

DEPARTMENT OF HEALTH & HUMAN  
SERVICES  
Centers for Medicare & Medicaid Services  
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**CENTER FOR MEDICARE**

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**DATE:** February 9, 2022

**TO:** Medicare Advantage Organizations, Part D Sponsors, Medicare-Medicaid Plans, Cost Contractors, and Demonstrations

**FROM:** Jennifer R. Shapiro, Director, Medicare Plan Payment Group

**SUBJECT:** ESRD and Part D Risk Scores for the Risk Adjustment Models Discussed in the 2023 Advance Notice

On February 2, 2022 CMS published the 2023 Advance Notice, which discusses the proposed ESRD and Part D risk adjustment models. This memo is to inform MA organizations and Part D sponsors that CMS has posted plan-level risk scores on HPMS. These risk scores are calculated with the models discussed in the 2023 Advance Notice. Risk scores and accompanying technical notes can be found in the Risk Adjustment module of HPMS under the headings “Proposed PY2023 ESRD Model Risk Scores” and “Proposed PY2023 Part D Model Risk Scores.” Select “Proposed PY2023 ESRD Model Risk Scores” or “Proposed PY2023 Part D Model Risk Scores” from the drop-down menu and enter a Contract ID to access information related to a specific contract number.

All risk scores posted on HPMS are Payment Year (PY) 2020 risk scores, calculated with diagnoses submitted for 2019 dates of service. The provided risk scores were calculated using risk adjustment models currently in place for PY 2022 (i.e., the 2022 RxHCC model and the 2020 ESRD model), and using the proposed models for PY 2023 as described in the 2023 Advance Notice. The sources of diagnoses for the risk scores are from encounter data and FFS claims.

As discussed in the CY 2023 Advance Notice, changes were made to the underlying diagnostic groupings within RxHCCs to improve predictive accuracy. Preliminary ICD-10 diagnosis code to 2023 RxHCC model mappings are posted on the [CMS Risk Adjustment Webpage](#). The mappings will be updated with additional diagnoses for CY 2023 on the typical release cycle. Note, the ESRD risk adjustment models will use the same ICD-10 diagnosis code to HCC mappings that are currently being used for the 2020 CMS-HCC model.

For technical questions related to the risk scores posted on HPMS, please email the CMS Risk Adjustment Policy mailbox at [RiskAdjustmentPolicy@cms.hhs.gov](mailto:RiskAdjustmentPolicy@cms.hhs.gov) and specify “2023 Advance Notice ESRD and Part D Risk Scores” in the subject line.

To submit electronic comments on proposals in the 2023 Advance Notice, go to the website [Regulations.gov](https://www.regulations.gov), enter the docket number “CMS-2022-0021” in the “Search” field, and follow the instructions for “submitting a comment.”