

Keystone Heart Cerebral Embolic Protection CMS March 2019

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TAVR: Growing Indication

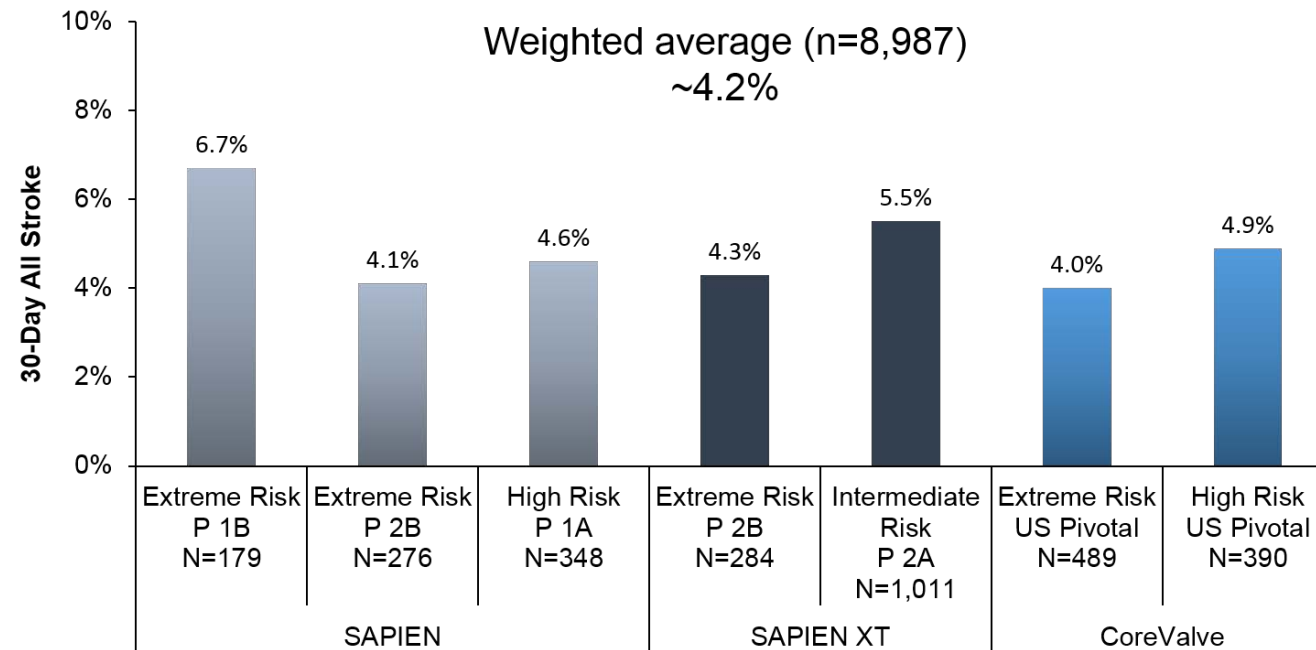
FUTURE MANAGEMENT STRATEGIES FOR PATIENTS WITH SYMPTOMATIC SEVERE AORTIC STENOSIS

'Prohibitive risk' patients	Extreme risk or 'inoperable' patients	'High risk' patients	'Lower risk' patients*
<ul style="list-style-type: none"> Surgical aortic valve replacement (SAVR) Transcatheter aortic valve replacement (TAVR) <ul style="list-style-type: none">• Both SAVR and TAVR considered 'futile'• Focus on symptom relief and palliation	<ul style="list-style-type: none"> SAVR TAVR <ul style="list-style-type: none">• SAVR suboptimal• TAVR expected to improve survival and quality of life (QoL)	<ul style="list-style-type: none"> SAVR TAVR (preferred) <ul style="list-style-type: none">• Both SAVR and TAVR expected to improve survival and QoL• TAVR preferred unless age, anatomical or other patient factors make SAVR the superior option	<ul style="list-style-type: none"> SAVR (preferred) TAVR <ul style="list-style-type: none">• Both SAVR and TAVR expected to improve survival and QoL• May consider TAVR in absence of anatomic or unfavorable clinical characteristics with emphasis on patient age and valve durability

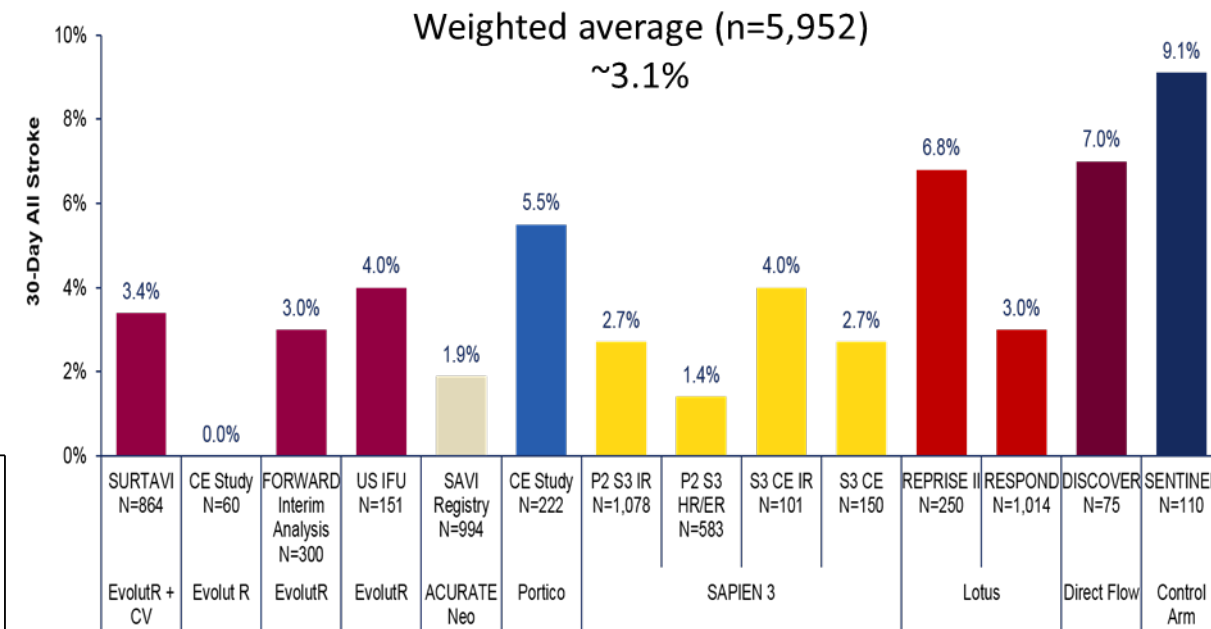
TAVR procedures continue to increase
This year > 125,000 and projected 300,000 by 2025

