ESRD Treatment Choices (ETC) Introduction to 4Innovation (4i)

CMS/CMMI
May 2022

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Agenda

ETC Team Welcome

Introduction to 4Innovation (4i)

Demonstration

Access to 4i

- Invitation to 4i
- Registration Process
- Multi-factor Authentication (MFA)
- Remote Identify Proofing (RIDP)

Manage Bulk Invitations

Participant Management

- View Managing Clinician Participant Information
- View Dialysis Facility Participant Information

Contact Management

- Invite Other Contacts to 4i
- Terminate a Contact

ETC User Roles & Access

- ETC Participant Executive
- ETC Participant Admin

My Agreements

- Sign Data Sharing Agreements
- View Active Data Sharing Agreements

Data Hub

- Download Functionality
- Archive Functionality

Questions and Answers

Final Overview

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Introduction to ESRD Treatment Choices Model

- Mandatory model for ESRD facilities and Managing Clinicians in Selected Geographic Areas
- Established in Specialty Care Models final rule (85 FR 61114), updated in CY 2022 ESRD PPS final rule (86 FR 61874)
- Model Timeline
  - Home Dialysis Payment Adjustment (HPDA) – January 1, 2021 – December 31, 2023
  - Performance Payment Adjustment (PPA)

	Measurement Year	PPA Period
1	1/1/21 – 12/31/21	7/1/22 – 12/31/22**
2	7/1/21 – 6/30/22*	1/1/23 – 6/30/23
3	1/1/22 – 12/31/22*	7/1/23 – 12/31/23
4	7/1/22 – 6/30/23	1/1/24 – 6/30/24
5	1/1/23 – 12/31/23	7/1/24 – 12/31/24
6	7/1/23 – 6/30/24	1/1/25 – 6/30/25
7	1/1/24 – 12/31/24	7/1/25 – 12/31/25
8	7/1/24 – 6/30/25	1/1/26 – 6/30/26
9	1/1/25 – 12/31/25	7/1/26 – 12/31/26
10	7/1/25 – 6/30/26	1/1/27 – 6/30/27

Note: * Current MY
** Upcoming PPA Period

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Introduction to 4Innovation (4i)

4Innovation (4i) is a user friendly system used primarily to manage Alternative Payment Model (APM) participation. 4i supports reusable features allowing APMs to easily manage agreements, participants and contacts, deliver data files and reports, and distribute resources and notifications. Centers for Medicare & Medicaid Innovation (CMMI) conceptualizes a variety of innovative models every year.

Introduction to 4Innovation (4i)

Cont'd

As part of the ETC Model, you will be asked to attest and sign legal agreements between CMS and your organization through the 4i system by creating or updating login credentials in 4i to complete the onboarding process and signing your Data Sharing Agreement to access ETC reports in 4i Data Hub

Once you have completed the 4i sign up process, you will have access to the following features:

- My Details – Participation Details Page (5/6)
- My Agreements – Data Sharing Agreements (5/6)
- Data Hub (5/6)
  - PPA Reports and Attribution Beneficiary Data (6/1)

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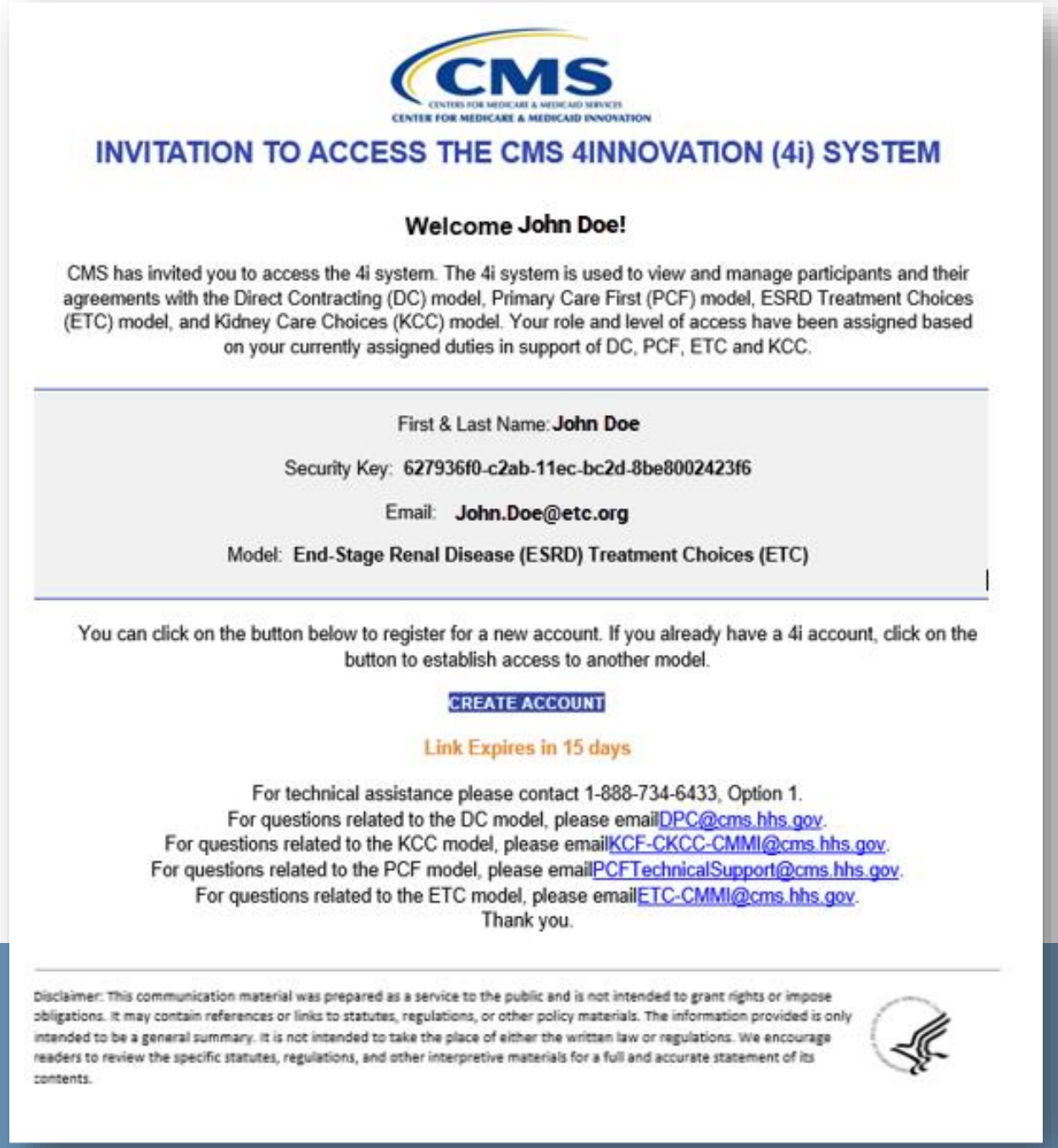
Demonstration

4i Invitation



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CENTER FOR MEDICARE & MEDICAID INNOVATION

INVITATION TO ACCESS THE CMS 4INNOVATION (4i) SYSTEM

Welcome John Doe!

CMS has invited you to access the 4i system. The 4i system is used to view and manage participants and their agreements with the Direct Contracting (DC) model, Primary Care First (PCF) model, ESRD Treatment Choices (ETC) model, and Kidney Care Choices (KCC) model. Your role and level of access have been assigned based on your currently assigned duties in support of DC, PCF, ETC and KCC.

First & Last Name: **John Doe**

Security Key: **627936f0-c2ab-11ec-bc2d-8be8002423f6**

Email: **John.Doe@etc.org**

Model: **End-Stage Renal Disease (ESRD) Treatment Choices (ETC)**

You can click on the button below to register for a new account. If you already have a 4i account, click on the button to establish access to another model.

CREATE ACCOUNT

Link Expires in 15 days

For technical assistance please contact 1-888-734-6433, Option 1.
For questions related to the DC model, please email DPC@cms.hhs.gov.
For questions related to the KCC model, please email KCF-CKCC-CMMI@cms.hhs.gov.
For questions related to the PCF model, please email PCFTechnicalSupport@cms.hhs.gov.
For questions related to the ETC model, please email ETC-CMMI@cms.hhs.gov.
Thank you.

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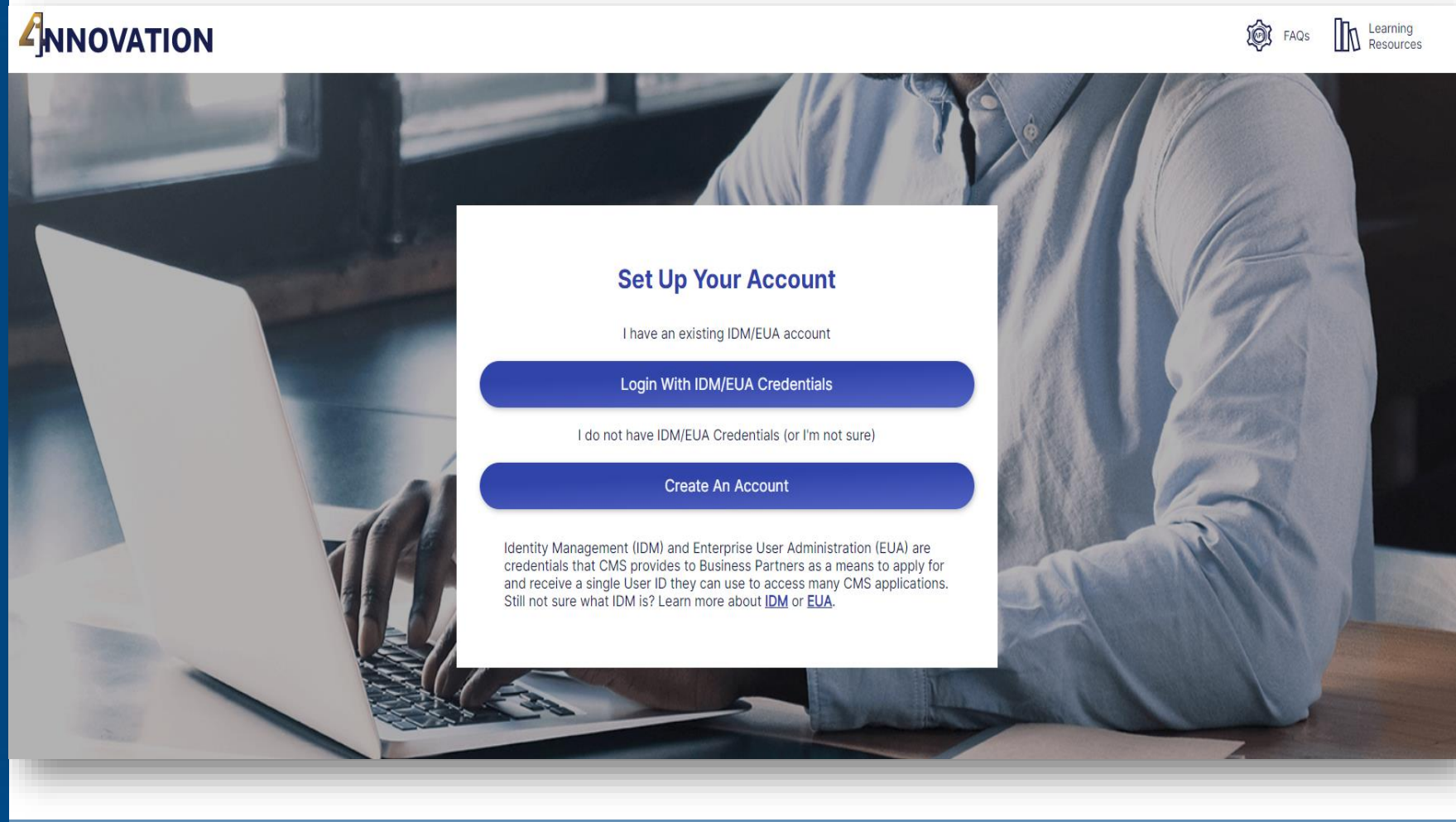
Registration Process



