These transactions will be reprocessed and sent to Plans in this Special TRR. Plans should expect to see:

- 1) The beneficiary successfully enrolled in their Plan with the original Application Signature Date along with a TRC011 - Enrollment Accepted.
- 2) The beneficiary information successfully changed with the original Effective Date.

Please direct questions or concerns to the MMAHelp Desk at 1-800-927-8069 or via email to mmahelp@csm.hhs.gov.