Major Stroke Rates in Randomized TAVR Trials Constant over time

1st Generation Devices



Current Generation Devices



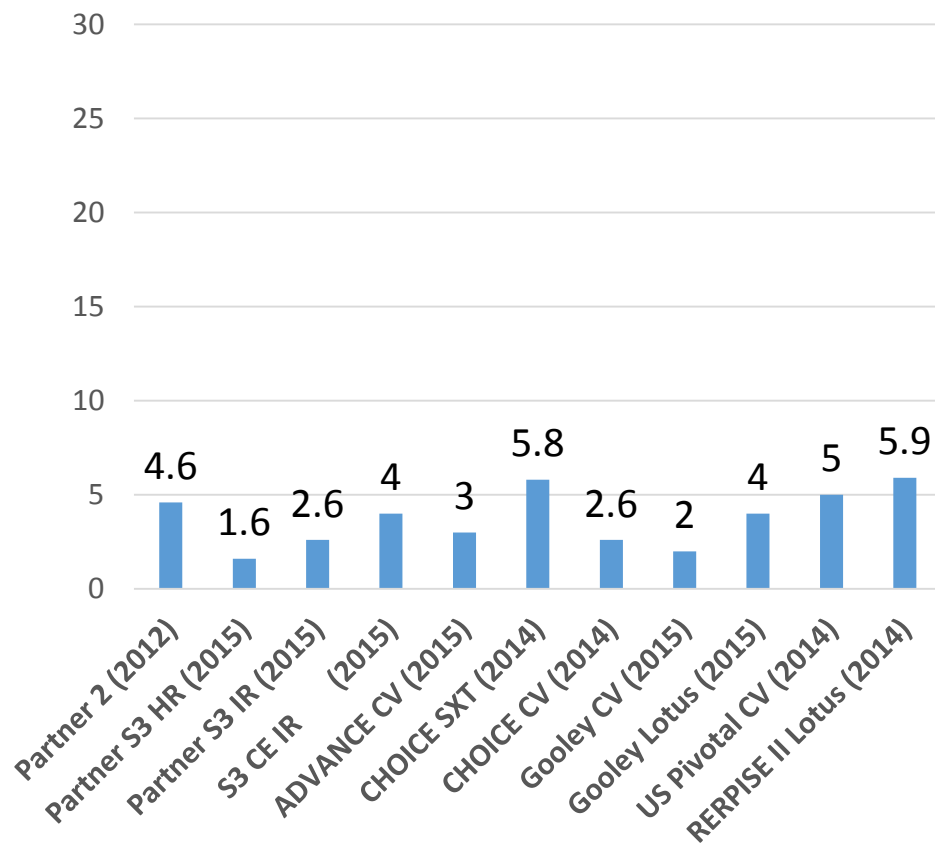
¹Leon, et al., *N Engl J Med* 2010;363:1597-1607; ²Webb, et al., *J Am Coll Cardiol Interv* 2015;8:1797-806; ³Smith, et al., *N Engl J Med* 2011;364:2187-98; ⁴Leon, et al., *N Engl J Med* 2016;374:1609-20; ⁵Popma, et al., *J Am Coll Cardiol* 2014;63:1972-81; ⁶Adams, et al., *N Engl J Med* 2014;370:1790-8;

¹Manoharan, et al., *J Am Coll Cardiol Interv* 2015; 8: 1359-67; ²Moellman, et al., presented at PCR London Valves 2015; ³Linke, et al., presented at PCR London Valves 2015; ⁴Kodali, et al., *Eur Heart J* 2016; doi:10.1093/eurheartj/ehw112; ⁵Vahanian, et al., presented at EuroPCR 2015; ⁶Webb, et al., *J Am Coll Cardiol Interv* 2015; 8: 1797-806; ⁷DeMarco, et al., presented at TCT 2015; ⁸Meredith, et al., presented at PCR London Valves 2015; ⁹Falk, et al., presented at EuroPCR 2016; ¹⁰Kodali, presented at TCT 2016; Reardon, M Published in NEJM March 2017

Major Stroke Under Represent all Strokes in TAVR Studies

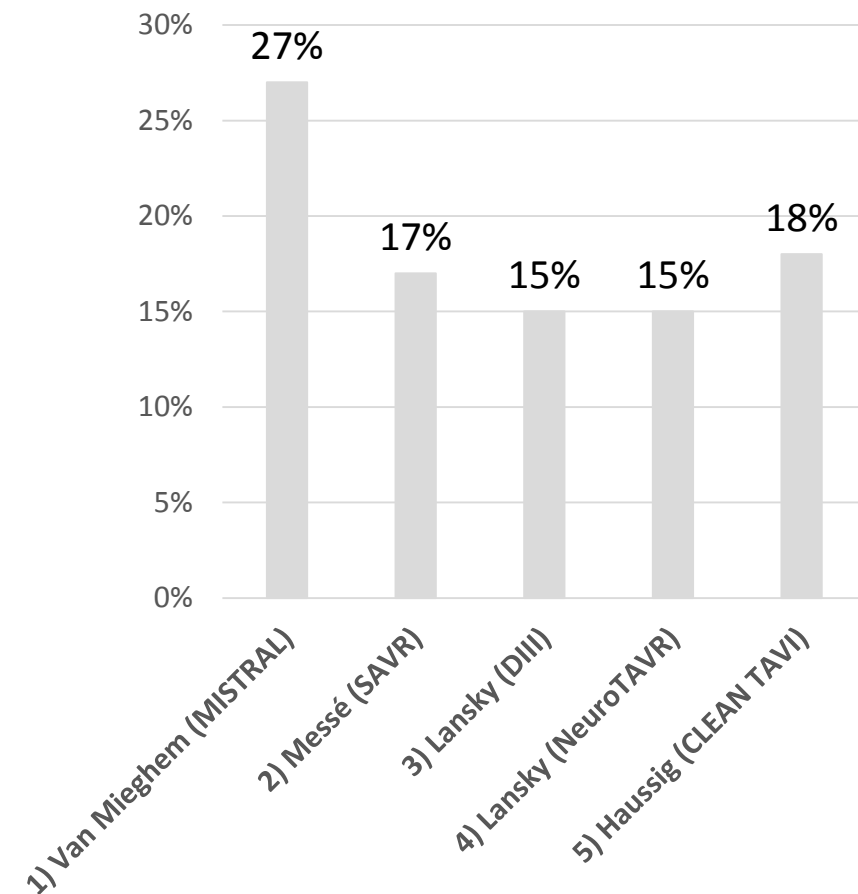
Severe Stroke

Major and disabling stroke rates range from 1.6%-5.9%



Mild, Moderate and Severe Stroke

Stroke rate is 15-27% by current AHA/ASA definitions
Neurologist identified deficits with new brain MRI lesions



¹Van Mieghem NM, EuroIntervention. 2016;12:499. ²Messe S, Circulation. 2014;129:2253. ³Lansky AJ, Eur Heart J. 2015; 36:2070.

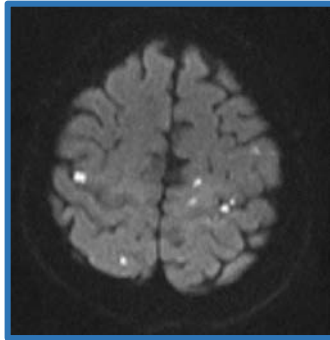
⁴Lansky AJ, AJC 2016. ⁵Haussig S, JAMA. 2016;316:592.

After TAV R Cerebral Embolization is Ubiquitous and Stroke is Unpredictable

Brain Injury

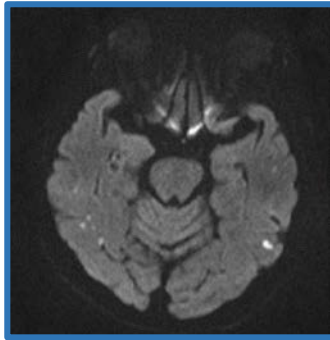
- “Silent” infarcts are associated with adverse neurological and cognitive consequences:

- Impaired mobility
- Physical decline
- Depression
- Cognitive dysfunction
- Dementia
- Alzheimer disease