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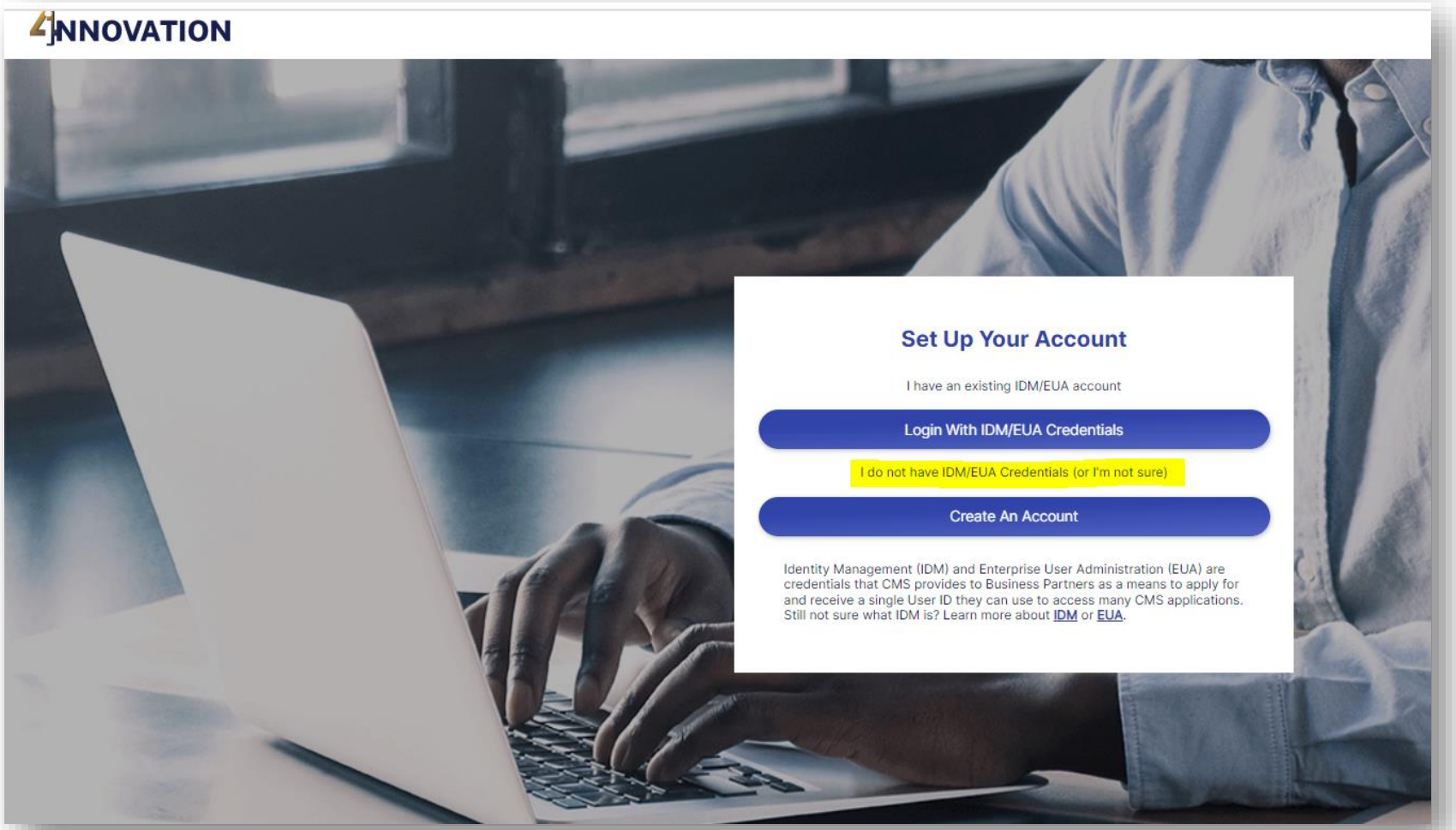
Registration:

Non Existing IDM User Process



Note: An IDM account ensures that only authorized users can access protected information and CMS systems

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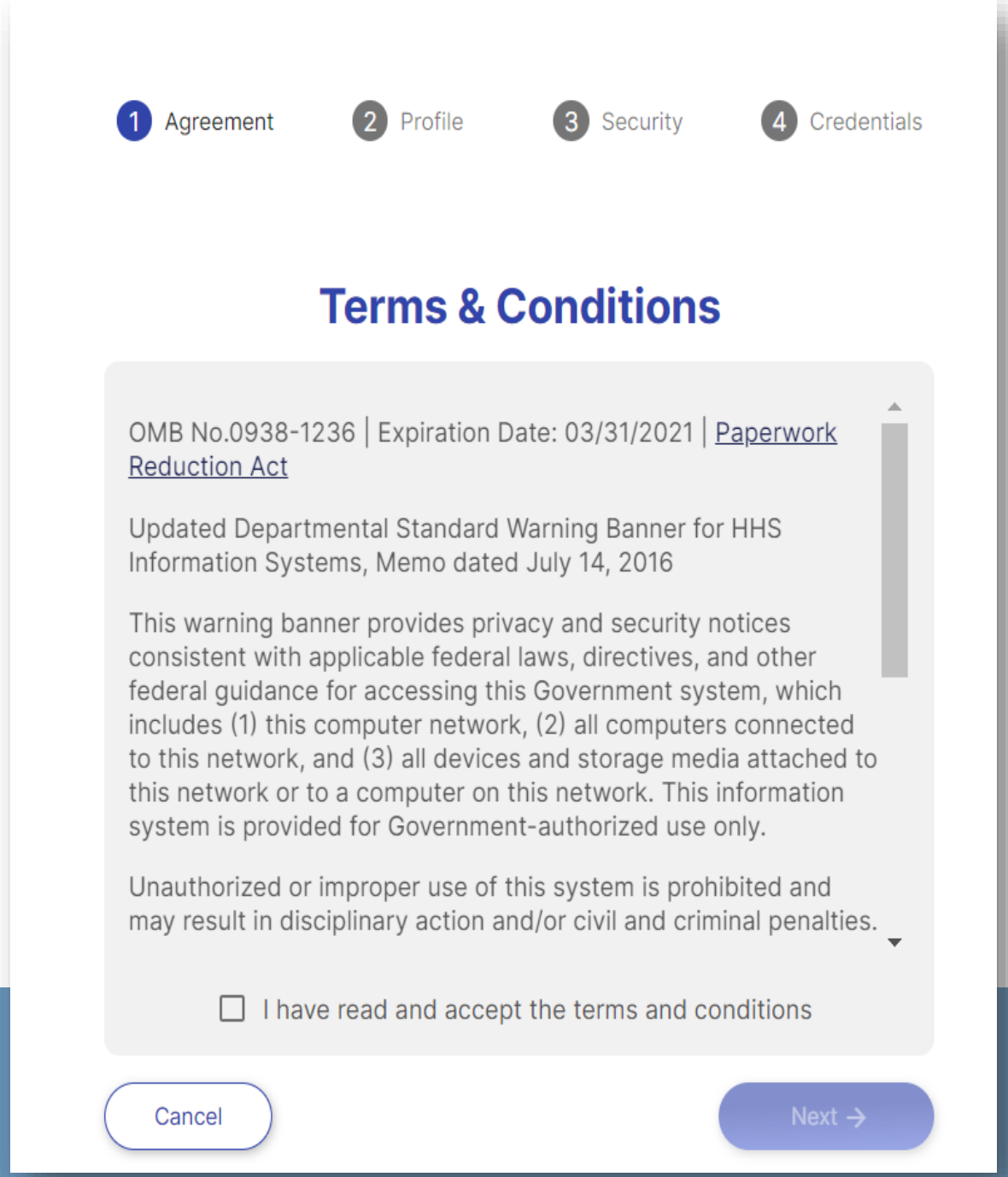
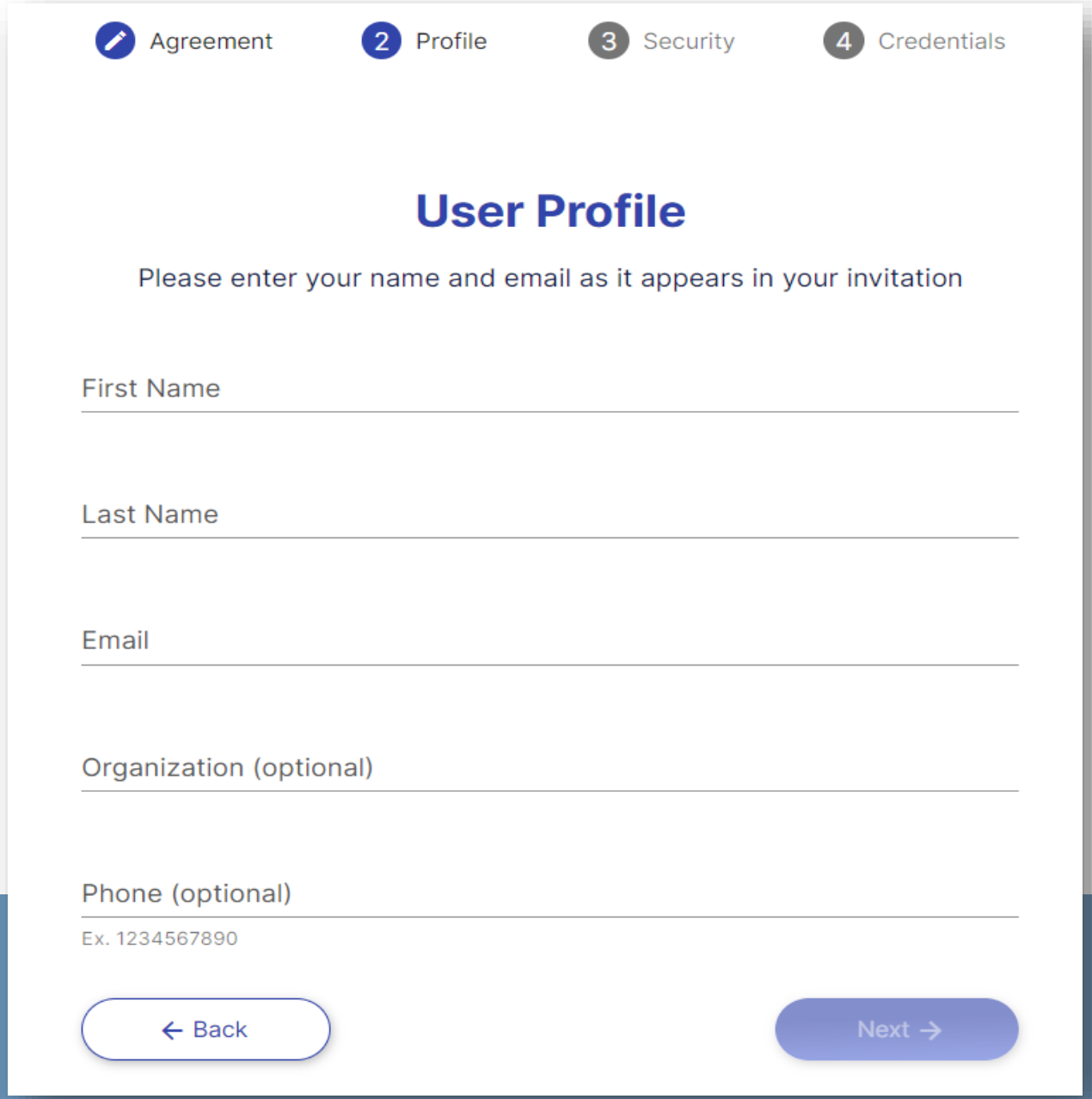
Create IDM Account:

Terms and Conditions

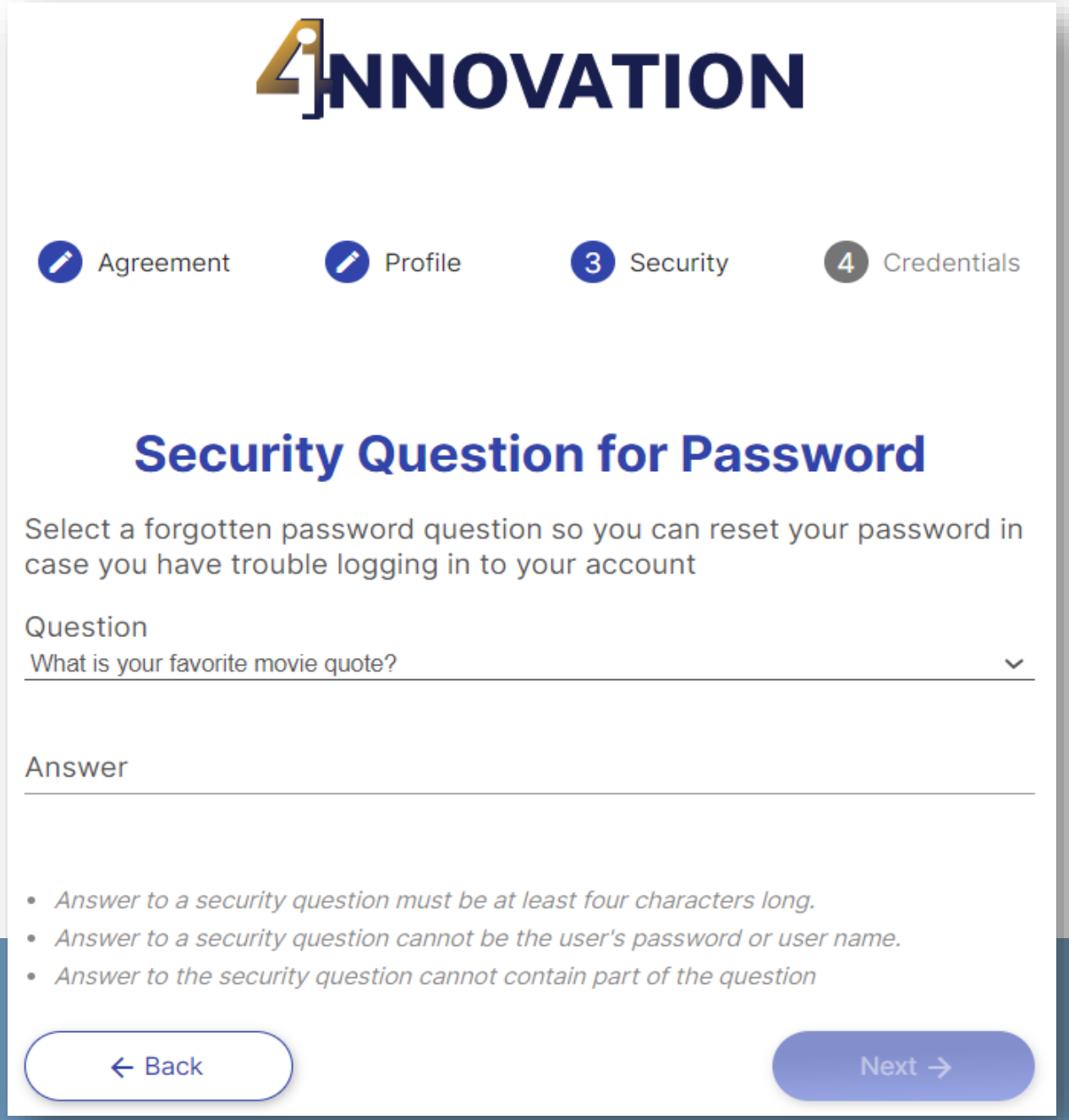


Create IDM Account:

