

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard  
Baltimore, Maryland 21244-1850



## **OFFICE OF INFORMATION SERVICES**

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**DATE:** October 5, 2007

**TO:** All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and  
Demonstration Organizations Systems Staff

**FROM:** Henry Chao, Deputy Director /s/  
Information Services Design and Development Group

Thomas Hutchinson  
Director, Medicare Plan Payment Group

**SUBJECT: Week-at-a-Glance October 6<sup>th</sup> through October 13<sup>th</sup>**

The Week-at-a-Glance memo describes the cleanups that CMS is preparing to transmit along with Plan-submitted transactions to Plans on the next two weekly TRRs. The focus of these cleanups is described below. Only Plans with transactions/beneficiaries that are impacted by the specific conditions of each cleanup will receive a file.

Plans should be aware that all information is approximate and subject to change depending on system resources and other factors. The MMA Help Desk will communicate any changes to the schedule that occur after this memo is published to Plans.

### **IMPORTANT: 2007 Premium Withholding Payment Adjustments**

In order to reconcile the 2007 plan level adjustments that were included in the October 2007 payment, plans must utilize the **special MPWR** that was referred to in the October payment letter (September 26, 2007; filename: [\(P.Rxxxxx.MPWRD.D070927.Thhmsst\)](#)). The special MPWR references the period January 1 through December 31, 2007, but the adjustments only reflect adjustments for the period January 1 through July 31, 2007. In addition, the special MPWR will only provide adjustments at the plan and beneficiary level—there is no month-by-month accounting for the plan level adjustments. The members included in the special MPWR are considered “cleared” for January 1 through July 31, 2007 and plans fully paid for that period. There will be a subsequent 2007 adjustment in 2008 for August 1 through December 31, 2007.

**Please note:** The special MPWR was transmitted to Plans twice on Thursday, September 27, 2007. Both files contain the same data; however, Plans are advised to process the file that was transmitted with the naming convention referenced above.

## **Sunday, October 7, 2007**

The weekly TRR that was scheduled for transmission to Plans on Saturday, October 6<sup>th</sup> will be delayed. This week's TRR will be transmitted on Sunday, October 7<sup>th</sup>.

At this time, no cleanups are scheduled for inclusion on the October 7<sup>th</sup> regular weekly TRR. The file will follow the standard naming convention:  
P.Rxxxxx.TRWEEKR.D071007.Thhmsst

## **Saturday, October 13, 2007**

Transactions reprocessed as a result of the following cleanups will appear on the Plan's October 13<sup>th</sup> regular weekly TRR. The file will follow the standard naming convention:  
P.Rxxxxx.TRWEEKR.D071013.Thhmsst

### **TRC 165s for Notifications**

A software problem has been identified, which caused Notifications to be incorrectly rejected with TRC165 - System Delay. This problem has been corrected and the transactions will be reprocessed.

CMS will reprocess the affected Notifications in timestamp order and Plans should expect to see a successful Notification with an appropriate TRC or a legitimate reject code.

### **TRC 165s for MCO Correction**

A software problem has been identified, which caused MCO Corrections (Transaction Type 01) to be incorrectly rejected with TRC165 - System Delay. This problem has been corrected and the transactions will be reprocessed.

CMS will reprocess the affected transactions in timestamp order and Plans should expect to receive one of the following Transaction Reply Codes (TRCs):

- TRC 060 – CORRECTION REJECTED, NOT ENROLLED IN PLAN
- TRC 075 – INSTITUTIONAL STATUS SET
- TRC 078 – MEDICAID STATUS TERMINATED
- TRC 097 – MEDICAID PREVIOUSLY TURNED ON

Payment adjustments may also occur depending on the MCO Correction. Plans will not receive TRC 165.

### **Enrollment Transactions (Code 60, 61, 71) with TRC165**

Following the implementation of Release 3.0 in November 2006, CMS identified a software issue that caused enrollment transactions to receive TRC 165 - System Delay.

CMS will reprocess Enrollment Transactions (Code 60, 61, & 71):

- a) For beneficiaries where no subsequent transactions have been processed, Plans should see transactions on the weekly TRR with an accepted or rejected status and a legitimate TRC. Multiple TRCs may be assigned to a transaction, but Plans will not receive TRC 165. Payment adjustments may also occur depending on the requested effective date of the enrollment transaction.
- b) For beneficiaries with a subsequent successful enrollment transaction, Plans will receive TRC199. Because these transactions are not able to be processed, they will be rejected back to Plans with a TRC199 - Rejected, Returned to Plan for additional research.

### **Incorrect Dual EGHP Enrollment**

CMS has identified a system issue where eligible dual-enrollment MA Plan beneficiaries were incorrectly dual-enrolled prior to January 1, 2007.

The cleanup is addressing these specific situations:

1. The MA Plan drug switch must be set to 'N'
2. The MA Plan must be in one of the following PBOs: 18,19,21,22,23,or 42
3. The Employer switch must be set to 'Y' for both Plans
4. Both the MA enrollment and the PDP enrollment must be in an 800 Series PBP.
5. At least one of the Plans must have an effective date on or after January 1, 2007.

In order to close the loop with the Plans, these transactions will be reprocessed. Plans should expect to see the affected beneficiaries disenrolled and then enrolled in the correct contract/PBP for the correct effective dates, along with an appropriate TRC. As a result, payment adjustments may also occur

Please direct questions or concerns to the MMA Help Desk at [mmahelp@cms.hhs.gov](mailto:mmahelp@cms.hhs.gov) or 1-800-927-8069.