

# First Performance Year Quick Guide

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## Expanded HHVBP Model

January 2023

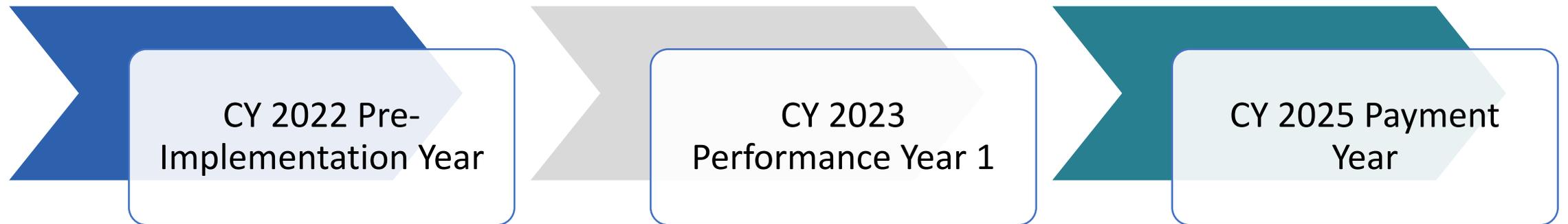


*This material was prepared by Lewin Group under the HHVBP Technical Assistance contract (HHSM-500-2014-00331.) with the Centers for Medicare & Medicaid Services (CMS), an agency of the U.S. Department of Health and Human Services (HHS). Views expressed in this material do not necessarily reflect the official views or policy of CMS or HHS, and any reference to a specific product or entity herein does not constitute endorsement of that product or entity by CMS or HHS.*



# Overview

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# Agenda

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- Expanded HHVBP Model First Performance Year Briefing
- Strategic Planning Process
- *Staying Connected* checklist
- Reports for the First Performance Year
- Resources

# Acronyms

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<b>APP</b>	Adjusted Payment Percentage
<b>APR</b>	Annual Performance Report
<b>CY</b>	Calendar Year
<b>FFS</b>	Fee-for-service
<b>HHA</b>	Home health agency
<b>HHVBP</b>	Home Health Value-Based Purchasing
<b>IPR</b>	Interim Performance Report
<b>PIPR</b>	Pre-Implementation Performance Report
<b>TPS</b>	Total Performance Score

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# Expanded HHVBP Model Briefing

# Expanded HHVBP Model: First Performance Year

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## Situation

- An HHA's quality performance results for CY 2023 will determine the Adjusted Payment Percentage (APP) that can range between -5% and +5%. The APP will be applied to CY 2025 Medicare FFS claims.

## Background

- Payment adjustment will be based on quality measure achievement and improvement, relative to competing peer HHAs.

## Assessment

- Timely and effective quality management actions can influence CY 2023 quality performance and prospects for future payment adjustments.

## Recommendation

- Conduct a strategic review of quality performance considering new payment incentives, adjust quality management strategy as needed.

# SBAR: Situation and Background

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### **For more information, please see the following technical assistance resources:**

- FAQs & Model Guide – comprehensive resources
- HHVBP Model Expansion 101 – webinar recording
- Quality Measures Used in the Expanded Model – webinar recording
- Pre-Implementation Performance Reports (PIPRs) – webinar recording and written resource
- Navigating Performance Feedback Reports: Interim Performance Report (IPR) and Annual Performance Report (APR) – webinar recording and Q&A

***All resources are available on the [Expanded HHVBP Model webpage.](#)***

# SBAR: Assessment

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*Success under the expanded HHVBP Model is in the hands of HHA owners, leaders, managers, and staff – it depends on their choices and actions.*

# SBAR: Recommendation

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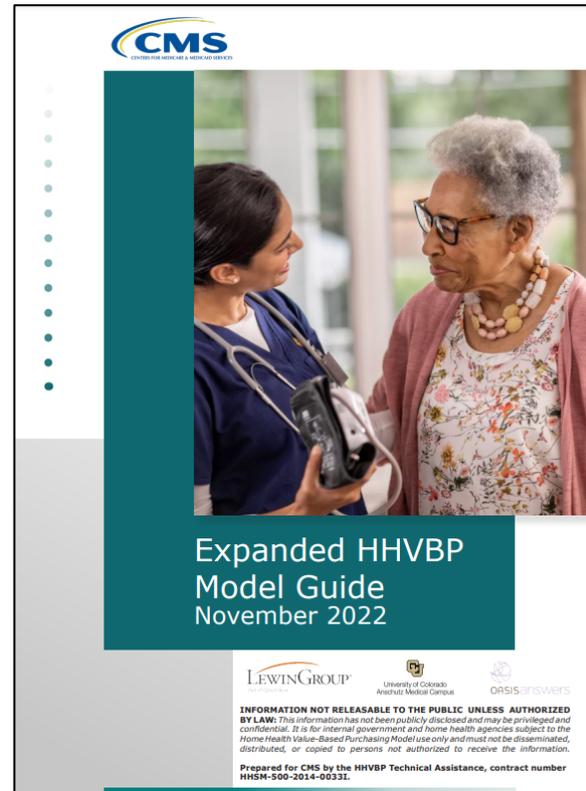
- Conduct a strategic review of quality performance considering new payment incentives, adjust quality management strategy as needed.