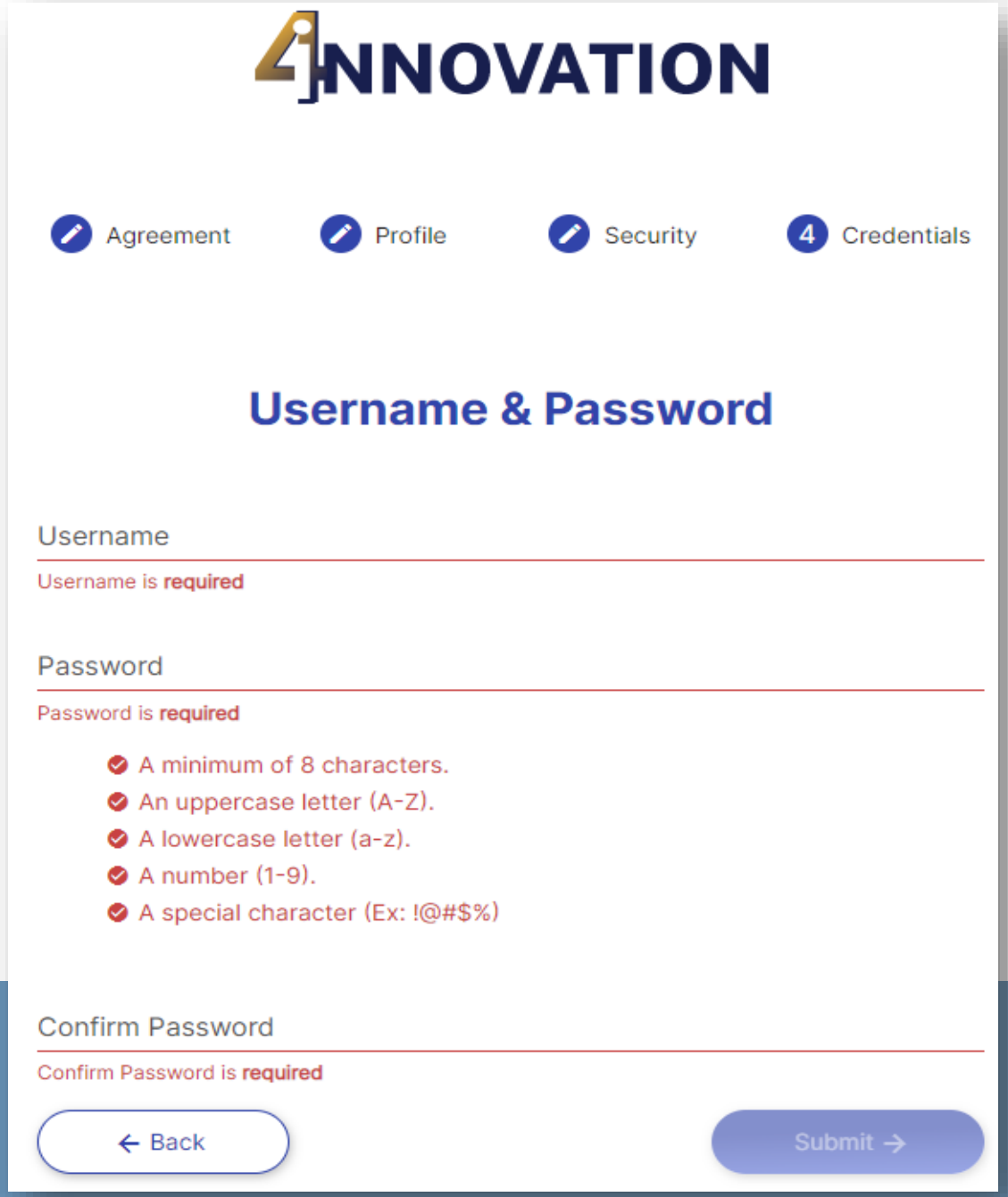
User Profile



Set Recovery Question to Recover Password



Create Username and Password



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Multi Factor Authentication (MFA)

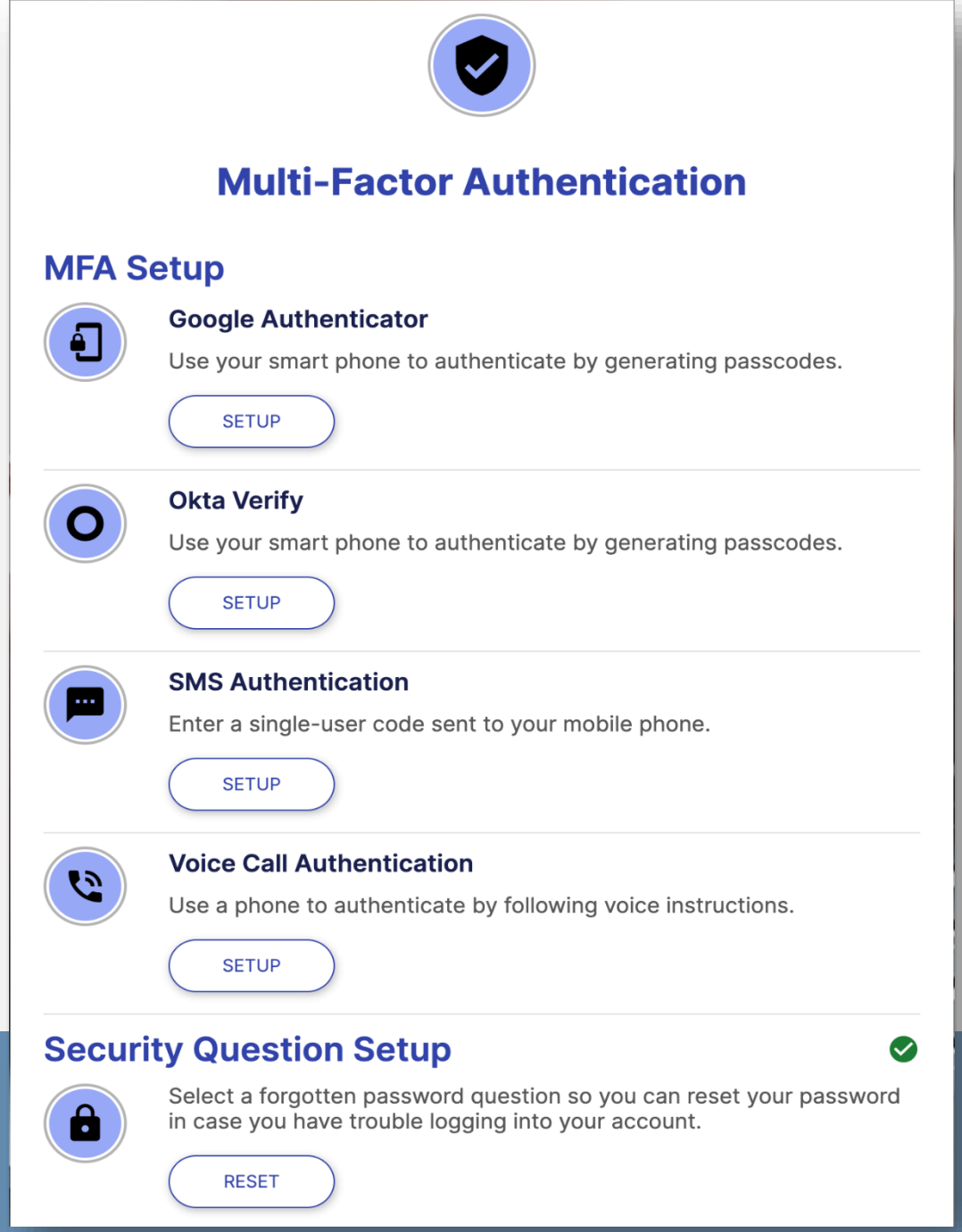
MFA is an approach to security authentication that requires more than one credential for verification.

When logging into 4i users are required to provide an IDM ID and password, and will be asked to verify a security code by one of the following methods:

- Google Authenticator
- Okta Verify
- SMS Verification
- Email
- Voice Call Authentication

Note: Users are required to select at least one MFA method during initial 4i account setup

Multi Factor Authentication (MFA)



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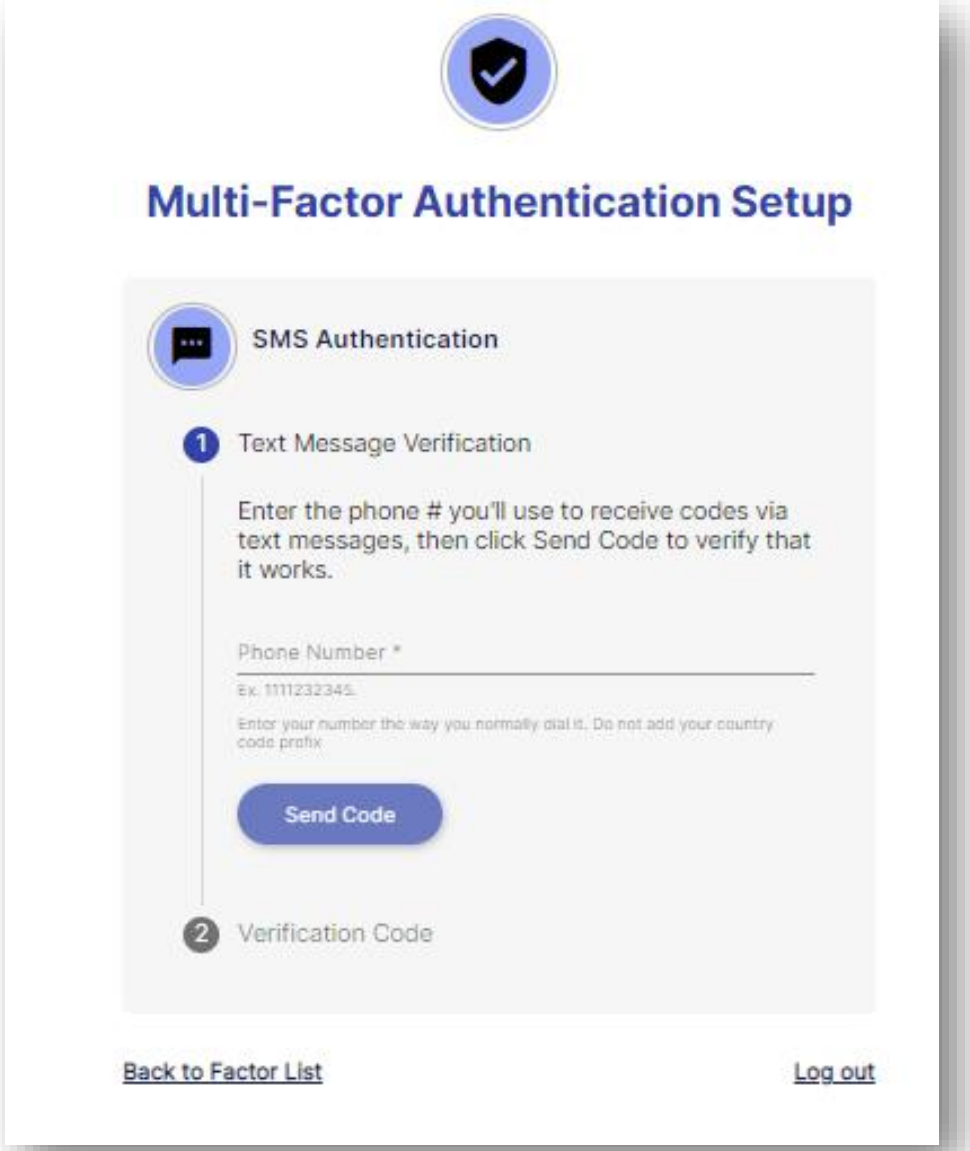
Multi Factor Authentication (MFA) Cont’d



CMS Remote Identity Proofing (RIDP)

All users requesting access to protected CMS information or systems must verify their identity to gain access.

CMS systems use Experian, an external identification verification provider, to perform identity proofing remotely.