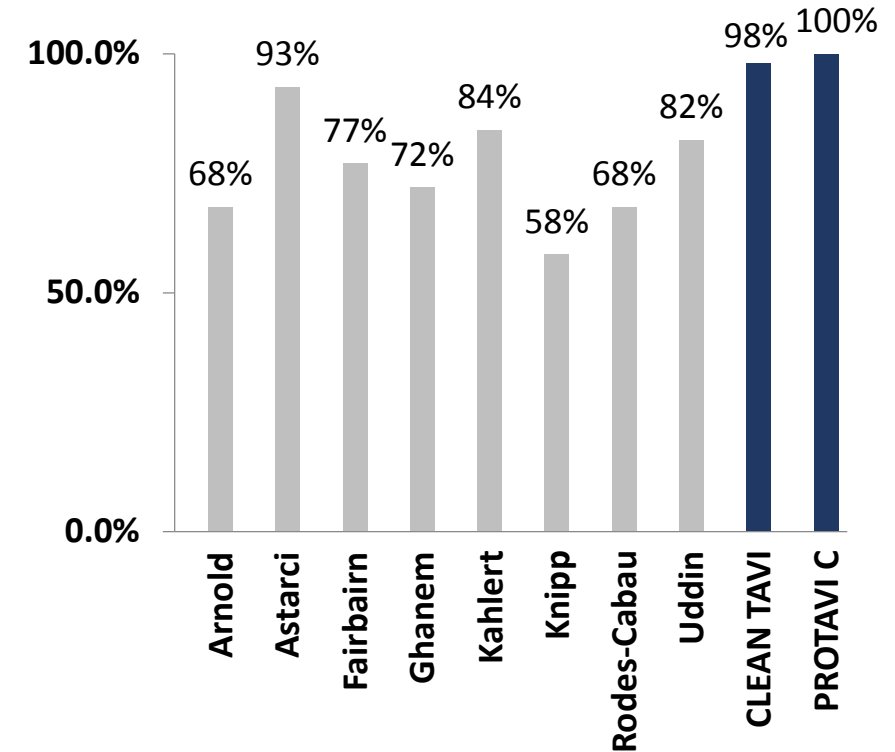


- **After TAVR silent brain injury is associated with:**

- **Neurocognitive decline**
- **>2 fold risk of dementia**
- **>3 fold risk of stroke**



% of Subjects with New Lesions



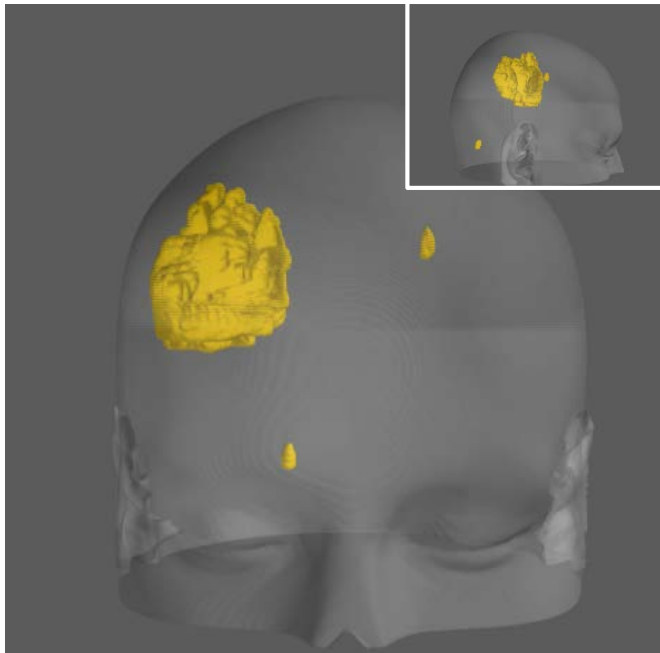
CLEAN TAVI and PROTAVI C exhibit the highest new lesion rates

Sources: Restrepo et al. *Stroke* 2002;33:2909, Lund et al. *Eur Heart J*. 2005;26:1269, Schwarz et al. *Am Heart J* 2011;162:756, Knipp et al. *Ann Thorac Surg* 2008;85:872, Vermeer et al. *NEJM* 2003; 348:1215, Vermeer et al. *Stroke* 2003; 34:1126, Arnold et al. *JACC Cardiovasc Interv.* 2010;3:1126, Astarci et al. *J Heart Valve Dis.* 2013;22:79, Fairbairn et al. *Heart* 2012;98:18, Ghanem et al. *EuroIntervention.* 2013;8:1296, Kahlert et al. *Circ.* 2010;121:870, Knipp et al. *Interact Cardiovasc Thorac Surg.* 2013;16:116, Linke et al. *TCT* 2014, Rodes-Cabau et al. *JACC Cardiovasc Interv* 2014;7:1146.

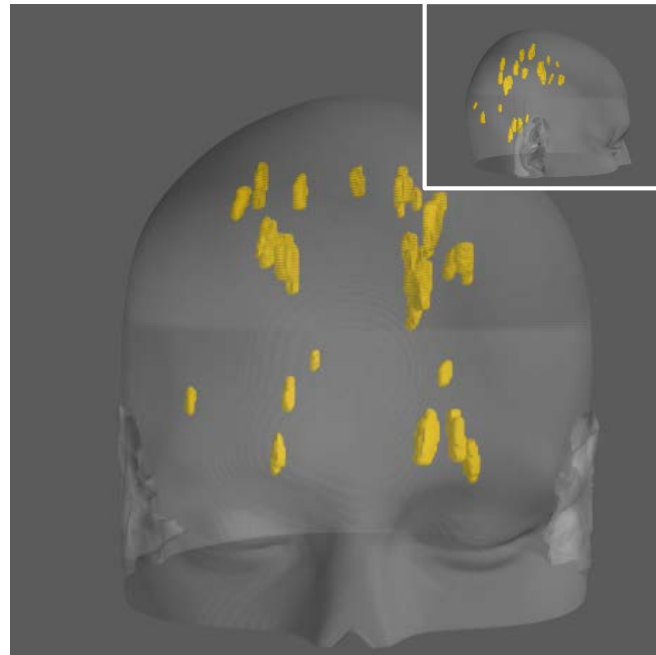
Strokes cannot be predicted by lesion size, number or location OR clinical characteristics

2-7 day DWI from the SENTINEL Trial

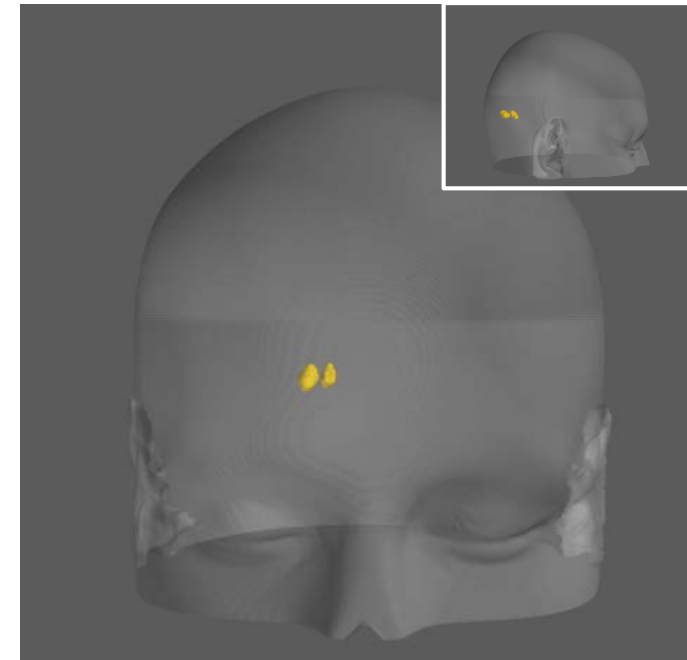
Size



Number



Location



3 Control Arm Stroke Patients

Calcific Aortic Valve Stenosis

Primary source of embolic material following TAVR



Calcified stenotic
aortic valve



Large irregular masses of
dystrophic calcification
prevent normal valve
opening



Sizeable calcific deposits
prevent normal valve
function



Consequences of Stroke



Mortality

- Disabling stroke after TAVR increased mortality (1-year mortality of 67% vs. 12% and 2-year mortality of 83% vs. 20%.¹)

Physical Functioning

- 40% of patients with overt ischemic strokes have moderate to severe permanent disability and
- 55%-75% of “fully recovered” patients will have residual dysfunction in at least one limb.²⁻³

Effect of Stroke in Working Population

Only 44% of overt stroke patients are able to return to work, 33% report significant financial strains, and 79% reported a decrease in social activities.⁴

¹Adams DH, N Engl J Med. 2014;370:1790.