### Key questions for strategic review:

1. What are the implications of the expanded HHVBP Model for the future of our HHA?
2. Should we re-align our current quality improvement agenda and priorities?
3. Should we adjust our approach to quality management?

# 1. What are the implications of the expanded HHVBP Model for the future of our HHA?

- ✓ Ensure your HHA has access to and understanding of performance reports
- ✓ Review HHVBP Model Guide and FAQs
- ✓ Ensure team has working understanding of the Model
  - For example – quality measures, achievement and improvement points, Total Performance Score (TPS) calculation, payment adjustment principles
- ✓ Review current performance and improvement capabilities to inform quality improvement priorities



Home Health Value-Based Purchasing Model  
Frequently Asked Questions (FAQs)

**New!**

### New and Updated FAQs

New and/or newly revised questions are listed each month in this section. The next FAQ publication will incorporate these new and updated questions under the respective section. The first digit in the question number identifies which section the FAQ will appear in the next publication – e.g., 1010 will be available in Section 1, General Information.

#### NEW FAQs

**Q5004. Will the percentile rankings reported in the expanded HHVBP Model Interim Performance Reports (IPRs) and Annual Performance Reports (APRs) correlate with the adjusted payment percentage?**

The percentile rankings and the adjusted payment percentage serve different purposes.

Percentile rankings reported on the expanded HHVBP Model Interim Performance Reports (IPRs) and Annual Performance Reports (APRs) enable HHAs to know how their performance compares to other HHAs within the same cohort. Percentile rankings are provided for care points awarded for each reported quality measure on the Care Points tab and for the Total Performance Score (TPS) on the Measure Scorecard tab.

An HHA's adjusted payment percentage is available only in the APR. An HHA's adjusted payment percentage is a maximum of 5% upward or downward. An HHA's adjusted payment percentage depends on two factors:

- The HHA's Total Performance Score (TPS)
- The performance of other HHAs in the assigned cohort: Cohort performance is a key factor in determining the LEF that works as a driver in the calculation of each HHA's payment adjustment. HHAs that have a TPS that is average in relation to other HHAs in their cohort would not receive any payment adjustment. An HHA with a TPS higher than the cohort average would receive a positive payment adjustment. An HHA with a TPS lower than the cohort average would receive a negative payment adjustment.

Additional information is provided in [Q5001](#) and [Q5003](#), and the resource "How the Total Performance Score (TPS) Becomes the Final Payment Adjustment", located on the [Expanded HHVBP Model webpage](#).

## 2. Should we re-align our current quality improvement agenda and priorities?

- ✓ Identify any modifications or enhancements to your quality management strategy required to address risks of negative payment adjustment
- ✓ Identify opportunities to influence your agency's potential for positive payment adjustment and level of achievement and improvement in the expanded Model quality measures
- ✓ Develop and implement a quality improvement plan to focus on opportunities for improvement



**Exhibit 5. Completed Performance Profile Table (Sample APR Example)**

Categories and Measures	Your HHA's Percentile Ranking Within Your HHA's Cohort (Located in Care Points Tab on IPR or APR)			
	<25	25-49	50-74	≥75
<b>OASIS-based Measures</b>				X
Discharged to Community				X
Improvement in Dyspnea			X	
Improvement in Management of Oral Medications			X	
Total Normalized Composite (TNC) Change in Mobility				X
Total Normalized Composite (TNC) Change in Self-Care				X
<b>Claims-based Measures (analyzed separately)</b>				
Acute Care Hospitalizations		X		
Emergency Department Use Without Hospitalization	X			
<b>HHCAPHS Survey-based Measure Components</b>				
Care of Patients	X			
Communications Between Providers and Patients		X		
Specific Care Issues	X			
Overall Rating of Home Health Care	X			
Willingness to Recommend the Agency		X		

# 3. Should we adjust our approach to quality management?

- ✓ Identify any adjustments in quality management practices required to promote the success of future quality improvement efforts
- ✓ Identify actions necessary to ensure you stay current on new developments and best practices for home health care quality performance