- Users will be asked to provide a set of core credentials which include your full legal name, Social Security number, date of birth, current residential address, and phone number.
- The Experian identity verification service will use the information provided to locate your personal information in Experian and generate a set of questions.
- Experian will attempt to verify your identity to the appropriate level of assurance with the information you provide.
- Experian verifies the information against its records and may present you with questions based on your credit profile.
- The questions and answers, including financial history, are strictly between you and Experian; CMS will not store this information
- For additional information please review the CMS Privacy Statement

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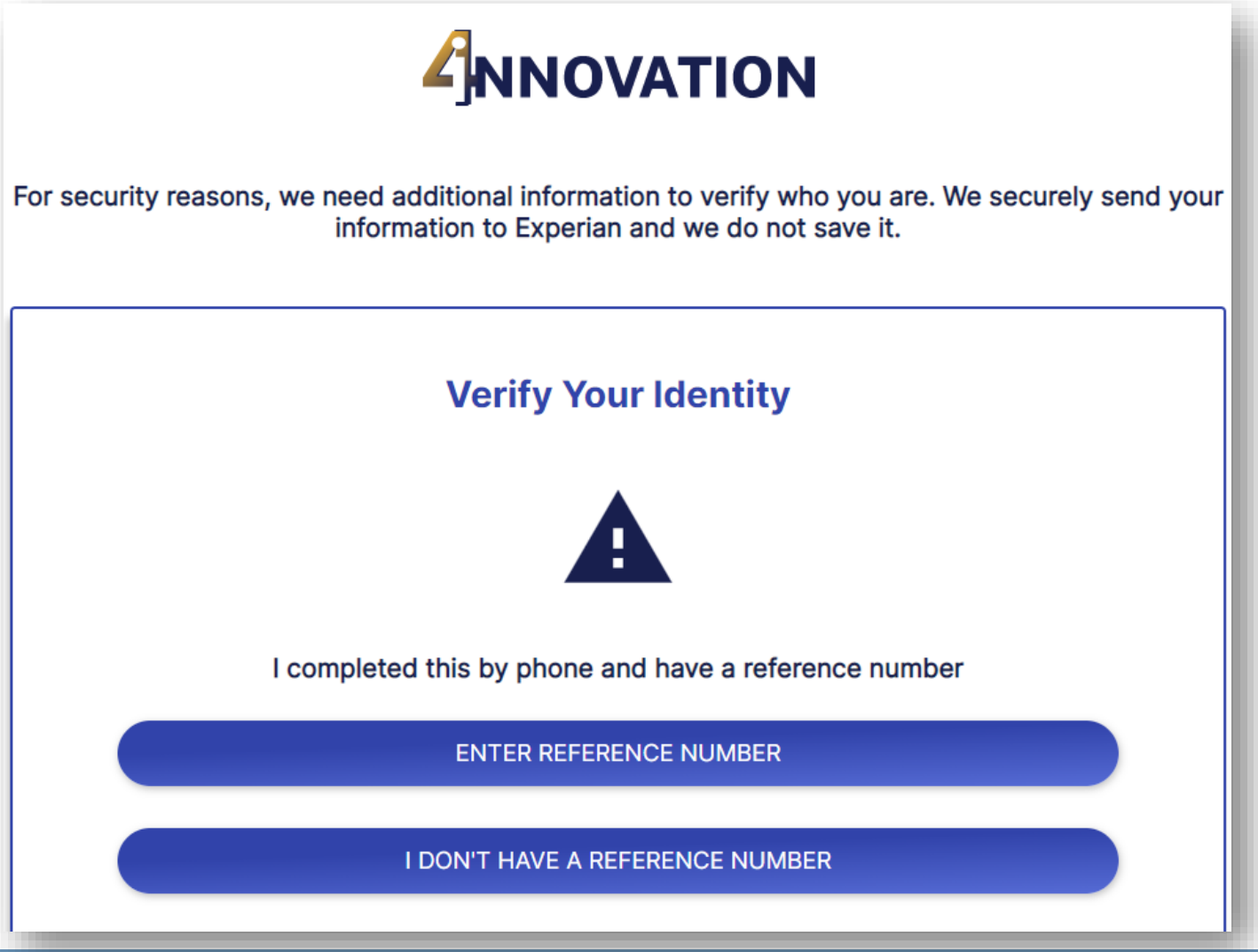
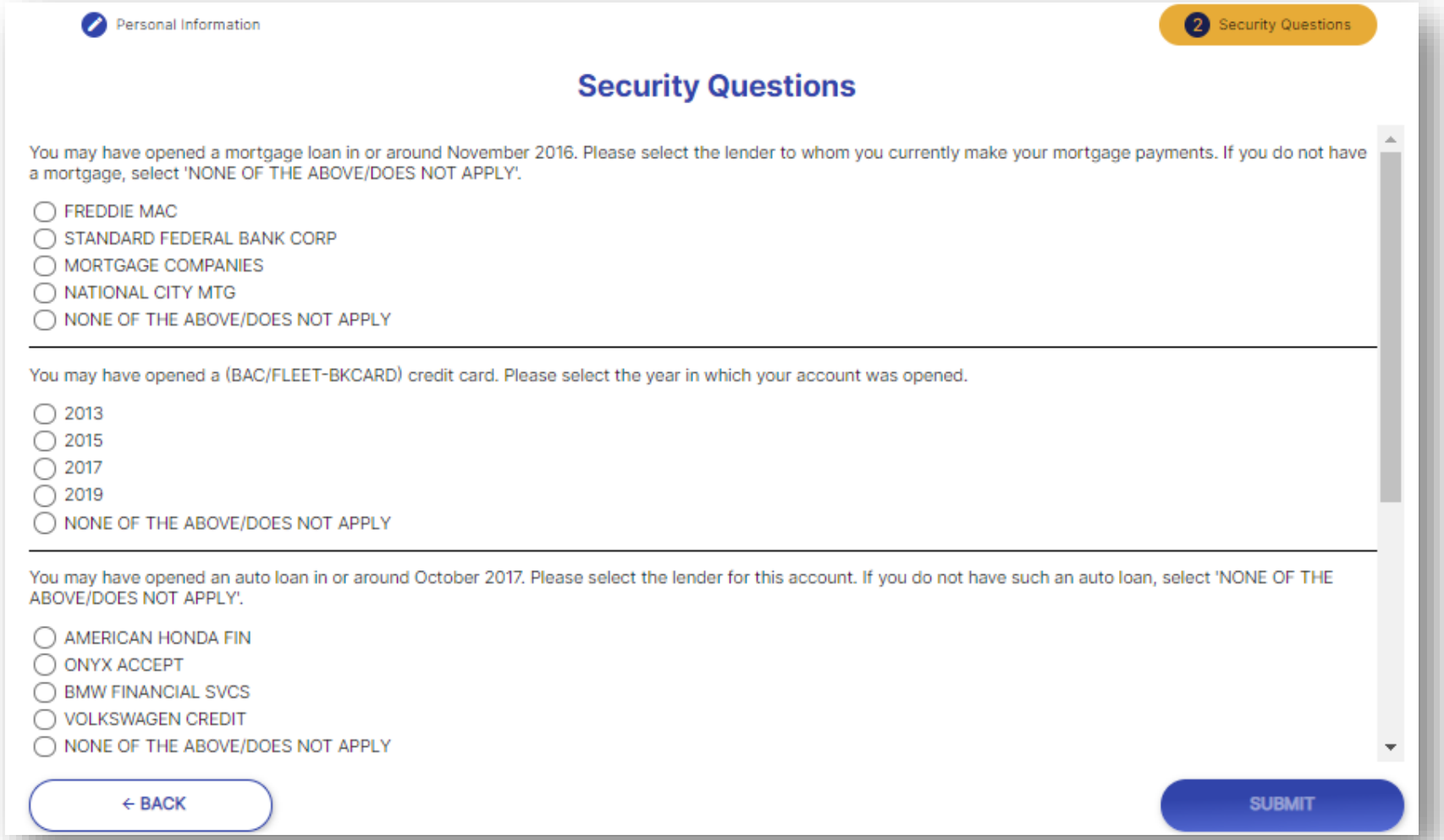
CMS Remote Identity Proofing (RIDP)



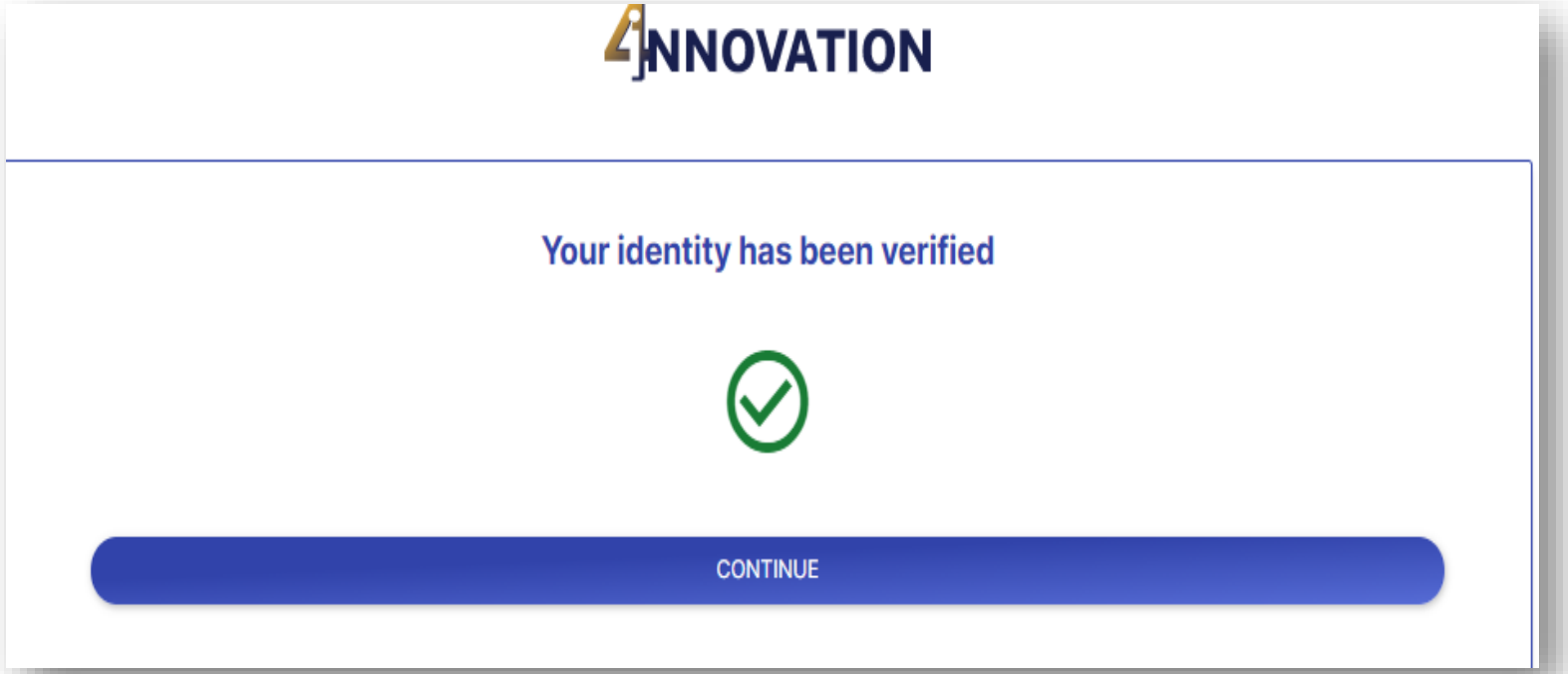
RIDP:

Out of the Wallet Questions



RIDP:

