CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-19 Demonstrations	Centers for Medicare & Medicaid Services (CMS)
Transmittal 124	Date: October 9, 2015
	Change Request 9361

# SUBJECT: Affordable Care Act Bundled Payments for Care Improvement Initiative - Recurring File Updates Models 2 and 4 January 2016 Updates

**I. SUMMARY OF CHANGES:** This Change Request serves to update the participating hospital files, episodes, and prospective bundled payment amounts associated with the Bundled Payments for Care Improvement initiative, Model 2 and Model 4. The number for this recurring update is R11662Q.

# **EFFECTIVE DATE: January 1, 2016**

\*Unless otherwise specified, the effective date is the date of service. IMPLEMENTATION DATE: January 4, 2016

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-*Only One Per Row.* 

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE	
N/A		

# **III. FUNDING:**

#### For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS: Demonstrations

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#### I. GENERAL INFORMATION

**A. Background:** This Change Request (CR) implements necessary file updates associated with Bundled Payments for Care Improvement Models 2 and 4. These file updates are needed in January 2016.

**B. Policy:** The loading and use of the files described here were implemented in former change requests, as referenced in the business requirements.

#### II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Re	espo	onsi	bilit	v				
			А/В ИА(	5	D M E		Sys	red- tem aine		Other
		A	В	H H H	M A C	F I S S	M C S	V M S	C W F	
9361.1	<ul> <li>CMS shall send the replacement Model 2 and Model 4 BPCI files on or after November 1, 2015 containing:</li> <li>1. Participating hospitals</li> <li>2. Approved MS-DRGs</li> <li>3. Unrelated MS-DRGs (Model 4 only)</li> <li>These files shall constitute full replacements of any</li> </ul>									CMS
9361.2	files that have been formerly provided. Contractors shall receive the full replacement Model 2 and Model 4 files listed in BR #1.					X	X			VDC
9361.3	Contractors shall upload the Model 2 and Model 4 files provided via BR #1 as full replacements of the existing Model 2 and Model 4 files, according to the dates indicated in the files where applicable.					X	X			VDC
9361.4	Contractors shall share the full replacement Model 2 and Model 4 files listed in BR #1 with Medicare Administrative Contractors for use as reference	X	X							VDC

Number	Requirement	Responsibility																							
			A/B		D		Sha	red-		Other															
		N	MAC		MAC									MAC			MAC M			MAC M System			tem		
				E		Maintainers																			
		Α	В	Η		F	Μ	V	С																
				Η	Μ	Ι	С	Μ	W																
				Η	A	S	S	S	F																
					C	S																			
	documents.																								
9361.5	Medicare Administrative Contractors shall receive the	Х	Х																						
	files shared by VDC and use them as reference																								
	documents.																								

# III. PROVIDER EDUCATION TABLE

Number	Requirement	Re	ility			
			A/B		D	С
		N	MAG	7	Μ	Е
					Е	D
		Α	В	Η		Ι
				Н	Μ	
				Н	Α	
					С	
	None					

# IV. SUPPORTING INFORMATION

# Section A: Recommendations and supporting information associated with listed requirements: N/A

"Should" denotes a recommendation.

X-Ref	Recommendations or other supporting information:
Requirement	
Number	

Section B: All other recommendations and supporting information: N/A

# **V. CONTACTS**

Pre-Implementation Contact(s): Adam Conway, 410-786-2455 or adam.conway@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

# **VI. FUNDING**

#### Section A: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

# **ATTACHMENTS: 0**