QAPI Results Dashboard				
[a] Measure/Domain Performance	[b] PI target: past 18 months	[c] PI target: 18-36 months ago	[d] Current Performance Assessment	[e] PI Assessment
Date Range	From ( / / ) to ( / / )			
Date range for performance data:				
Star Rating			▼ ● ▲	▼ ● ▲
Total Performance Score (TPS)			▼ ● ▲	▼ ● ▲
OASIS			▼ ● ▲	▼ ● ▲
Discharged to Community			▼ ● ▲	▼ ● ▲
Improvement in Management of Oral Medications			▼ ● ▲	▼ ● ▲
Total Normalized Composite (TNC)			▼ ● ▲	▼ ● ▲
Change in Mobility			▼ ● ▲	▼ ● ▲
Total Normalized Composite (TNC)			▼ ● ▲	▼ ● ▲
Change in Self-Care			▼ ● ▲	▼ ● ▲
Claims			▼ ● ▲	▼ ● ▲
Acute Care Hospitalizations (ACH)			▼ ● ▲	▼ ● ▲
Emergency Department Use Without Hospitalization (ED Use)			▼ ● ▲	▼ ● ▲
HCAHPS			▼ ● ▲	▼ ● ▲
Care of Patients			▼ ● ▲	▼ ● ▲
Communications Between Providers and Patients			▼ ● ▲	▼ ● ▲
Specific Care Issues			▼ ● ▲	▼ ● ▲
Overall Rating of Home Health Care			▼ ● ▲	▼ ● ▲
Willingness to Recommend the Agency			▼ ● ▲	▼ ● ▲
High risk, high volume, problem prone (list measure)			▼ ● ▲	▼ ● ▲
Staff experience (list measure)			▼ ● ▲	▼ ● ▲
Sustainability, financial performance (list measure)			▼ ● ▲	▼ ● ▲
Other (list measure)			▼ ● ▲	▼ ● ▲
Other (list measure)			▼ ● ▲	▼ ● ▲

# Staying Connected Checklist

- ❑ Visit and bookmark the [Expanded HHVBP Model webpage](#)
- ❑ Review the [Expanded HHVBP Model YouTube playlist](#) for all recorded content
- ❑ [Subscribe to the HHVBP Expanded Model listserv](#) by entering your email address on the contact form, then select “Home Health Value-Based Purchasing (HHVBP) Expanded Model” from the Innovations list. To ensure you receive expanded Model communications via email, please add “cmslists@subscriptions.cms.hhs.gov” to your email safe sender list.
- ❑ Access and review the reports available in [iQIES](#) in the “HHA Provider Preview Reports” folder
- ❑ Contact the HHVBP Help Desk with questions: [HHVBPquestions@lewin.com](mailto:HHVBPquestions@lewin.com).



# Reports for First Performance Year

# Expanded HHVBP Model Report Types

## Pre-Implementation Performance Reports (PIPR)

- First reports available in the expanded HHVBP Model that contain HHA-specific performance information
- Provide HHAs with a current snapshot of their performance data on the expanded HHVBP Model quality measures, with comparison to their respective volume-based cohort
- Do not directly inform the calculation of the Total Performance Score (TPS)
- **Released:** Nov 2022, Jan 2023, and April 2023 *only*

## Interim Performance Report (IPR)

- Quarterly reports that contain information on the quality measure performance based on the 12 most recent months of data available
- Provide feedback to HHAs about performance relative to quality measure achievement thresholds, benchmarks, and improvement thresholds
- Provide HHAs the opportunity to assess and track their performance relative to peers in their respective cohort
- **Released:** Quarterly, beginning July 2023

## Annual Performance Report (APR)

- Not available in CY 2023
- Focuses primarily on the HHA's payment adjustment percentage for the following payment year and includes an explanation of when CMS will apply the adjustment and how CMS determined this adjustment relative to the HHA's performance scores
- **Released:** Annually, beginning August 2024

Expanded HHVBP Model reports are available to download from the Internet Quality Improvement Evaluation System (iQIES) at <https://iqies.cms.gov/>. "Expanded HHVBP Model Reports – Access Instructions" on the Expanded HHVBP Webpage provides instructions on how and where to access the HHVBP Model reports.