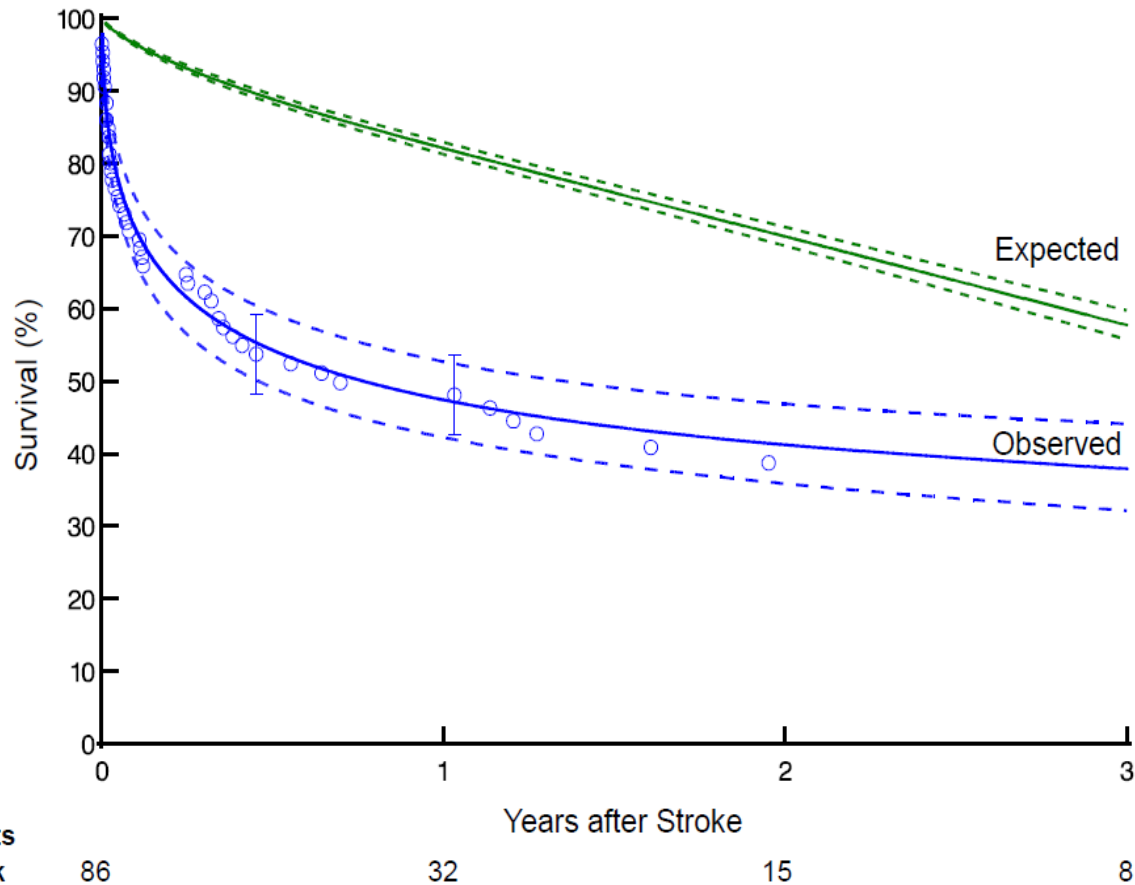
²Connolly SJ, N Engl J Med. 2009;361:1139.

³Daniel K, Stroke. 2009;40:e431.

⁴Lai SM, Stroke. 2002;33:1840.

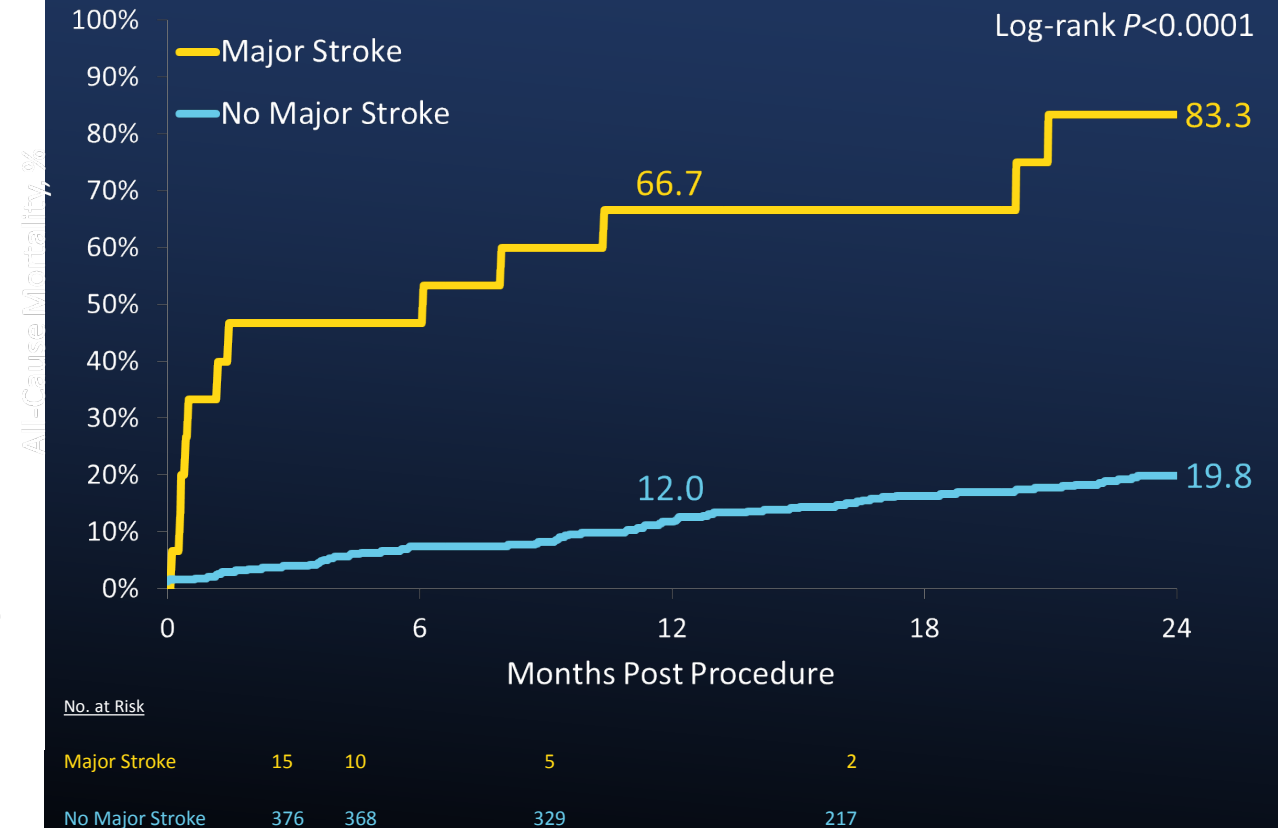
Major Stroke Increases Mortality 3-9 Fold

