



DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
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TO: Medicare Advantage & Prescription Drug Plan Sponsors and Certifying Actuaries

FROM: Paul Spitalnic, Director
Parts C & D Actuarial Group, CMS Office of the Actuary

DATE: August 7, 2012

SUBJECT: Contract Year (CY) 2013 Final Actuarial Certification Deadline

As part of the CY 2013 bid submission requirements, an actuarial certification must be submitted, via the HPMS actuarial certification module, for every Bid Pricing Tool (BPT) uploaded to HPMS. Certifying actuaries were required to complete the initial certification process in June and are now required to complete the final certification process, as discussed in Appendix A of the CY 2013 Bid Pricing Tool instructions. The actuarial certification module is available, and all final certifications must be completed by **COB Monday August 13, 2012**.

If no resubmission occurred during the bid review process, such that the HPMS upload version number of the plan that is currently in HPMS is the same version number as originally certified in June, then the original certification will be considered the final certification and no additional certification is required at this time. However, if a resubmission occurred during the bid review process - for either Plan Benefit Package (PBP) or BPT issues - then a final certification must be submitted by the deadline. Even if no BPT changes occurred during those resubmissions, a final certification is still required since the HPMS upload version number has changed. The HPMS version number is displayed in the certification module for each bid.

Please note that if a final certification is not submitted via the HPMS actuarial certification module, then bid will not be considered for CMS review or approval. Completing the actuarial certification is only one of the bid submission requirements. All other requirements must be completed by the appropriate deadlines in order for the bid to receive consideration for approval by CMS.

If a bid is not being resubmitted for rebate reallocation (e.g., PDPs) and is not being resubmitted for any other outstanding bid review issues, then the final actuarial certification should be completed as soon as possible, but not later than the deadline specified above. For bids that will be resubmitted during the rebate reallocation period, the certifying actuary should wait until the rebate reallocation resubmission occurs and is processed by HPMS before submitting the final actuarial certification.

For all bids, we encourage actuaries to review the information uploaded to HPMS pertaining to the bids that they will certify before submitting the final actuarial certification. CMS relies on the final actuarial certification that the bid development is reasonable for the filed Plan Benefit

Package (PBP), and does not allow changes to the BPT after the final actuarial certification is submitted. Any inconsistencies within the BPT, or between the BPT and the PBP, discovered while preparing the final certification should be discussed with the plan sponsor before they are brought to the attention of CMS. Should any changes to the submission seem warranted, please contact Tristan Cope at Tristan.Cope@cms.hhs.gov as soon as possible.

No material changes to the previously submitted certification language will be considered unless directly requested by CMS. If changes were requested by CMS, these issues must be addressed. These guidelines must be followed in order for the bid to receive consideration for approval by CMS.

The actuary who certified the original bid in HPMS should be the same actuary who submits the final certification. However, if for some reason this actuary is not available, (i.e. no longer at the company, etc.) another qualified actuary may certify the bid as long as no additional qualifying text is appended to the certification.

For Additional Information

For additional information on the CY 2013 certification process, please refer to the following resources:

- Memorandum released via HPMS, dated June 5, 2012, regarding the initial certification process and deadline, which included technical instructions for the actuarial certification module.
- Appendix A of the CY 2013 MA and Part D Bid Pricing Tool instructions.

For technical questions or problems with the certification module, please contact the HPMS Help Desk at 1-800-220-2028 or hpms@cms.hhs.gov.