# First Performance Year Reports - Data Periods

Performance Report	Data Period for OASIS-based Measures	Data Period for Claims-based and HHCAHPS Survey-based Measures
<i>First performance year (CY 2023) starts on January 1, 2023</i>		
<b>January 2023 PIPR</b> is made available in iQIES to all active HHAs	10/1/2021 – 9/30/2022	7/1/2021 – 6/30/2022
<b>April 2023 PIPR</b> is made available in iQIES to all active HHAs	1/1/2022 – 12/31/2022	10/1/2021 – 9/30/2022
<b>July 2023 IPR</b> is made available in iQIES to all competing HHAs	4/1/2022 – 3/31/2023	1/1/2022 – 12/31/2022
<b>October 2023 IPR</b> is made available in iQIES to all competing HHAs	7/1/2022 – 6/30/2023	4/1/2022 – 3/31/2023

# Expanded HHVBP Model Resources

# Expanded HHVBP Model Help Desks

HHVBP Model Help Desk	iQIES Help Desk
<p>Questions related to implementation, measures, resources, HHVBP performance feedback report content, or other questions related to the expanded HHVBP Model.</p> <p>Email: <a href="mailto:HHVBPquestions@lewin.com">HHVBPquestions@lewin.com</a></p>	<p>Technical questions related to Internet Quality Improvement Evaluation System (iQIES) platform registration, navigation, or assistance with accessing reports.</p> <p>Email: <a href="mailto:iQIES@cms.hhs.gov">iQIES@cms.hhs.gov</a></p> <p>Phone: 1 (800) 339-9313</p> <p>Webpage: <a href="#">iQIES Help</a></p>
<p>When sending an email to either help desk, please include the following information:</p> <ul style="list-style-type: none"> <li>• Your first and last name</li> <li>• Email address</li> <li>• CCN(s) or Facility ID (do not include Taxpayer Identification Number (TIN))</li> <li>• Facility/agency name and address</li> <li>• If CCN or Facility ID is unknown, please include facility/agency name and zip code</li> </ul>	

Home Health Quality Reporting Program Help Desks	
Home Health Quality Help Desk	Home Health CAHPS
<p>Questions related to: Home Health Quality, including Care Compare (excluding HHCAHPS), OASIS coding and OASIS documentation, quality reporting requirements &amp; deadlines, data reported in quality reports, measure calculations, Quality of Patient Care Star Rating (excluding suppression requests), public reporting, risk adjustment, and Quality Assessment Only (QAO)/Pay for Reporting (P4P).</p> <p>Email: <a href="mailto:homehealthqualityquestions@cms.hhs.gov">homehealthqualityquestions@cms.hhs.gov</a></p>	<p>Questions related to the Home Health CAHPS Survey or the Patient Survey Star Ratings.</p> <p>Email: <a href="mailto:hhcahps@rti.org">hhcahps@rti.org</a></p> <p>Phone: 1 (866) 354-0985</p>

# Want to Learn More?

Explore more resources on the [Expanded HHVBP Model webpage](#):

- Expanded HHVBP Model YouTube Playlist
- Model Overview
- FAQs & Model Guide
- Model Reports
- Newsletters
- Quality Measures
- Quality Improvement
- Total Performance Score & Payment Adjustment
- Regulations & Notices

## Expanded Home Health Value-Based Purchasing Model

**CY 2023 Home Health Prospective Payment System final rule:** Available for viewing and download on the [Federal Register](#).

**Pre-Implementation Performance Reports (PIPR) Available in iQIES.** Instructions on how to access your HHA's November 2022 PIPR as well as an on-demand recording and written resource describing the PIPR are available below, under Model Reports.

Building upon experience from the original [Home Health Value-Based Purchasing Model \(HHVBP Model\)](#), this page provides information, resources, and technical assistance to support implementation of the expanded HHVBP Model nationwide.

**Have questions about the expanded HHVBP Model?** Please send questions to [HHVBPquestions@lewin.com](mailto:HHVBPquestions@lewin.com). Be sure to include your name and the home health agency's name and CCN.

### Background

As authorized by section 1115A of the Act and finalized in the Calendar Year (CY) 2016 Home Health Prospective Payment System (HH PPS) final rule (80 FR 68624), the Center for Medicare and Medicaid Innovation (Innovation Center) implemented the Home Health Value-Based Purchasing (HHVBP) Model ("original Model") in nine (9) states on January 1, 2016. The design of the original HHVBP Model leveraged the successes and lessons learned from other CMS value-based purchasing programs and demonstrations to shift from volume-based payments to a model designed to promote the delivery of higher quality care to Medicare beneficiaries. The specific goals of the original HHVBP Model were to:

1. Provide incentives for better quality care with greater efficiency;
2. Study new potential quality and efficiency measures for appropriateness in the home health setting; and
3. Enhance the current public reporting process.