Partner Trials



Kapadia et al, Circ Int 2016

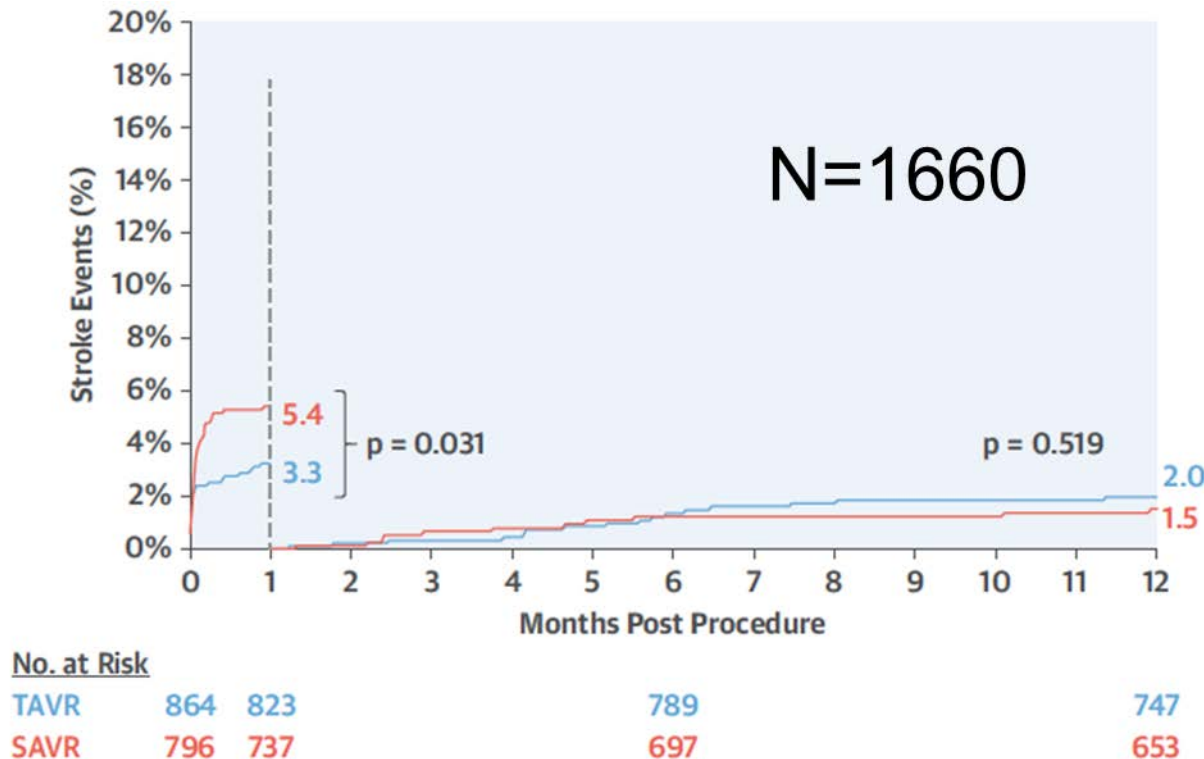
CoreValve High Risk Trial



Stroke in Intermediate Risk Patients (SURTAVI Trial)

Incidence and Outcomes Remain Constant

All Stroke



Mortality at 12 months

	TAVR	SAVR	p Value
No early neurological events*	36/801 (4.5)	26/673 (3.9)	0.586
Early stroke (all)†	5/28 (17.9)	6/43 (14.0)	0.589
Early disabling stroke‡	4/10 (40.0)	5/19 (26.3)	0.327
Early nondisabling stroke‡	1/18 (5.6)	1/24 (4.2)	0.822
Early TIA†	2/12 (16.7)	0/8 (0.0)	0.254
Early encephalopathy‡	3/14 (21.4)	11/62 (17.9)	0.716

1 in 5 patients ($\approx 20\%$) with early stroke or encephalopathy will die at 1 year

Patient Perceptions and Expectations

Health Expectations

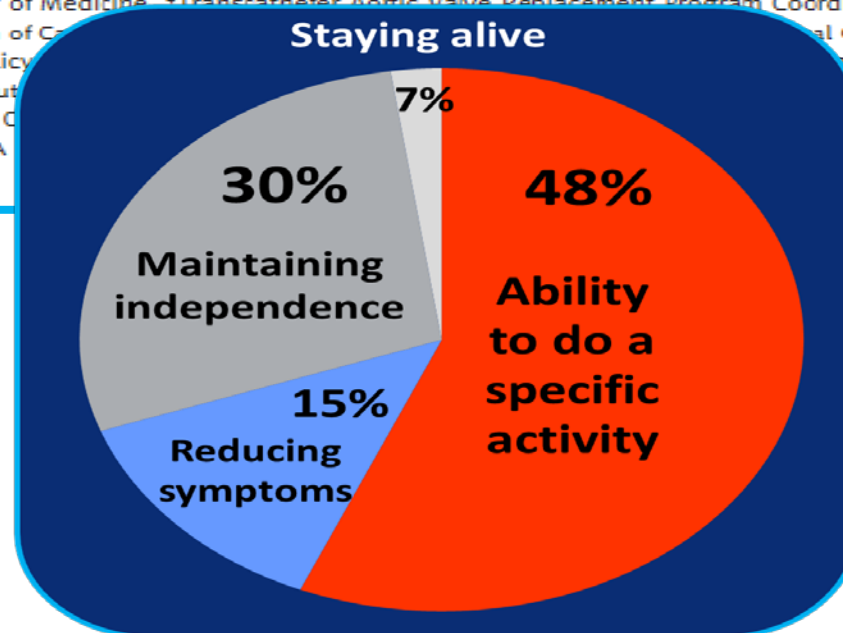
An International Journal of
Public Participation in
Health Care and Health Policy

doi: 10.1111/hex.12393

Patient-defined goals for the treatment of severe aortic stenosis: a qualitative analysis

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^{*}Assistant Professor of Medicine, [†]Transcatheter Aortic Valve Replacement Program Coordinator, [§]Research Fellow, [¶]Professor of Medicine, Section of Cardiology, [‡]Professor of Health Policy, [‡]Professor of Clinical Practice, Geisel School of Medicine at Dartmouth University, New Haven, CT, USA, [§]Research Fellow, [¶]Professor of Medicine, School of Medicine, Yale University, New Haven, CT, USA, ^{**}Professor of Medicine, School of Medicine, Yale University, New Haven, CT, USA, ^{††}Professor of Medicine, School of Medicine, Yale University, New Haven, CT, USA



Elderly (higher risk):

“a stroke is worse than death”
“maintain independence and enjoy important activities”
“quality over longevity”

Younger (lower risk):

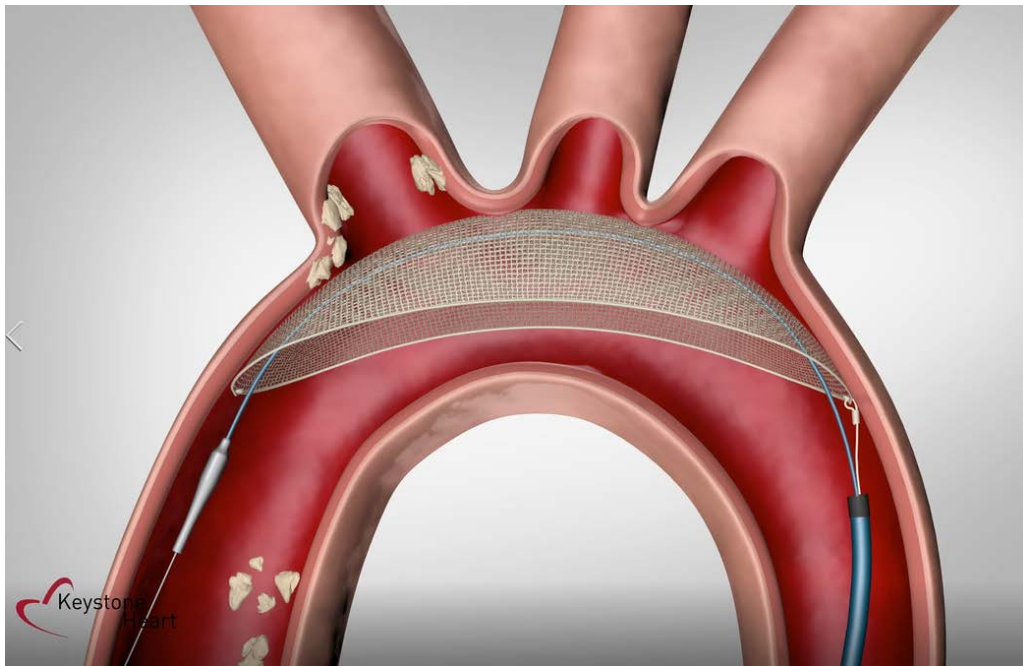
“more concerned about mortality”
“cognition and mental acuity”
“rapid return to normal quality life”

Sentinel Cerebral Protection Systems



- Dual independent filters designed for embolic debris capture and removal in two of the three cerebral branches
 - Innominate artery and left common carotid artery
- Right transradial 6F sheath access
- FDA approved

TriGuard 3: Cerebral Embolic Protection



Designed to cover ALL major cerebral vessels

- Deflection filter
- Protects entire cerebro-vascular system: innominate, left carotid, and subclavian
- Over the arch delivery vs down the carotids designed to minimize brain emboli