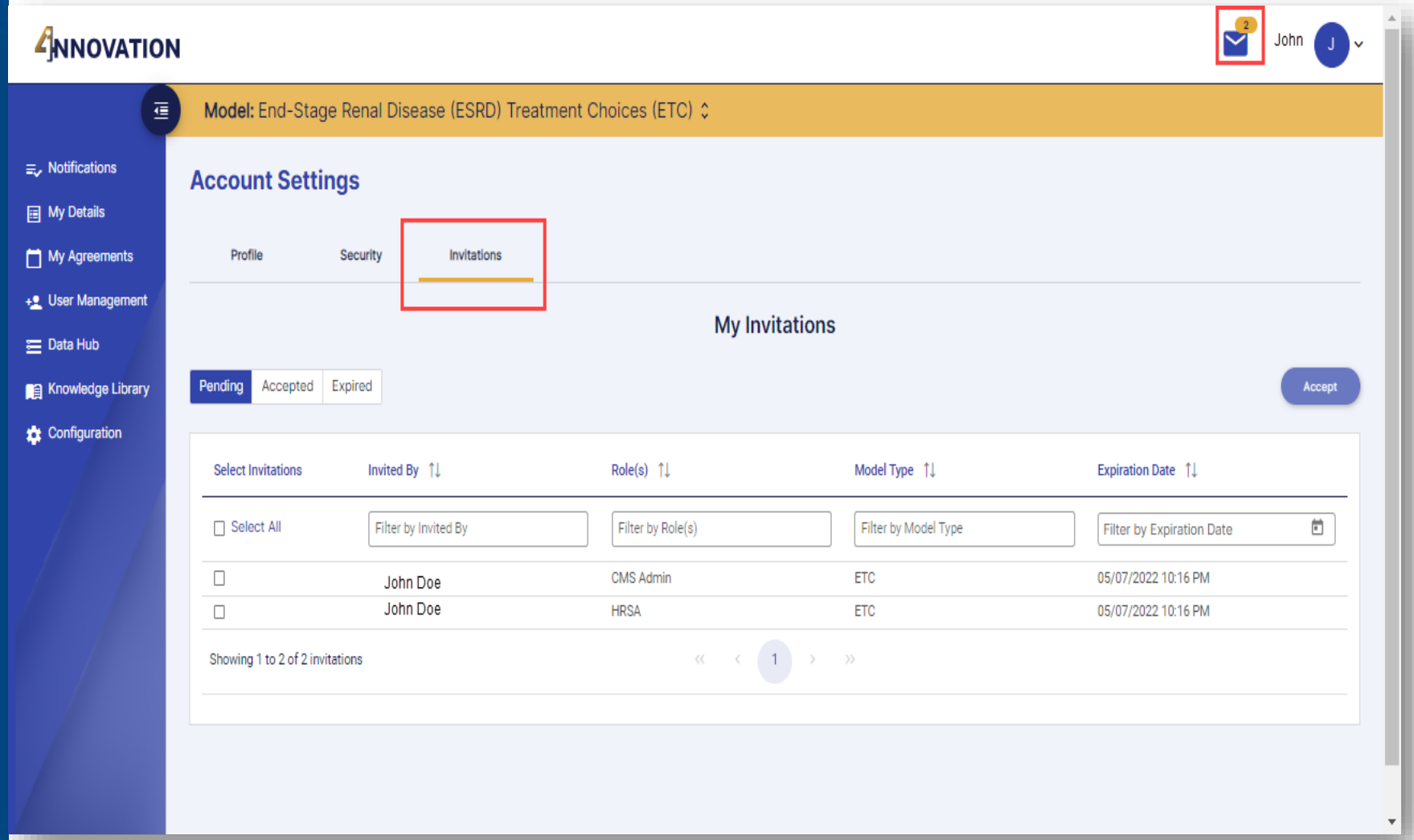
Success Message



User Supporting More than one Participant

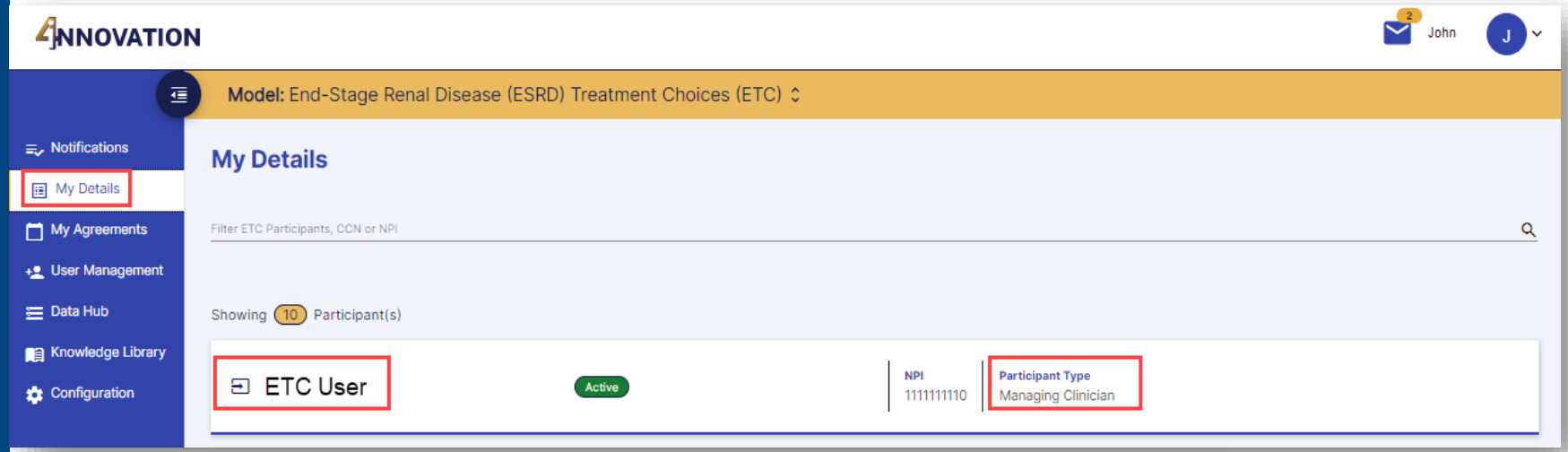
- After your initial invitation, Users supporting more than one participants can expect to receive multiple 4i invitations.
- The same IDM credentials must be used for each subsequent invitation received.
- Do not create unique IDM credentials for each participant.
- You have the option of using the bulk invitation feature in 4i to accept multiple invitations or you can complete the sign-up process using the link provided in the email invitation.

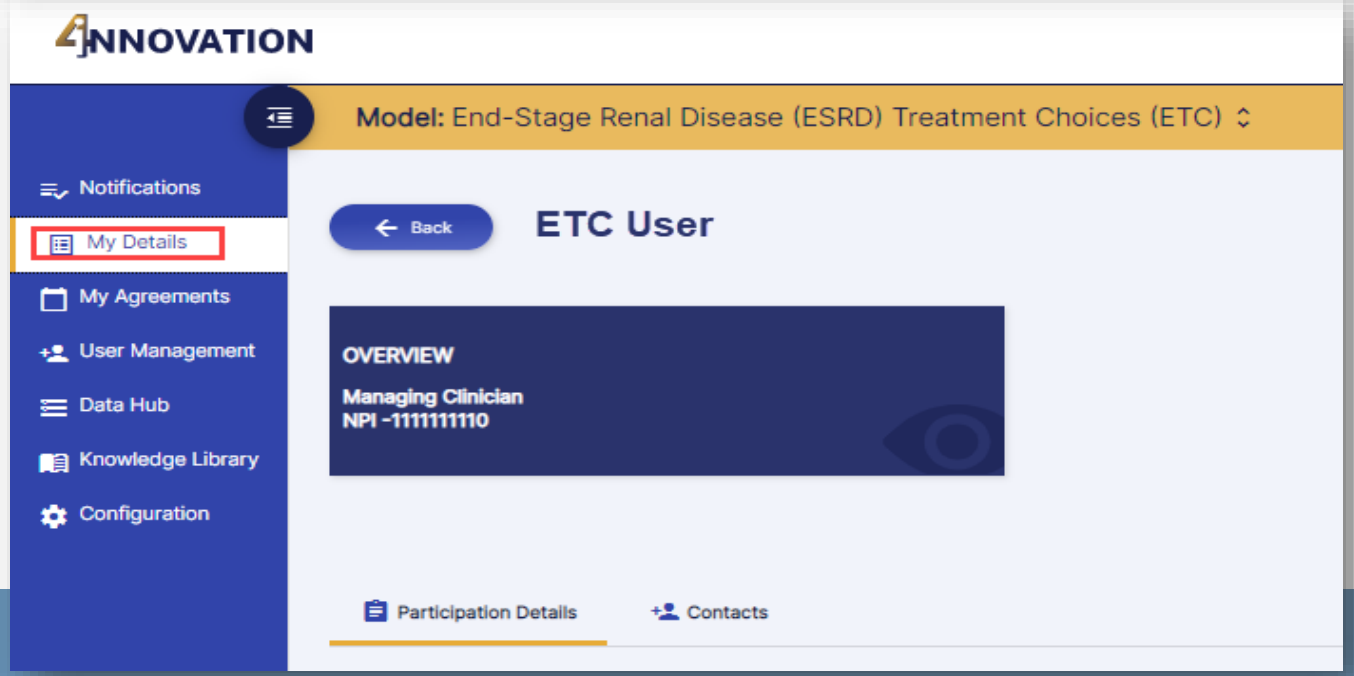
Manage Bulk Invitations



Participant Management:

View Managing Clinicians Participant Information

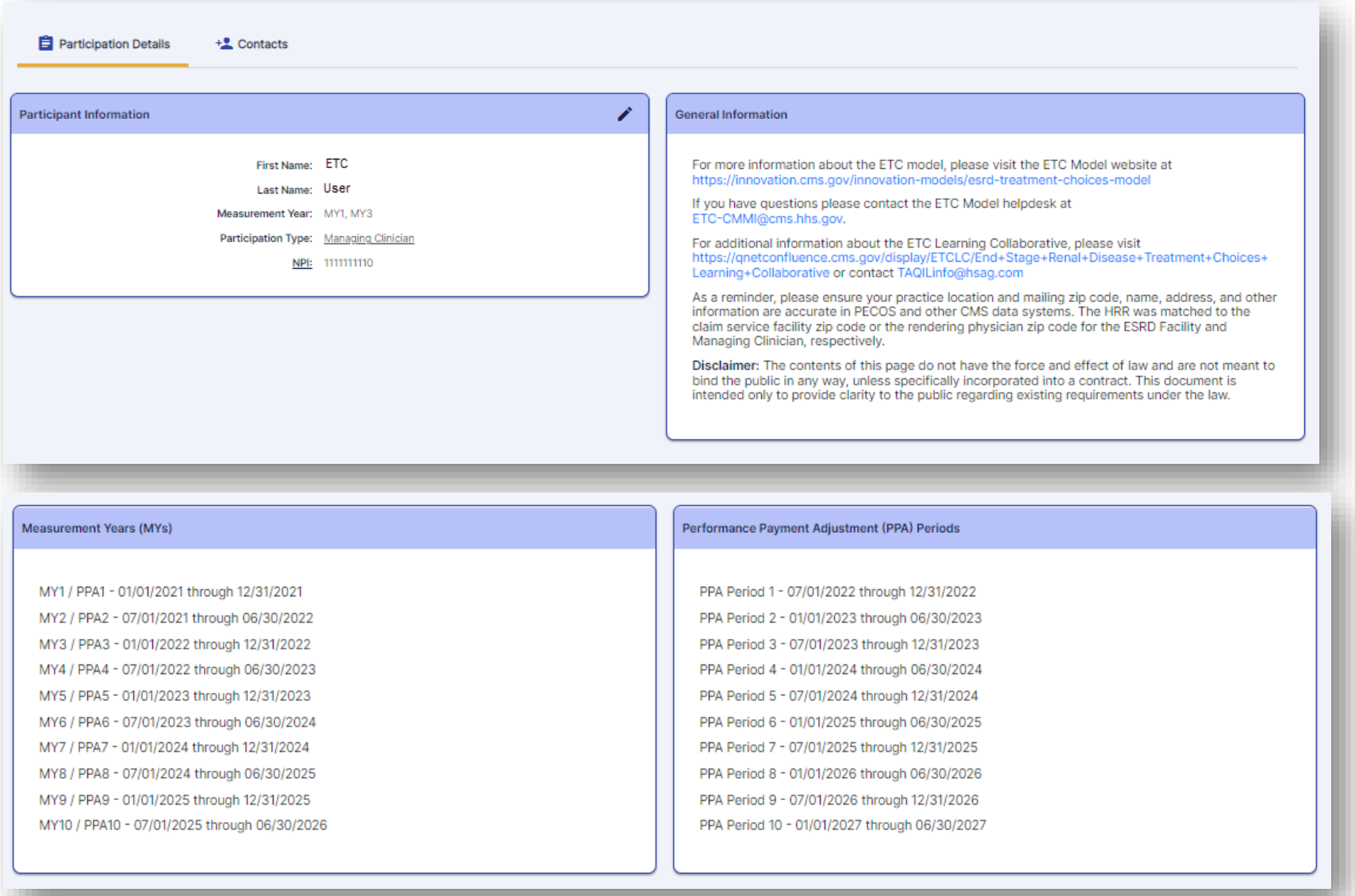




Participant Management:

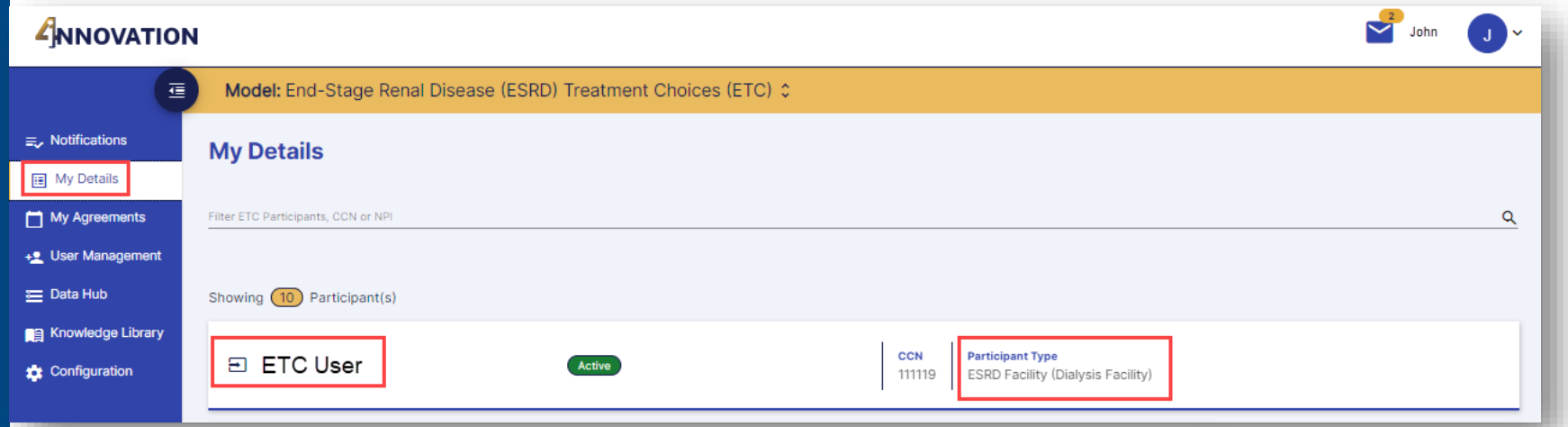
View Managing Clinicians Participant Information

Cont'd



Participant Management:

