

<b>CMS Manual System</b>	<b>Department of Health &amp; Human Services (DHHS)</b>
<b>Pub 100-20 One-Time Notification</b>	<b>Centers for Medicare &amp; Medicaid Services (CMS)</b>
<b>Transmittal 1808</b>	<b>Date: March 24, 2017</b>
	<b>Change Request 9983</b>

**SUBJECT: Advanced Provider Screening (APS) Phase 1 Go-Live**

**I. SUMMARY OF CHANGES:** The purpose of this change request (CR) is to initiate phase one of APS criminal screening.

**EFFECTIVE DATE: May 15, 2017**

*\*Unless otherwise specified, the effective date is the date of service.*

**IMPLEMENTATION DATE: May 15, 2017**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

<b>R/N/D</b>	<b>CHAPTER / SECTION / SUBSECTION / TITLE</b>
N/A	N/A

**III. FUNDING:**

**For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**IV. ATTACHMENTS:**

**One Time Notification**



Number	Requirement	Responsibility									
		A/B MAC		H H H	D M E M A C	Shared- System Maintainers				Other	
		A	B			F I S S	M C S	V M S	C W F		
	indicating a criminal alert.										
9983.2.1	If the individual enrollee has a profile flag indicating a criminal alert, the contractor shall forward the application to CMS informing of the criminal alert.		X								
9983.2.2	The contactor shall forward a complete enrollment package, including: the application data report and documentation submitted by the provider, along with the application submission to EnrollmentEscalations@cms.hhs.gov.		X								
9983.2.3	If the individual enrollee does not have a profile flag indicating a criminal alert, the contractor shall continue processing the application according to normal processing instructions.		X								
9983.3	Prior to approval of all paper CMS-855I initial enrollment applications, contractors should use APS to determine if the individual enrollee has a profile flag indicating a criminal alert.		X								
9983.3.1	If the individual enrollee has a profile flag indicating a criminal alert, the contractor shall forward the application to CMS informing of the criminal alert.		X								
9983.3.1.1	The contactor shall forward a complete enrollment package, including: the CMS-855I initial enrollment application and documentation submitted by the provider, along with the application submission to EnrollmentEscalations@cms.hhs.gov.		X								
9983.3.2	If the individual enrollee does not have a profile flag indicating a criminal alert, the contractor shall continue processing the application according to normal processing instructions.		X								
9983.4	The contractor shall document that APS was checked.		X								

### III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility				
		A/B MAC			D M E	C E D I
		A	B	H H H		
	None					

**IV. SUPPORTING INFORMATION**

**Section A: Recommendations and supporting information associated with listed requirements: N/A**

*"Should" denotes a recommendation.*

X-Ref Requirement Number	Recommendations or other supporting information:

**Section B: All other recommendations and supporting information: N/A**

**V. CONTACTS**

**Pre-Implementation Contact(s):** Joseph Schultz, 410-786-2656 or Joseph.Schultz@cms.hhs.gov

**Post-Implementation Contact(s):** Contact your Contracting Officer's Representative (COR).

**VI. FUNDING**

**Section A: For Medicare Administrative Contractors (MACs):**

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**ATTACHMENTS: 0**