Broad Applicability

- No cerebral vessel size limitations
- No anatomic limitations

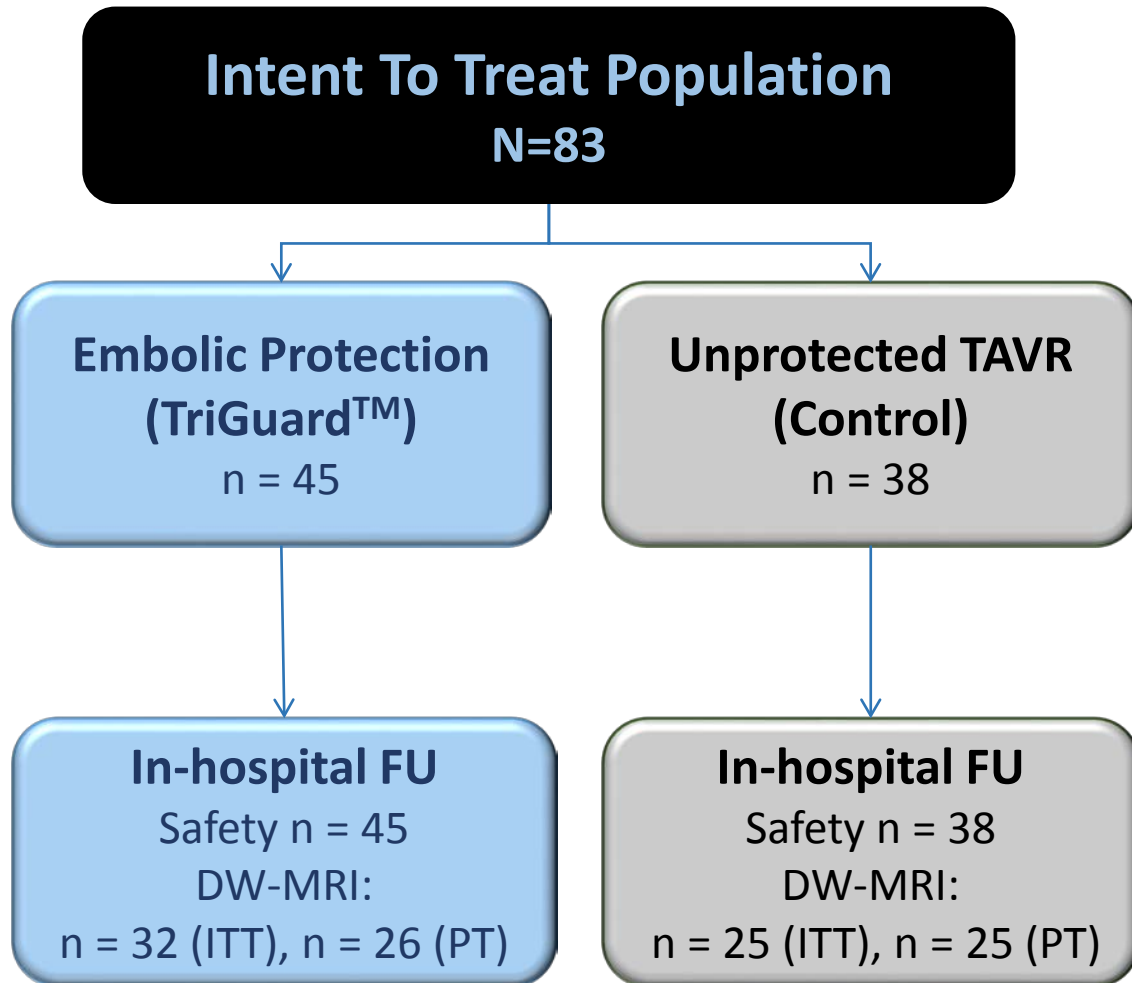
Unique Features of TriGUARD 3

Sentinel CPS

TriGUARD 3

Filter design	Dual capture filter	Single deflection filter
Mechanism of action	Capture Filter: Filters and captures debris released during the cardiac procedure using filter-baskets positioned in 2 of 3 cerebral vessels. The baskets with debris are removed from the body at the end of the procedure	Deflection Filter: Filters and deflects debris released during the cardiac procedure using a filter-shield positioned in the aortic arch across the 3 cerebral vessels. Debris is deflected away from the brain.
Target Protection Area	Positioned in and protects 2/3 cerebral vessels (brachiocephalic and left common carotid arteries)	Position in aortic arch and protects 3/3 cerebral vessels (brachiocephalic, left common carotid, left subclavian artery)
Access Method	Device is introduced through the right arm	Device is introduced through the femoral artery
Technical method	Single use percutaneous catheter system	Single use percutaneous catheter system
Primary procedure	Transcatheter aortic valve replacement	Transcatheter aortic valve replacement

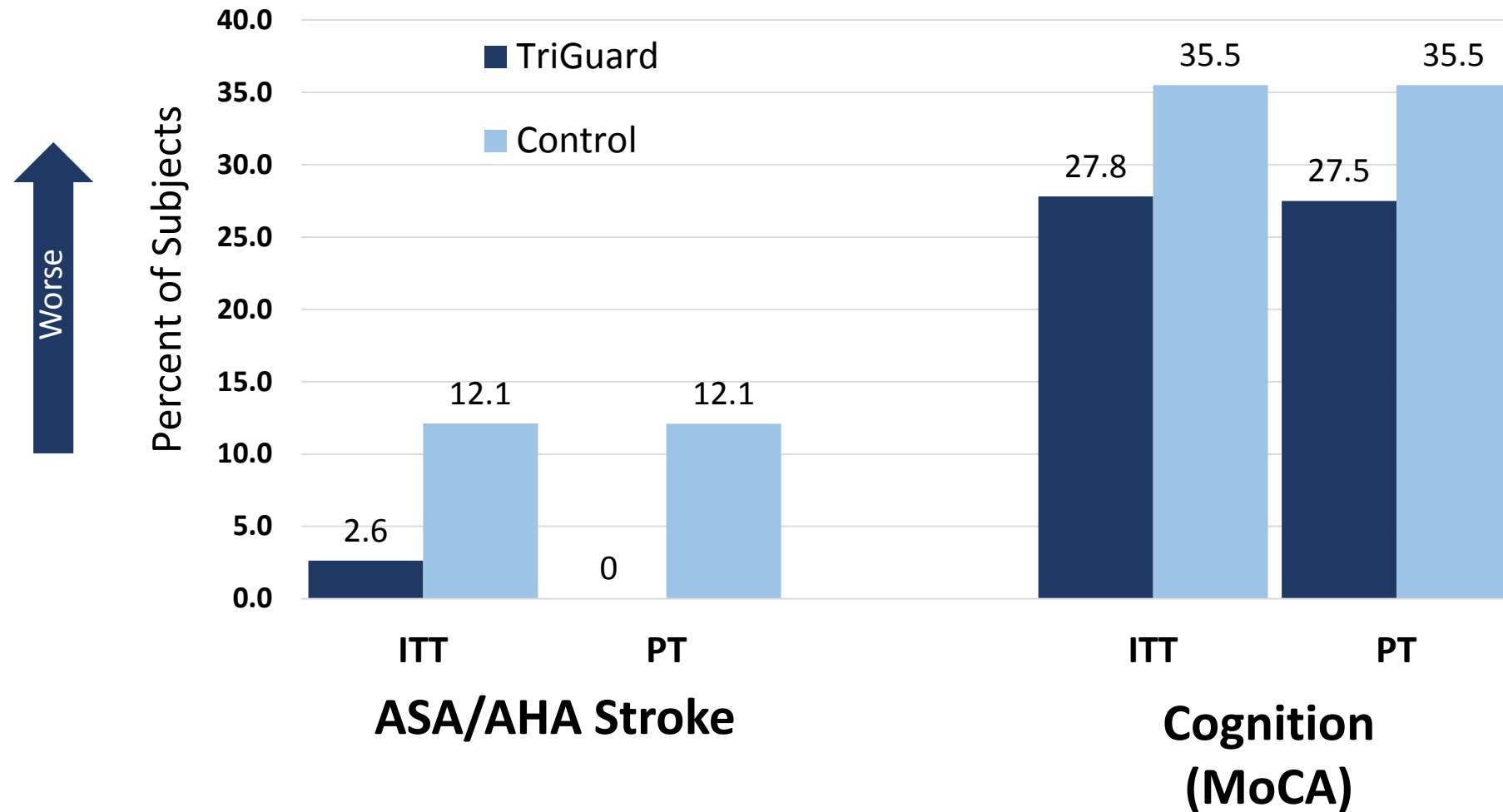
DEFLECT III Patient Disposition



- Deployment Success: 93.5%
- **Successful positioning: 87%**(complete 3-vessel coverage until final valve deployment of first valve, verified by QCA)