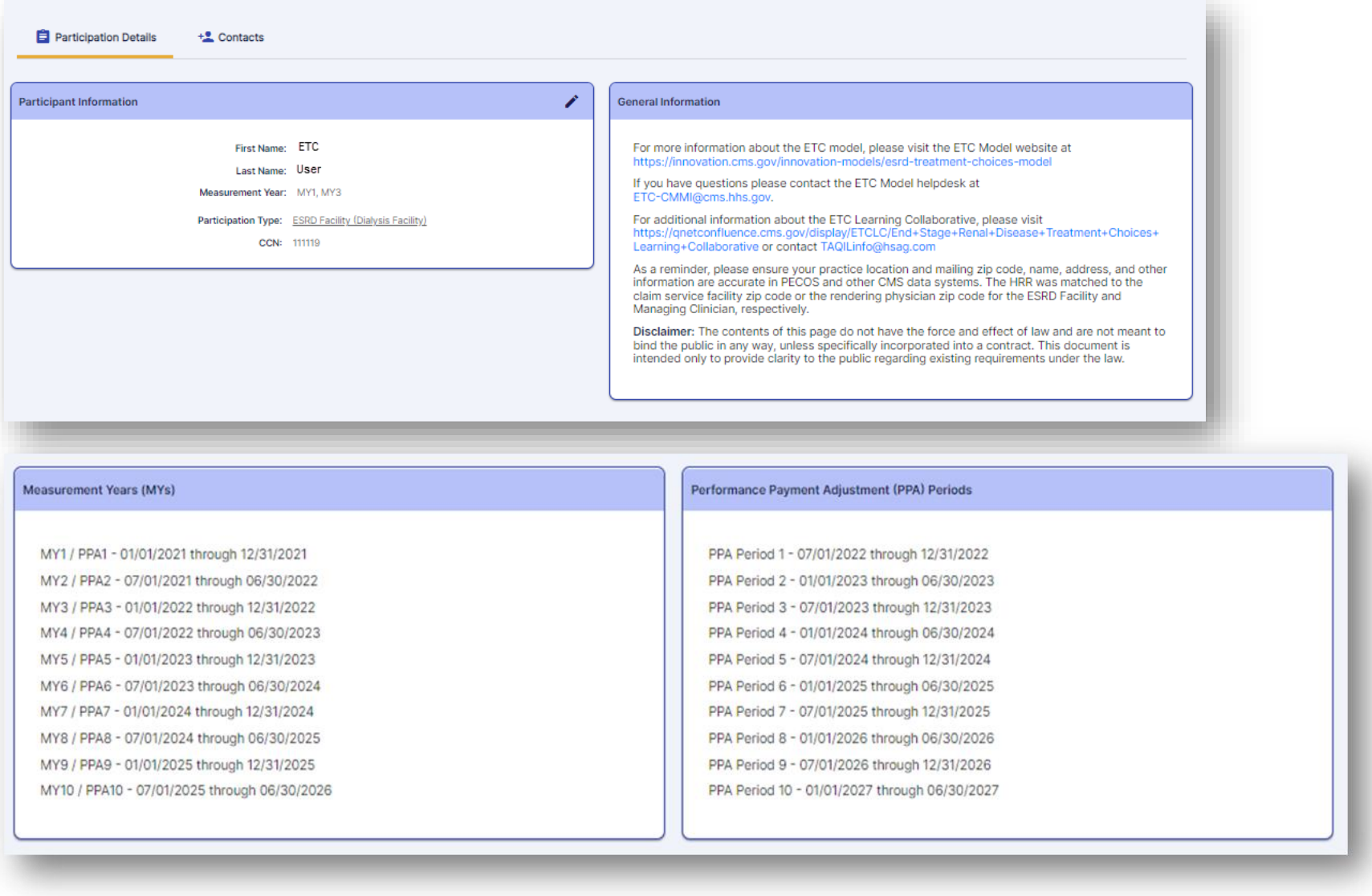
View Dialysis Facility Participant Information



Participant Management:

View Dialysis Facility Participant Information

Cont’d



ETC User Roles & Access

- ETC Participant APM Executive can...
  - View ETC Participants they have access too
  - Invite other ETC Participants Users for all roles
  - Terminate any contact under their participating entity
  - Sign Data Sharing Agreements
  - Download Data Hub files

- ETC Participant APM Secondary Contact can...
  - View ETC Participants they have access too
  - Invite other ETC Participants Users as an Admin (cannot invite a user as an Executive)
  - Terminate Participant Admin contact under their participating entity
  - View Data Sharing Agreements
  - Download Data Hub files

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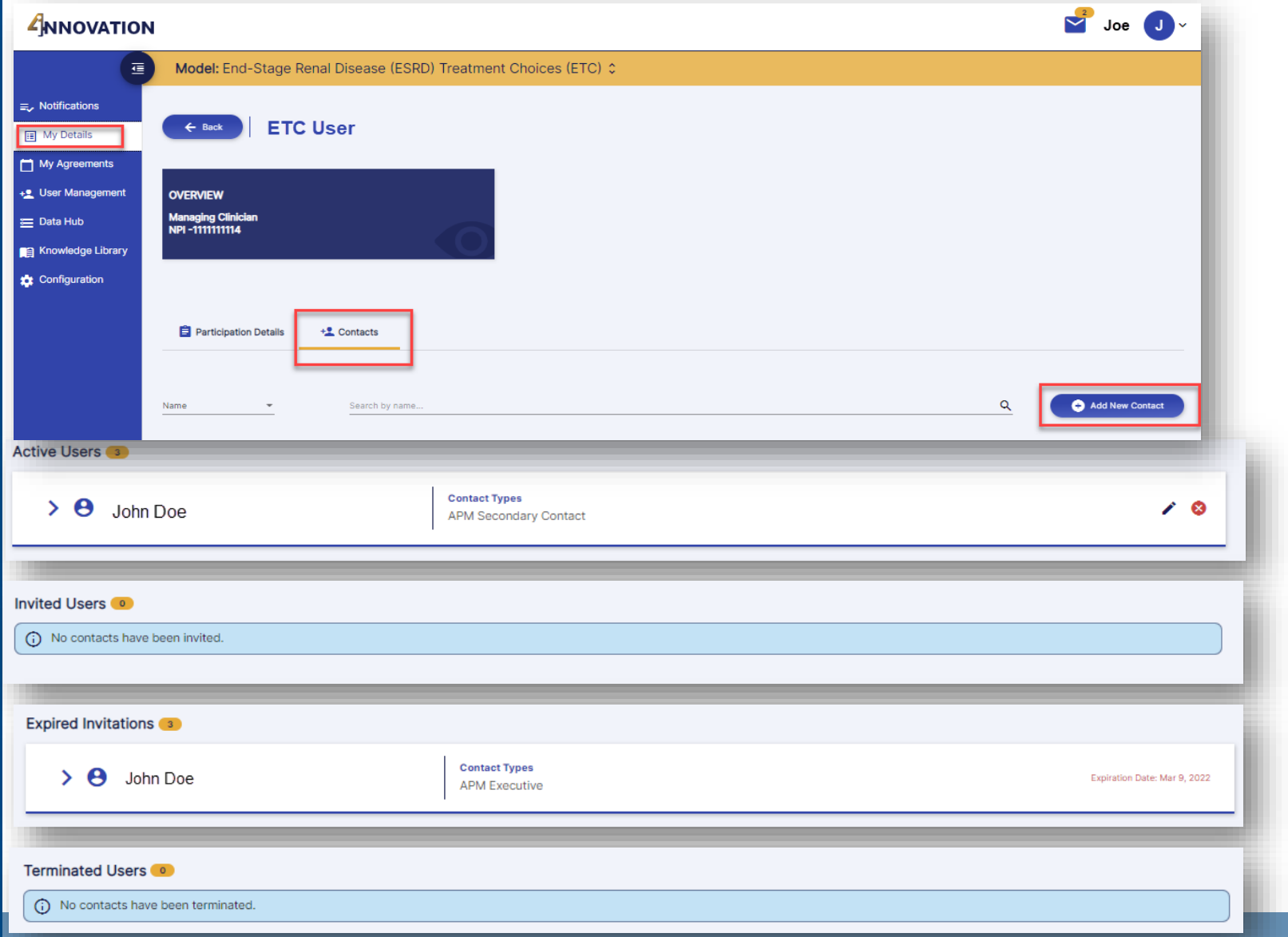
Contact Management:

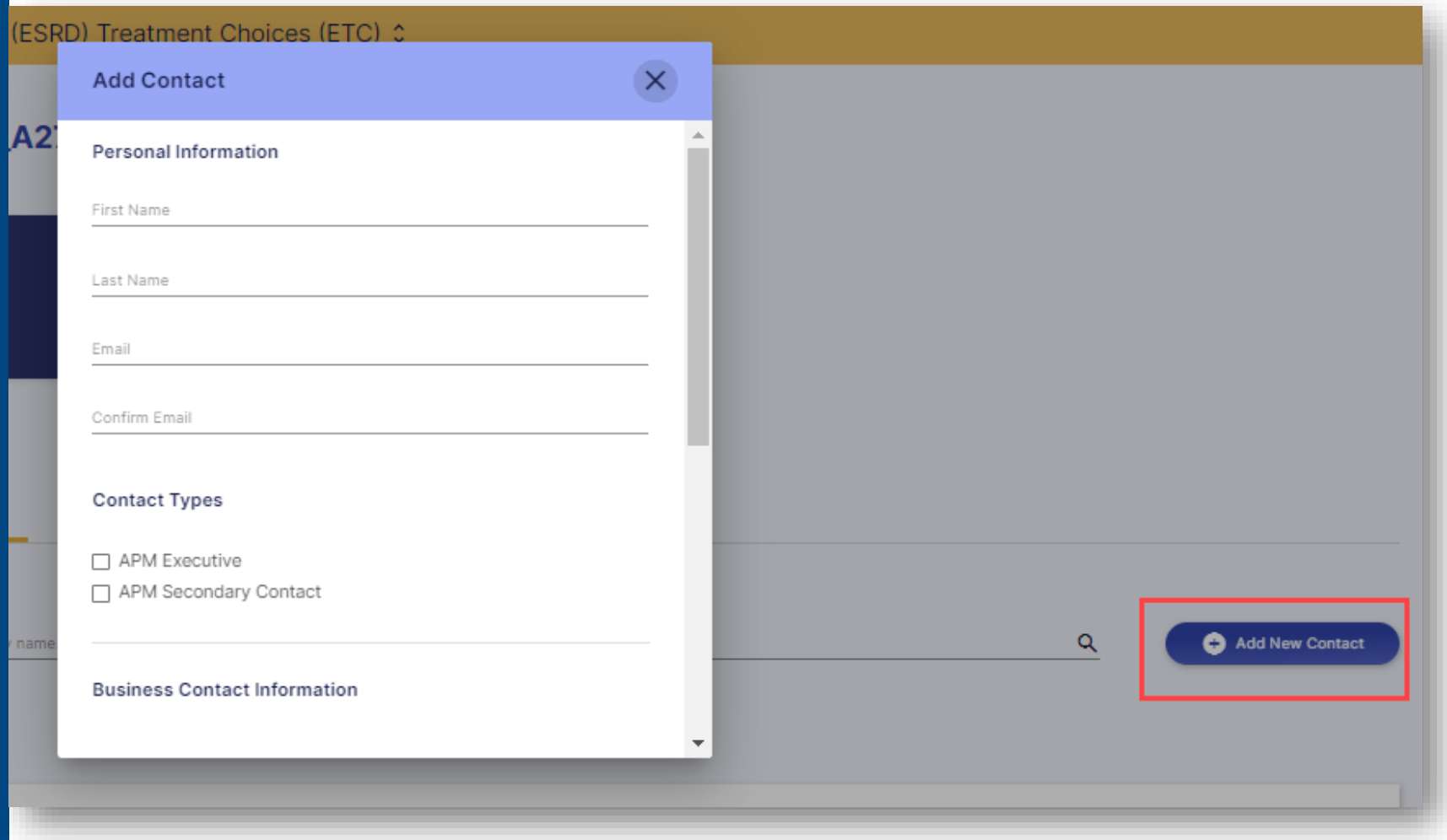
Invite Other Users into 4i



Contact Management:

