
CMS Manual System

Pub. 100-07 State Operations Provider Certification

Department of Health &
Human Services (DHHS)
Centers for Medicare &
Medicaid Services (CMS)

Transmittal-171

Date: November 17,
2017

SUBJECT: Revisions to State Operations Manual (SOM), Appendix U - Survey Procedures and Interpretive Guidelines for Responsibilities of Medicare Participating Religious Nonmedical Healthcare Institutions

I. SUMMARY OF CHANGES: We are removing specific Tag citations for RNHCIs as these citations are being replaced with the new Appendix Z for Emergency Preparedness which became effective on November 15, 2016 with an Implementation Date of November 11, 2017. These Tag citations will become inactive after the Implementation Date of the Emergency Preparedness Final Rule as Surveyors will use the Emergency Preparedness Tags.

NEW/REVISED MATERIAL - EFFECTIVE DATE: November 20, 2017

IMPLEMENTATION DATE: November 20, 2017

Disclaimer for manual changes only: The revision date and transmittal number apply to the red italicized material only. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual not updated.)

(R = REVISED, N = NEW, D = DELETED) – (Only One Per Row.)

R/N/D	CHAPTER/SECTION/SUBSECTION/TITLE
D	Appendix U/R201 (1) Emergency power for emergency lights, for fire detection and alarm systems, and for fire extinguishing systems.
R	Appendix U/E-15 (1) Procedures for the proper storage and disposal of trash and (2) Proper ventilation and temperature control and appropriate lighting levels to ensure a safe and secure environment.
D	Appendix U/R204 (4) A written disaster plan to address loss of power, water, sewage, and other emergencies.
D	Appendix U/R205 (5) Facilities for emergency gas and water supply.
R	Appendix U/R206 (3) An effective pest control program.
R	Appendix U/R207 (4) A preventive maintenance program to maintain essential mechanical, electrical, and fire protection equipment operating in an efficient and safe manner.
R	Appendix U/R208 (5) A working call system for patients to summon aid or assistance.

III. FUNDING: No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

IV. ATTACHMENTS:

	Business Requirements
X	Manual Instruction
	Confidential Requirements
	One-Time Notification
	One-Time Notification -Confidential
	Recurring Update Notification

***Unless otherwise specified, the effective date is the date of service.**

E-15

(Rev. 171, Issued: 11-17-17, Effective: 11-20-17, Implementation: 11-20-17)

(1) Procedures for the proper storage and disposal of trash.

Guidelines: §403.742(a)(1)

Please refer to Appendix Z for Emergency Preparedness Tag E-15, which requires under §403.748(b)(1), the provision of subsistence needs for staff and patients whether they evacuate or shelter in place, include, but are not limited to the following:

(i) Food, water, medical and pharmaceutical supplies

(ii) Alternate sources of energy to maintain the following:

(A) Temperatures to protect patient health and safety and for the safe and sanitary storage of provisions.

(B) Emergency lighting.

(C) Fire detection, extinguishing, and alarm systems.

(D) Sewage and waste disposal

Make sure that patients do not have access to soiled diapers, linens, bandages, or any other potentially infectious materials. These materials must be handled in a manner that prevents leakage from containers by exposure to the general environment.

(2) Proper ventilation and temperature control and appropriate lighting levels to ensure a safe and secure environment.

Guidelines and Probes: §403.742(a)(2)

Please refer to Appendix Z for Emergency Preparedness Tag E-15, which requires under §403.748(b)(1)(ii)(A) and (B).

“Proper ventilation” is good air circulation, avoidance of drafts at floor level, and adequate smoke exhaust removal. Air temperatures in the facility should be comfortable in most circumstances. In extremely hot or cold weather, precautions are taken by the facility to protect individuals from ill-effects of temperature.

“Appropriate lighting levels” are light levels which meet patient needs.

How does the facility regulate temperature, ventilation, and lighting?

Is there good air movement?

Are patient areas ventilated?

What does the facility do to accommodate temperature, lighting, and ventilation to meet patient needs?

R206

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(3) An effective pest control program.

Guidelines and Probes: §403.742(a)(3)

Look for signs of pests such as mice, roaches, rats, and flies. Is the area pest free?

R207

(Rev. 171, Issued: 11-17-17, Effective: 11-20-17, Implementation: 11-20-17)

(4) A preventive maintenance program to maintain essential mechanical, electrical, and fire protection equipment operating in an efficient and safe manner.

Guidance: RNHCIs may also want to consider to incorporate this requirement under their required Emergency Preparedness Program.

R208

(Rev. 171, Issued: 11-17-17, Effective: 11-20-17, Implementation: 11-20-17)

(5) A working call system for patients to summon aid or assistance.