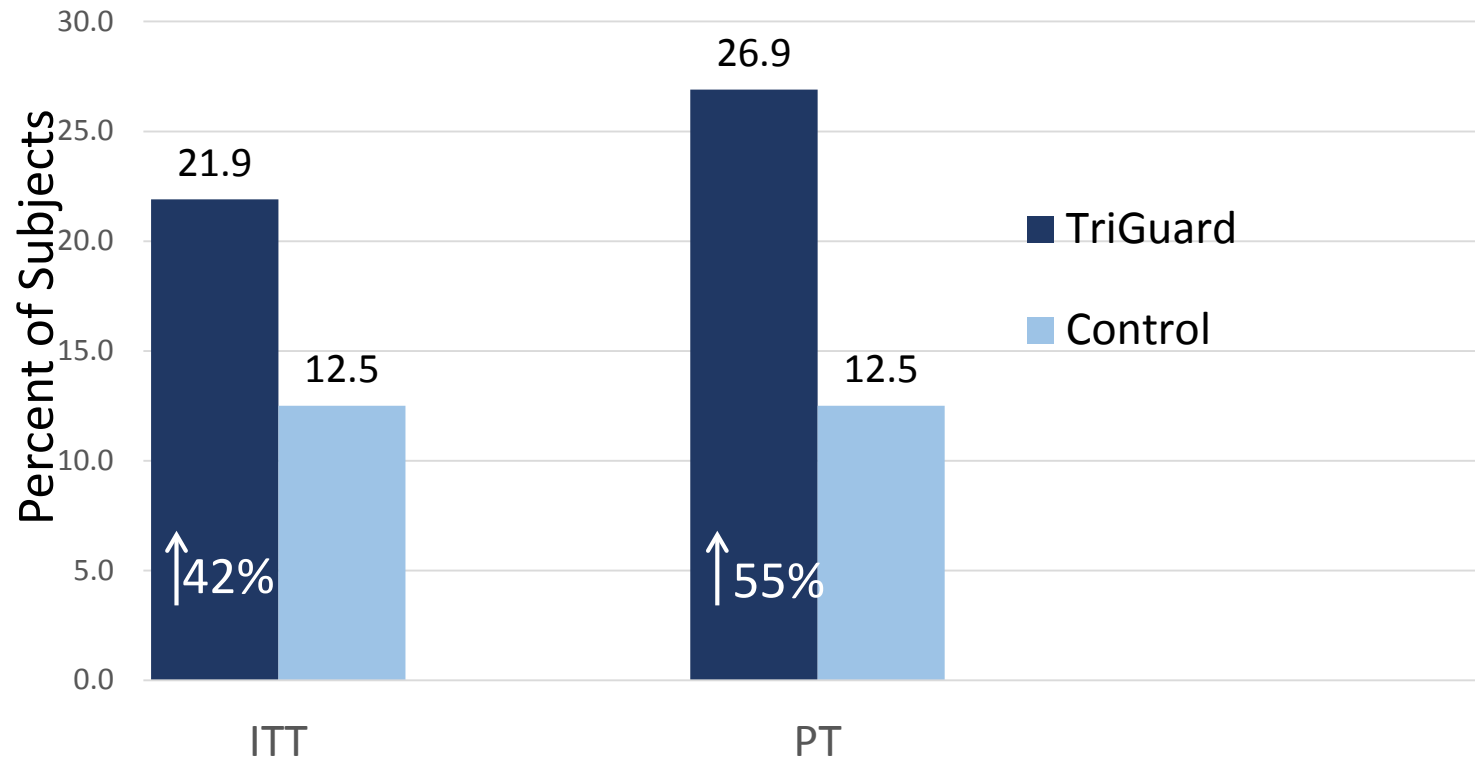
Clinical Efficacy Outcomes – Stroke and Cognition

Protection reduces worsening of neurologic and cognitive outcomes

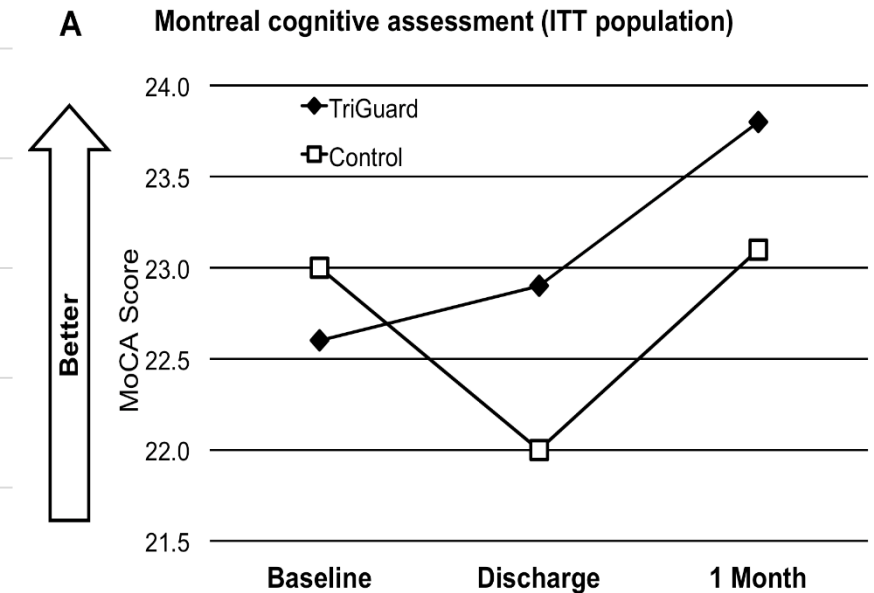


Neurologic Injury and Cognition

Free from ischemic lesions (DW MRI)



Cognition (MOCA)