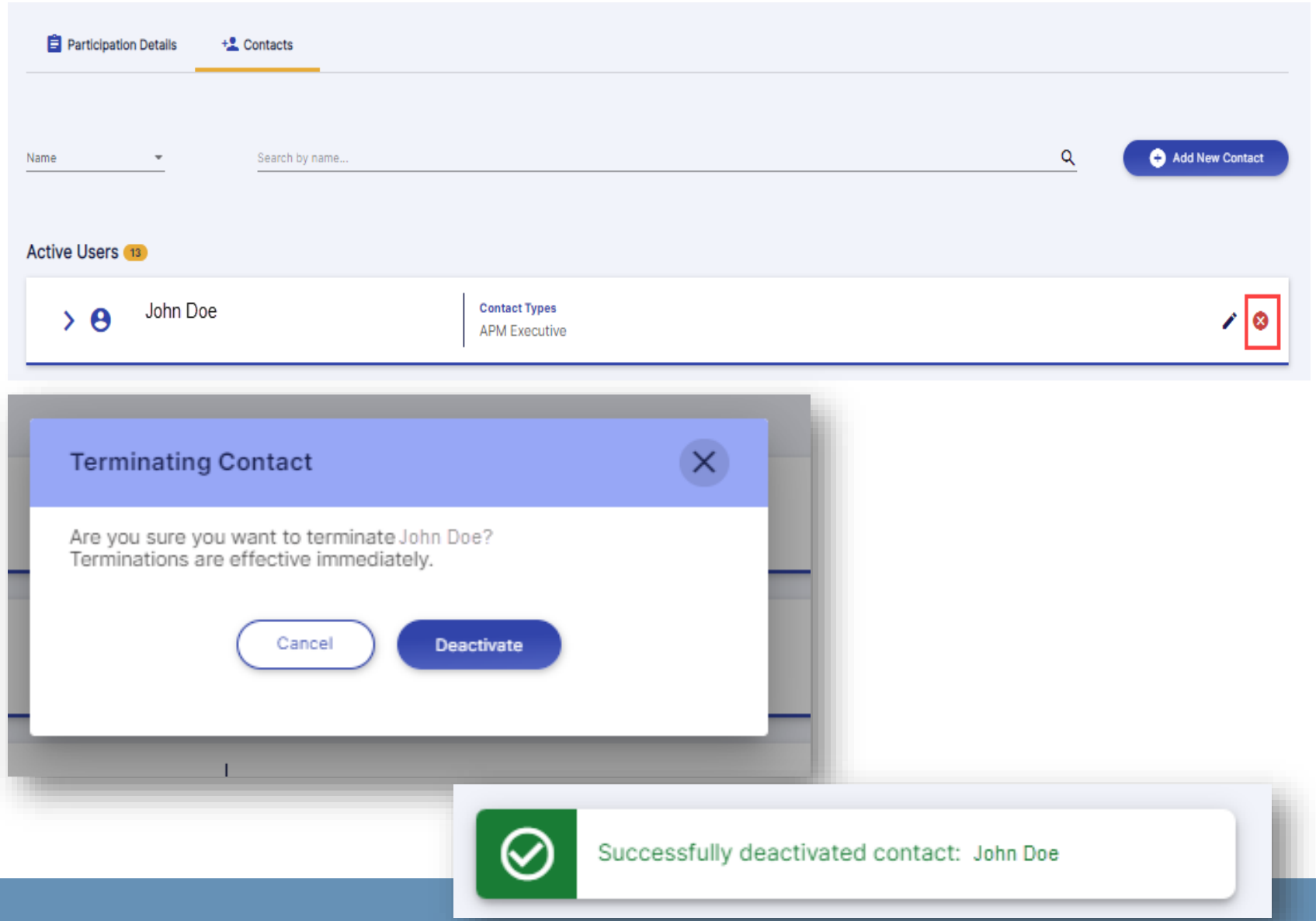
Invite Other Users into 4i

Cont’d



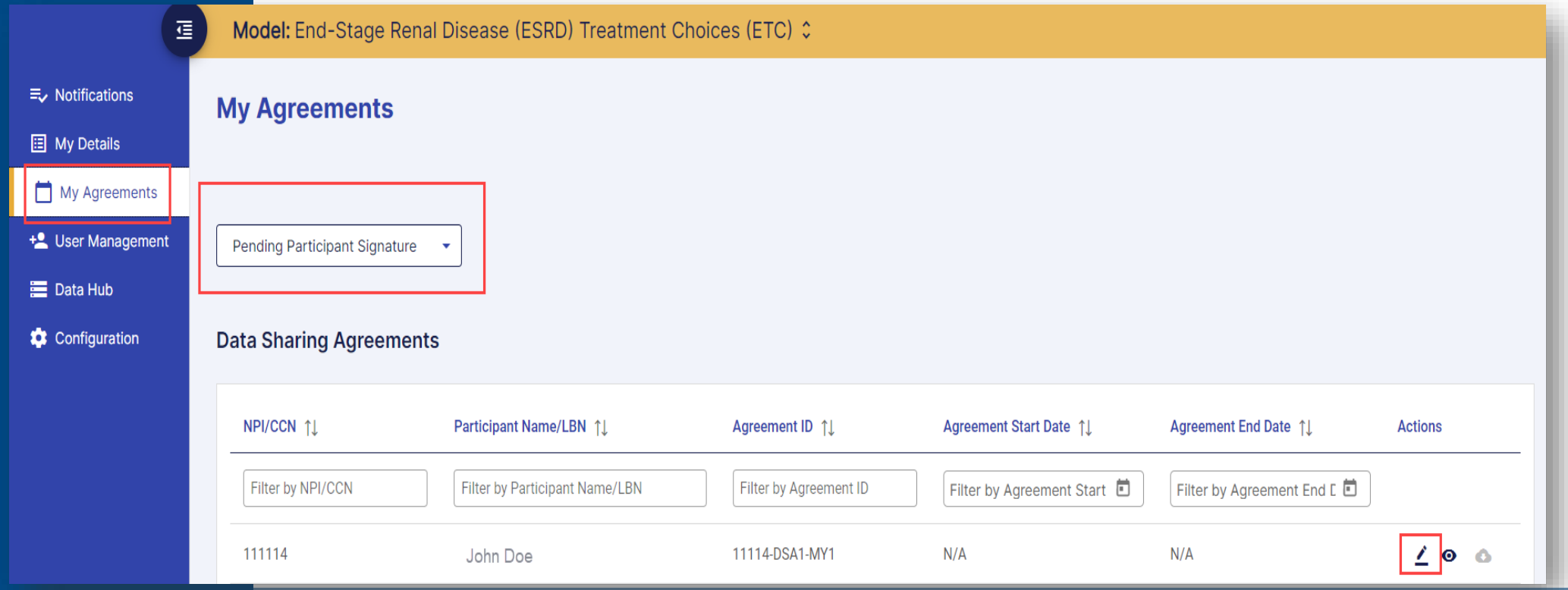
Contact Management:

Terminating an User



My Agreements:

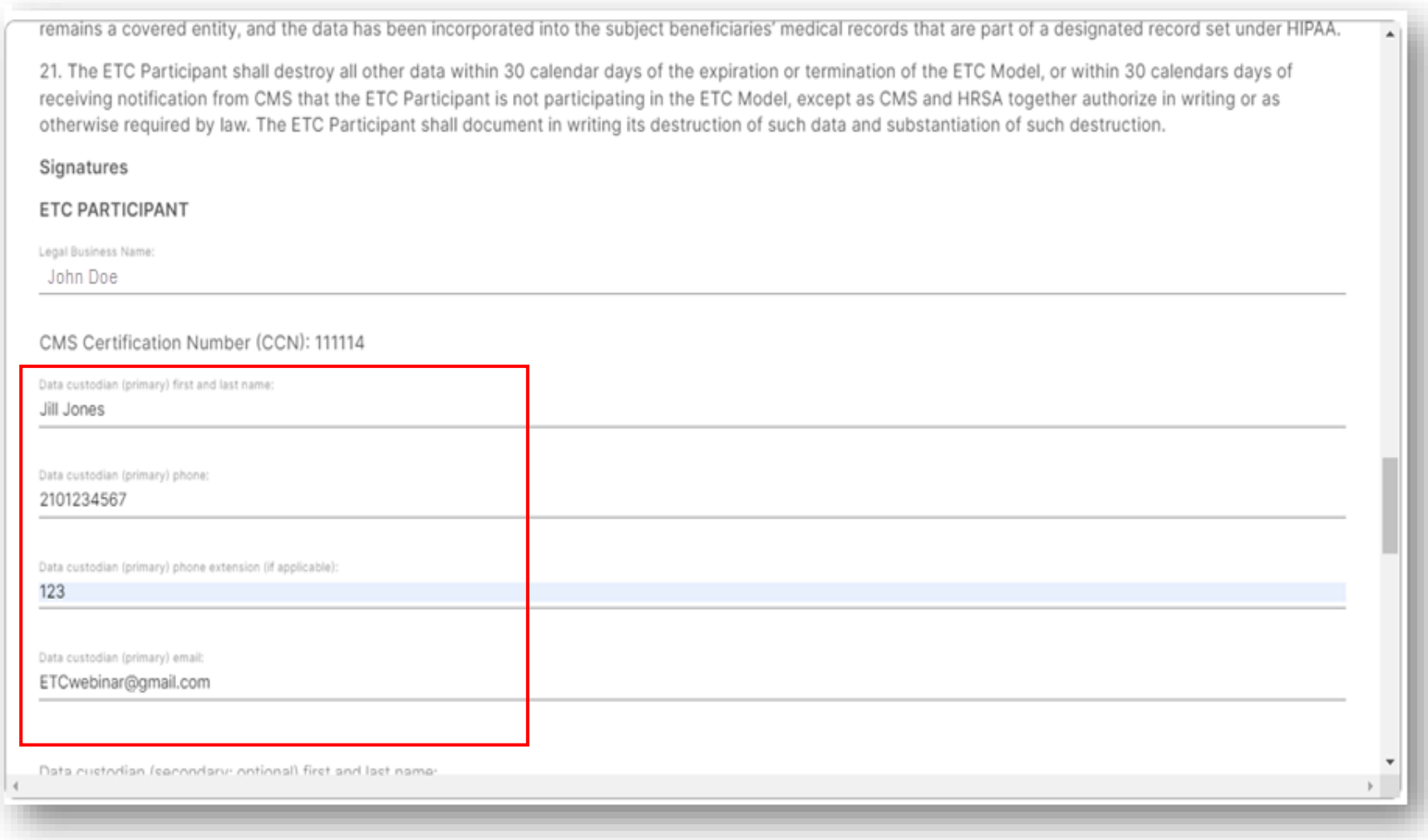
Signing Data Sharing Agreements (DSA)



My Agreements:

Signing Data Sharing Agreements (DSA)

Cont'd


remains a covered entity, and the data has been incorporated into the subject beneficiaries' medical records that are part of a designated record set under HIPAA.

21. The ETC Participant shall destroy all other data within 30 calendar days of the expiration or termination of the ETC Model, or within 30 calendars days of receiving notification from CMS that the ETC Participant is not participating in the ETC Model, except as CMS and HRSA together authorize in writing or as otherwise required by law. The ETC Participant shall document in writing its destruction of such data and substantiation of such destruction.

Signatures

ETC PARTICIPANT

Legal Business Name:
John Doe

CMS Certification Number (CCN): 111114

Data custodian (primary) first and last name:
Jill Jones

Data custodian (primary) phone:
2101234567

Data custodian (primary) phone extension (if applicable):
123

Data custodian (primary) email:
ETCwebinar@gmail.com

Data custodian (secondary; optional) first and last name:

Note: ETC Participant fields are pre-populated and Data Custodian Primary information must be entered by the user to sign the DSA

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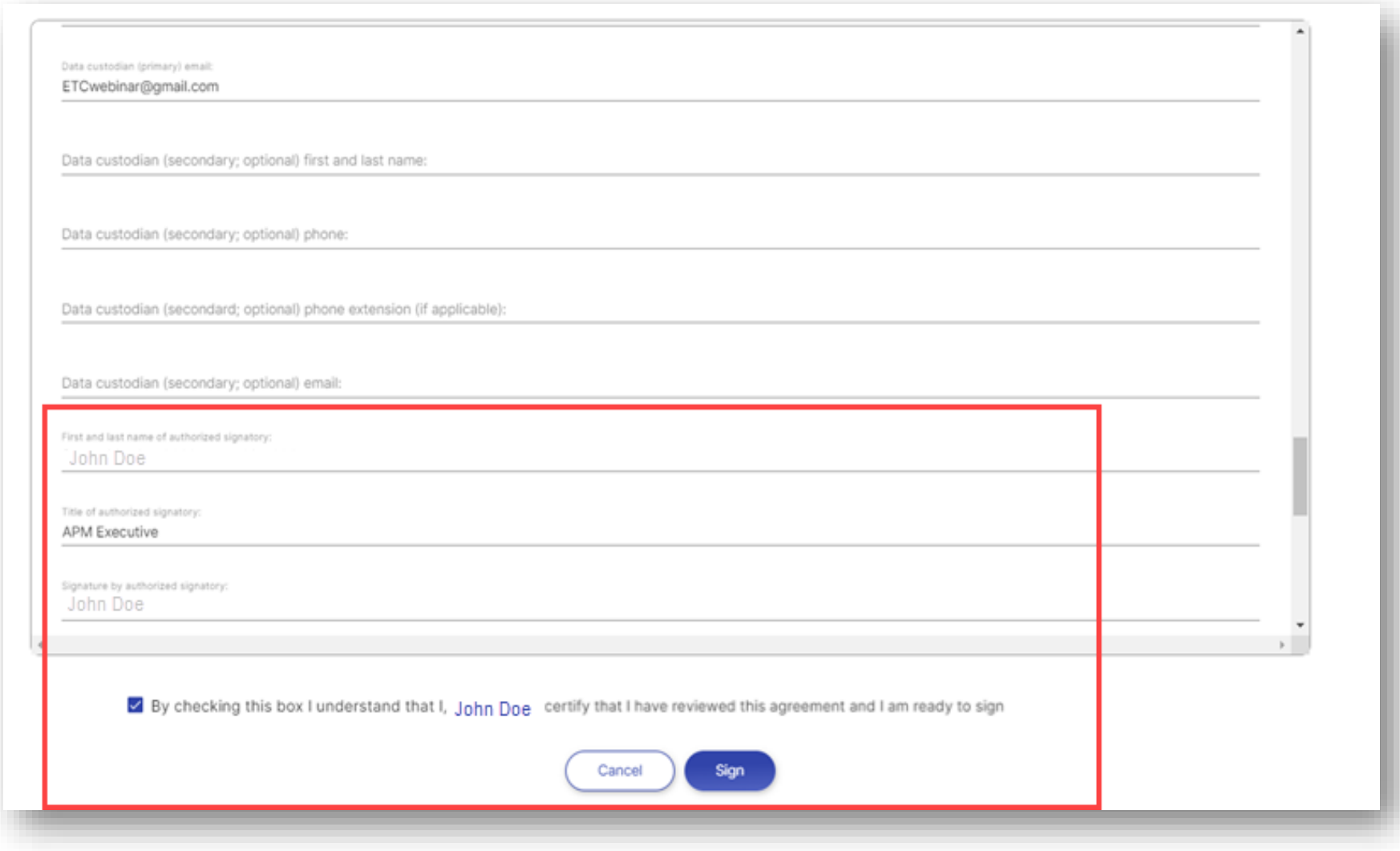
My Agreements:

Signing Data Sharing Agreements (DSA)