The original HHVBP Model resulted in an average 4.6 percent improvement in HHAs' total performance scores (TPS) and an average annual savings of \$141 million to Medicare without evidence of adverse risks. The evaluation of the original model also found reductions in unplanned acute care hospitalizations and skilled nursing facility (SNF) stays, resulting in reductions in inpatient and SNF spending. The U.S. Secretary of Health and Human Services determined that expansion of the original HHVBP Model would further reduce Medicare spending and improve the quality of care. In October 2020, the CMS Chief Actuary certified that expansion of the HHVBP Model would produce Medicare savings if expanded to all states.

### Model Summary

**Stage:** Ongoing  
**Number of Participants:** N/A  
**Category:** Initiatives to Accelerate the Development and Testing of New Payment and Service Delivery Models  
**Authority:** Section 3021 of the Affordable Care Act

### Milestones & Updates

**October 31, 2022**  
Announced: CY 2023 Home Health Prospective Payment System (HH PPS) final rule published

**June 17, 2022**  
Announced: CY 2023 Home Health Prospective Payment System (HH PPS) proposed rule published

**November 2, 2021**  
Announced: CY 2022 Home Health Prospective Payment System final rule published

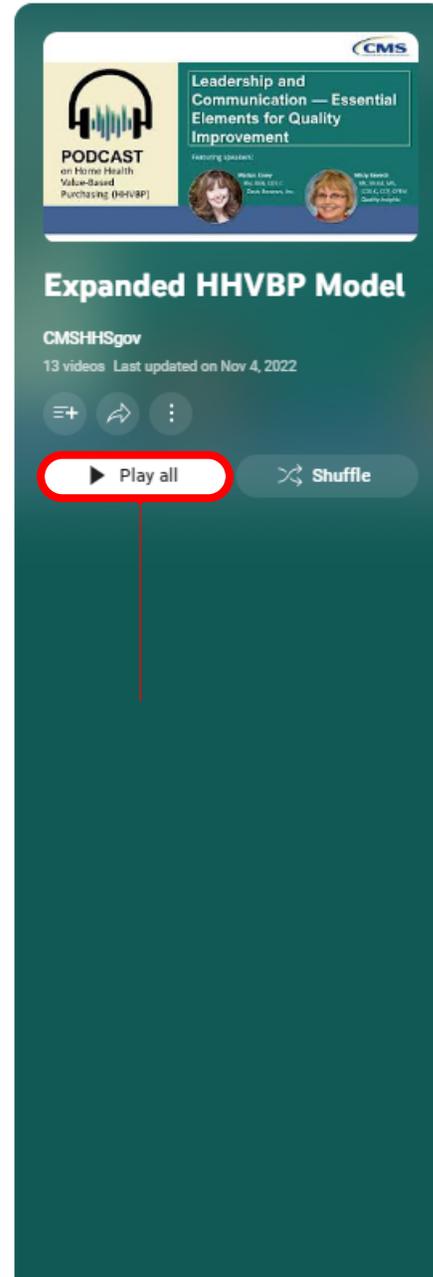
### Timeline

**January 1, 2022**  
Start of the pre-implementation year. HHAs will not be assessed on their performance in CY 2022. CMS will begin to assess HHA performance in CY 2023,

# Expanded HHVBP Model YouTube Playlist

Includes all recorded content from the Expanded HHVBP Model

<https://www.youtube.com/playlist?list=PLaV7m2-zFKpiqtkONJtiCWEogBzzMpqi0>



- 1 Leadership and Communication — Essential Elements for Quality Improvement Podcast  
CMSHHSgov • 735 views • 5 months ago
- 2 Expanded Home Health Value-Based Purchasing (HHVBP) Model  
CMSHHSgov • 721 views • 5 months ago
- 3 The Patient with Declining Memory: The “Keys” to Safe Mobility Podcast  
CMSHHSgov • 370 views • 5 months ago
- 4 Managing Chronic Illness through Home Health Care Podcast  
CMSHHSgov • 297 views • 5 months ago
- 5 Infection Prevention and Control: Home Health Patient Care and Communication Podcast  
CMSHHSgov • 265 views • 5 months ago
- 6 Quality Measures Used in the Expanded HHVBP Model  
CMSHHSgov • 458 views • 4 months ago
- 7 HHVBP Model Expansion 101 Webinar  
CMSHHSgov • 861 views • 4 months ago
- 8 How Measure Performance Becomes Care Points  
CMSHHSgov • 493 views • 3 months ago
- 9 How Care Points Become the Total Performance Score (TPS)  
CMSHHSgov • 307 views • 3 months ago
- 10 How the Total Performance Score (TPS) Becomes the Final Payment Adjustment  
CMSHHSgov • 244 views • 3 months ago

Thank you!