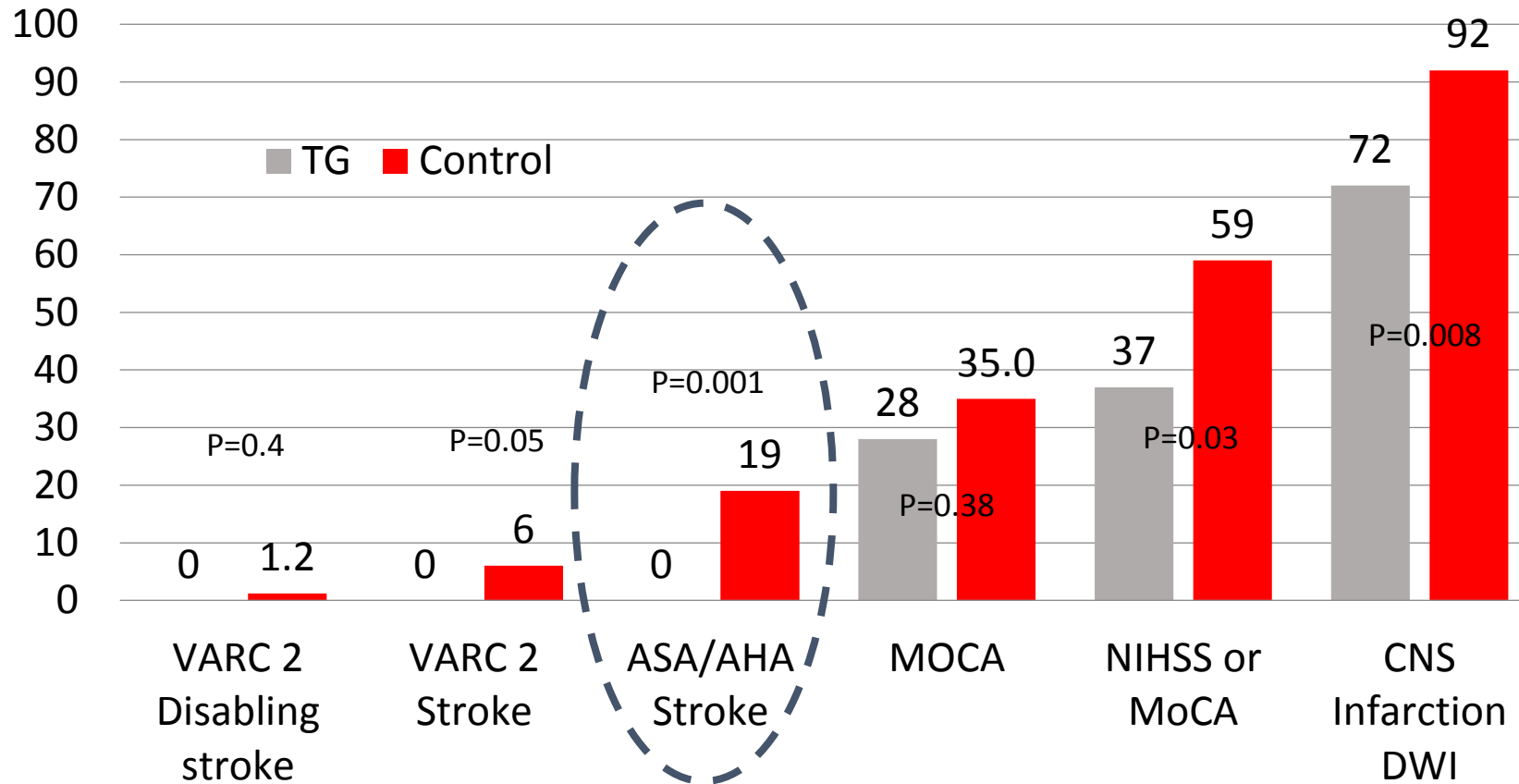


>2 fold recovery of cognitive function with protection vs Controls at 30 days
Age Normalized MoCA Score: 45% TG vs 20% of controls RR 2.27 95%CI [1.01-5.10]

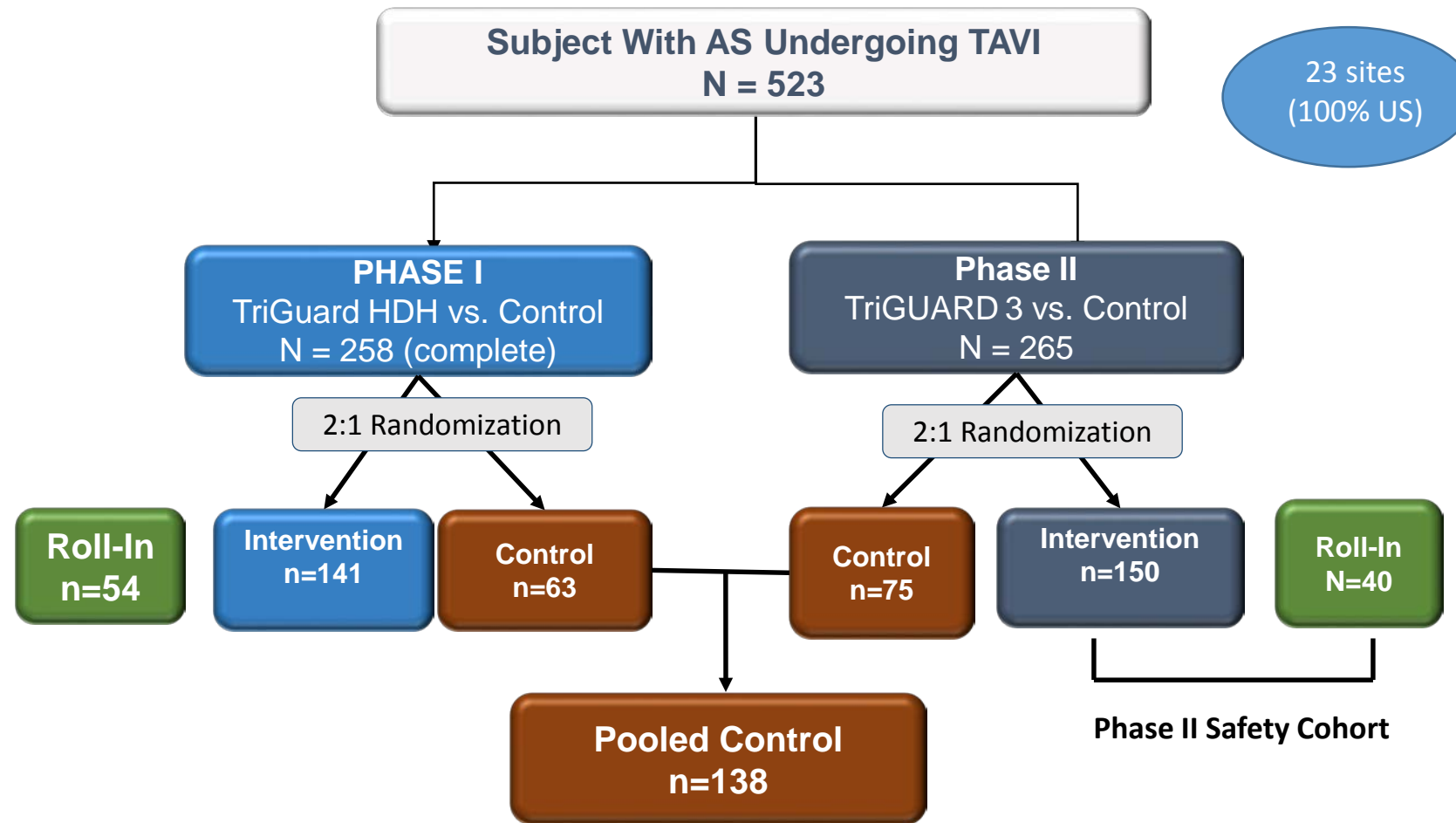
TriGuard Pooled Analysis: Reduction in all Measures of Neurologic Injury

Primary Safety Endpoint of 30 day MACCE: 18.2% TG vs 24.1% Control, p=0.44

Patient level pooled analysis from the TriGuard Trials (N=142)¹



Ongoing REFLECT IDE Trial Design



SAFETY

- Combined safety endpoint (VARC-2) at 30 days
- TriGuard vs. Performance Goal

EFFICACY

- **Hierarchical composite endpoint (Finkelstein-Schoenfeld):**
 - - CV Death or stroke (30 d)
 - - NIHSS (30 d)
 - - Freedom from DWI lesions
 - - TLV (DWI)
- TriGuard vs. Control

Modified to include a clinical evaluation of the TriGUARD 3 device while leveraging existing blinded data and trial infrastructure

PI: Nazif T, Lansky A, Makar R

Conclusions

'''

1. Stroke is unpredictable and incidence has been constant after TAVR
2. TriGuard use is safe and provides complete cerebral coverage with no anatomic limitations
3. TriGuard reduces stroke risk, cerebral injury and measures of cognition
4. Current ICD-10-PCS codes do not uniquely identify cerebral embolic protection procedures that
 - protect all three branches of the aortic arch (innominate, left carotid, and subclavian) OR
 - differentiate dual filter capture from single filter deflection devices
5. Modification with more granular coding is needed for accurate reporting and outcome-tracking of different cerebral embolic protection approaches and devices.