Cont'd

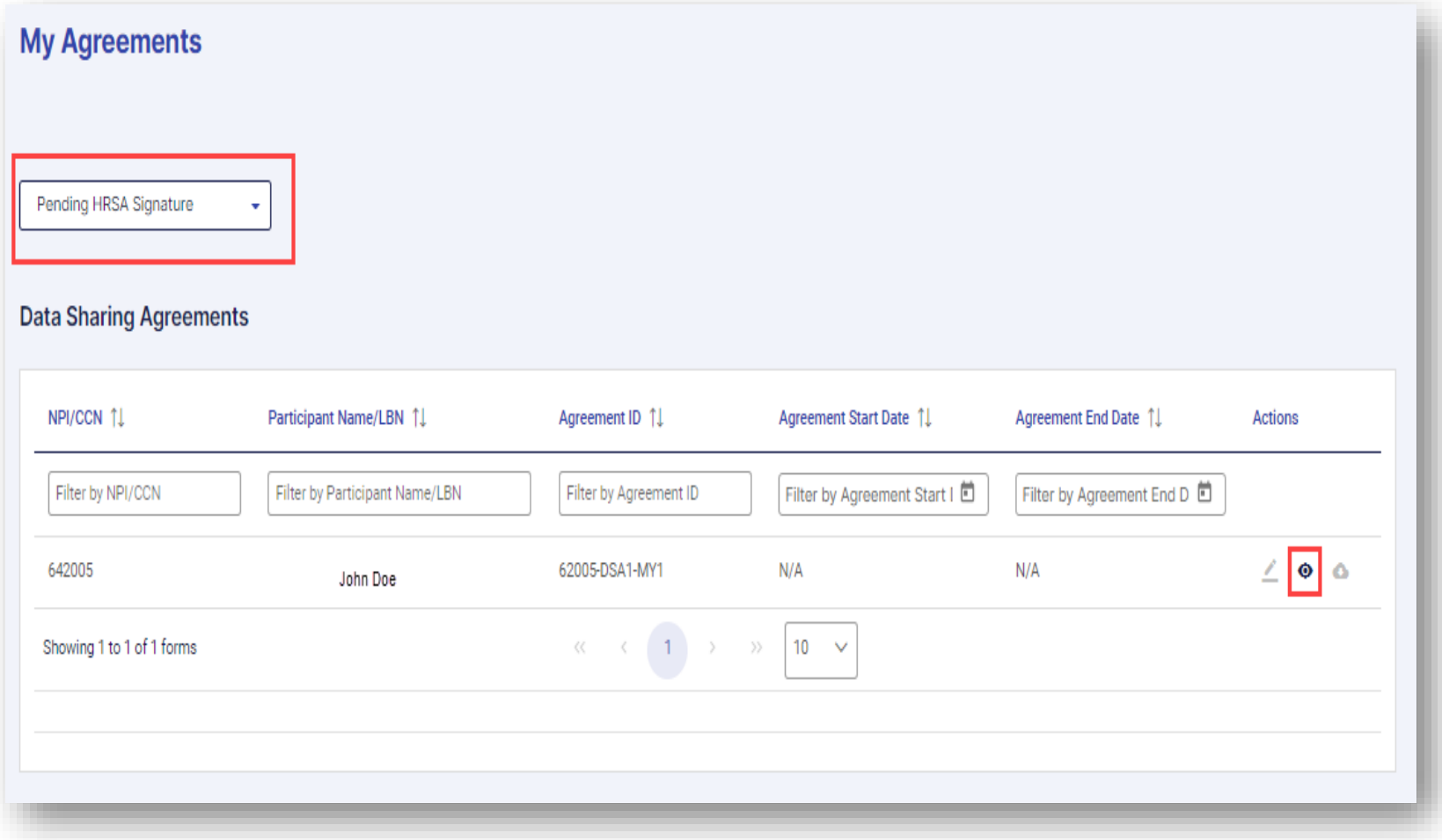


Note: ETC Participant APM Executive Signatory fields are pre-populated

My Agreements:

Signing Data Sharing Agreements (DSA)

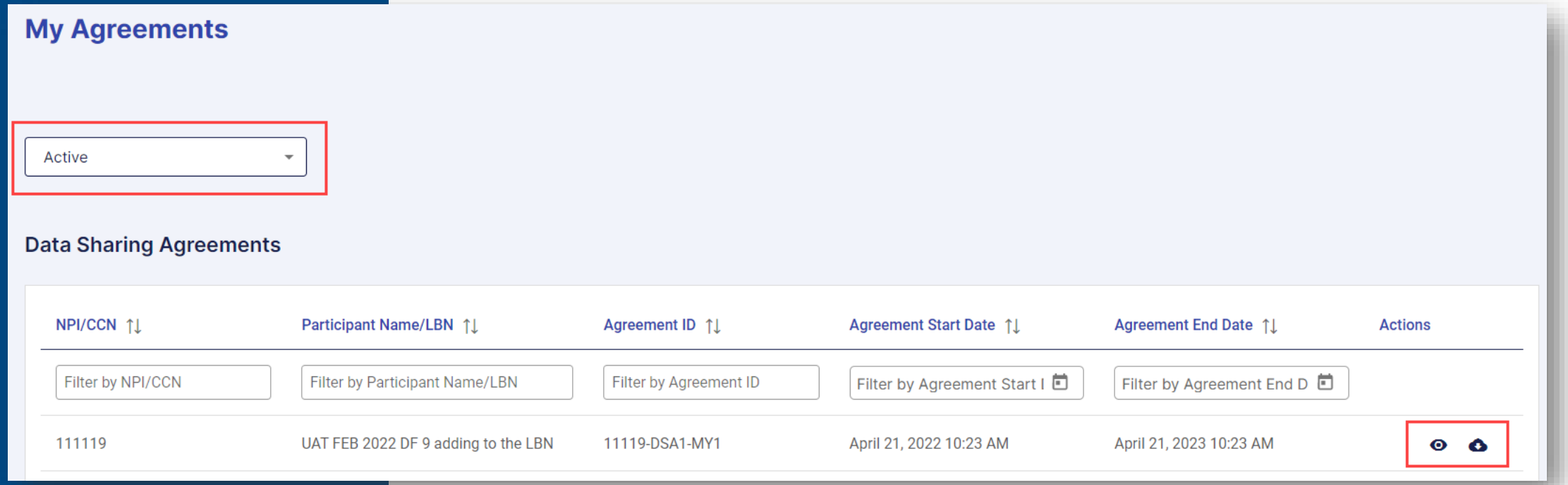
Cont'd



Note: Once APM Executive user signing is complete, the DSA signing status is updated from Pending Participant Signature to Pending HRSA Signature

My Agreements:

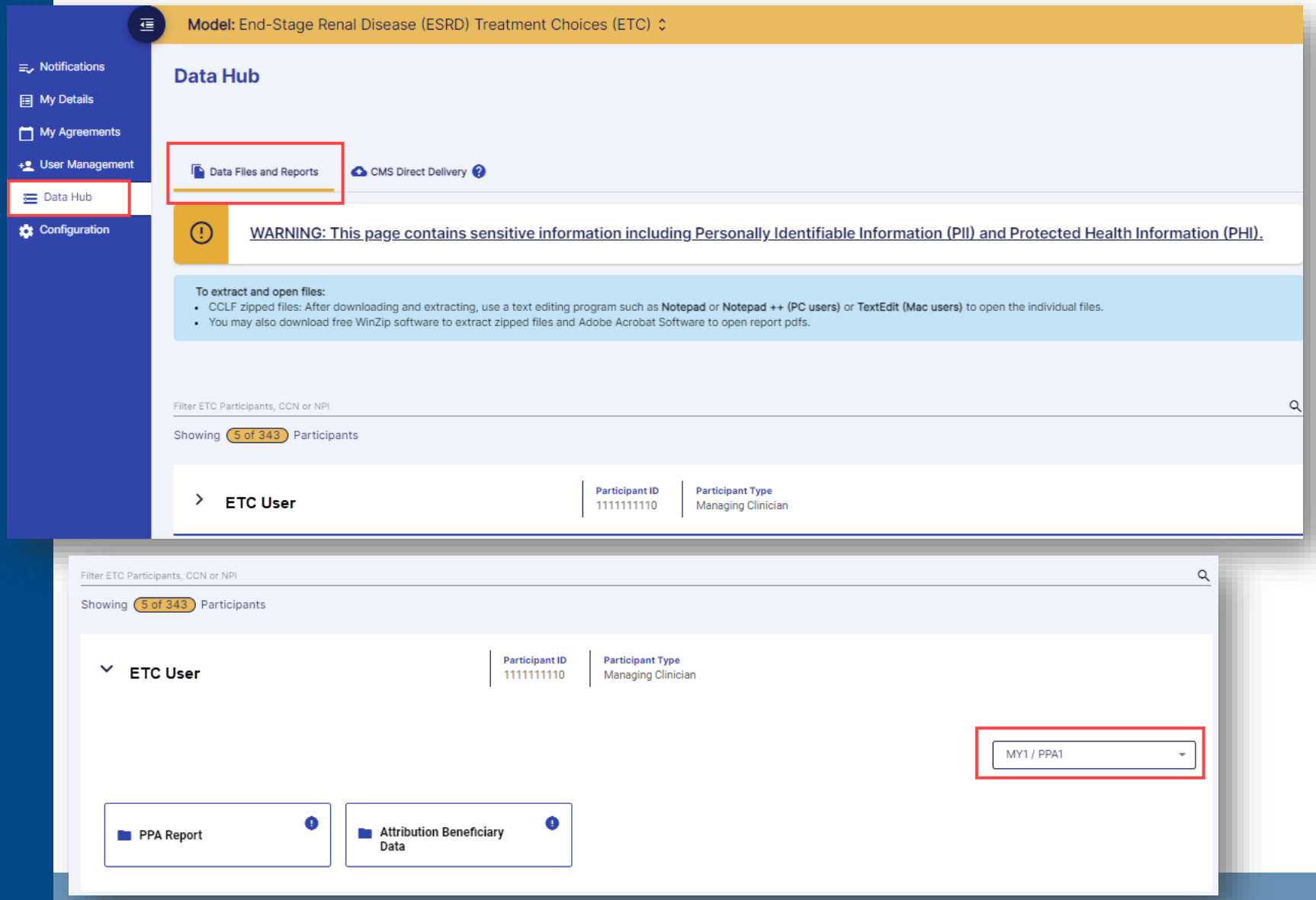
Viewing Data Sharing Agreements (DSA)



Note: Once APM Executive user signs the agreement, the HRSA user and CMS user counter-signs the agreement to make the Data Sharing Agreement ACTIVE

Data Hub:

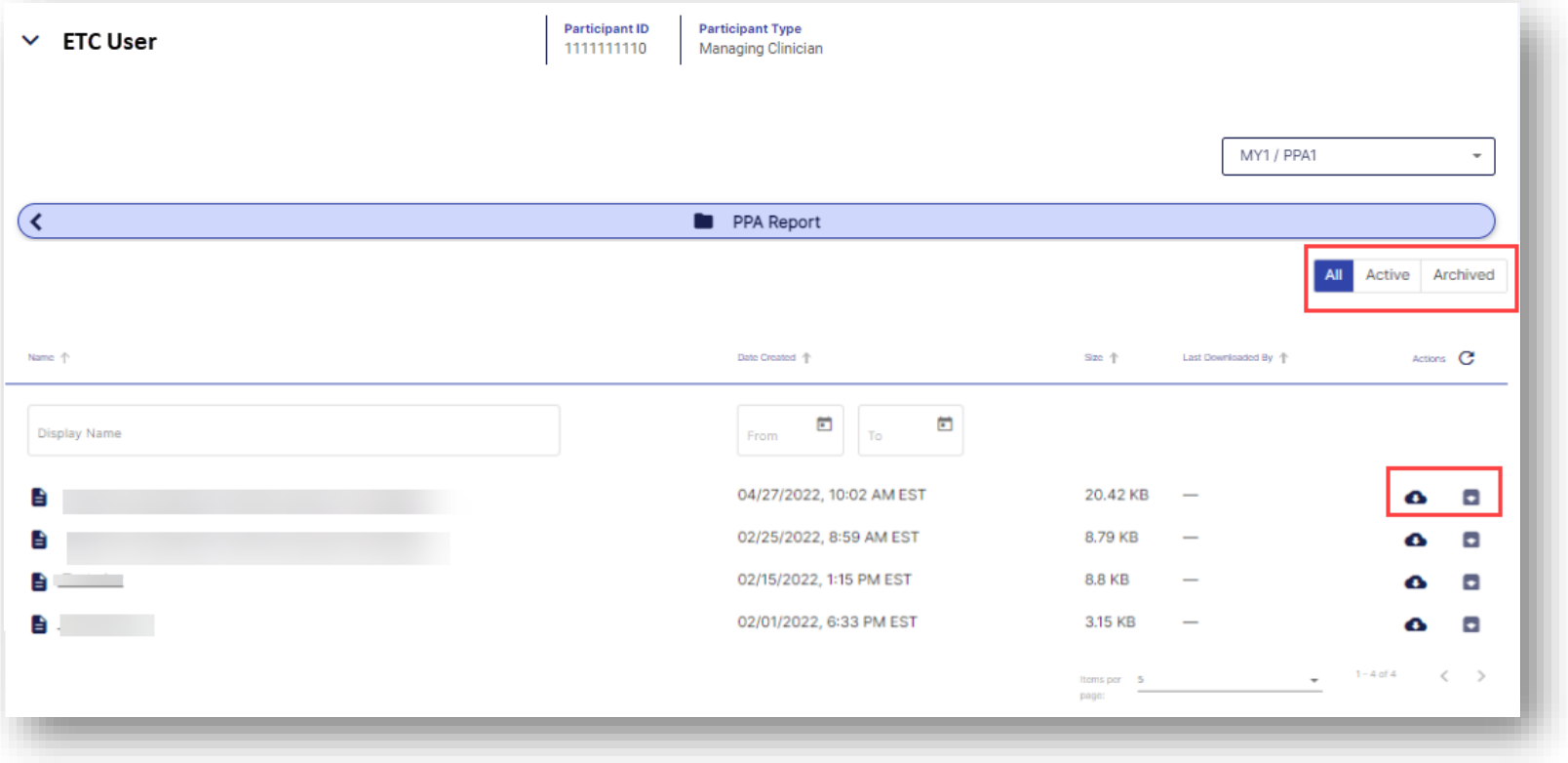
Download Reports



Data Hub:

Download Reports

Cont’d



Questions & Answers

Final Overview

Key Upcoming Dates

Activities	Date
4i System Access Invitations Distributed	05/06/2022
Sign Data Sharing Agreement and View Participant(s)	05/06/2022
MY1/PPA1 Participant Signing Deadline	05/20/2022
Access Reports in 4i Datahub	06/01/2022

Note: Users who have not signed their DSAs will not have access to Reports in the 4i Datahub on 6/1/22

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Technical Assistance

If you have questions or require technical assistance, please email:
ETC-CMMI@cms.hhs.gov

If you require Experian technical support please call:
1-800-854-7201

ETC Model Website:
https://innovation.cms.gov/innovation-models/esrd-treatment-choices-model

Note: Tip sheets will be located on the ETC Model Website

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