CMS Manual System	Department of Health & Human Services (DHHS)				
Pub 100-19 Demonstrations	Centers for Medicare & Medicaid Services (CMS)				
Transmittal 156	Date: September 23, 2016				
	Change Request 9775				

SUBJECT: Affordable Care Act Bundled Payments for Care Improvement Initiative - Recurring File Updates Models 2 and 4 January 2017 Updates

I. SUMMARY OF CHANGES: This Change Request serves to update the participating hospital files, episodes, and prospective bundled payment amounts associated with the Bundled Payments for Care Improvement initiative, Model 2 and Model 4. The number for this recurring update is R11762Q.

EFFECTIVE DATE: January 1, 2017

*Unless otherwise specified, the effective date is the date of service. IMPLEMENTATION DATE: January 3, 2017

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE	
N/A	N/A	

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

Recurring Update Notification

Attachment - Recurring Update Notification

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EFFECTIVE DATE: January 1, 2017

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I. GENERAL INFORMATION

A. Background: This Change Request (CR) implements necessary file updates associated with Bundled Payments for Care Improvement Models 2 and 4. These file updates are needed in January 2017.

B. Policy: The loading and use of the files described here were implemented in former change requests, as referenced in the business requirements.

Former Change Requests:

9685, 9610, 9499, 9361, 9220, 9122.

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Responsibility																																	
		A/B			D		Other																												
		N	MAC		Μ	1 System																													
																											E			E	E Maintainers				
		Α	В	Η		F	Μ		С																										
				Η	M		С	Μ																											
				Η	A	S	S	S	F																										
					C	S																													
9775.1	CMS shall send the replacement Model 2 and Model 4									CMS																									
	BPCI files in spreadsheet format via email on or after																																		
	November 1, 2016 containing:																																		
	1 Dortigingting hognitals																																		
	1. Participating hospitals																																		
	2. Approved MS-DRGs																																		
	3. Unrelated MS-DRGs (Model 4 only)																																		
	These files shall constitute full replacements of any																																		
	files that have been formerly provided.																																		
9775.2	Contractors shall receive the full replacement Model 2					Х	Х			VDC																									
	and Model 4 files listed in BR9775.1.																																		
9775.3	Contractors shall upload the Model 2 and Model 4					Х	Х			VDC																									
	files provided via BR9775.1 as full replacements of																																		

Number	Requirement	Re	espo	nsi	bilit	y																										
		MAC																			MAC		MAC N					M System				Other
		A	В	H H H	M A C	F I S S	M C S		_																							
	the existing Model 2 and Model 4 files, according to the dates indicated in the files where applicable.																															
9775.4	Contractors shall share the full replacement Model 2 and Model 4 files listed in BR9775.1 with Medicare Administrative Contractors for use as reference documents.	X	X							VDC																						
9775.5	Medicare Administrative Contractors shall receive the files shared by VDC and use them as reference documents.	X	X																													

III. PROVIDER EDUCATION TABLE

Number	Requirement	Re	spo	nsib	ility	
			A/B		D	C
		ľ	MAG	2	Μ	Е
					E	D
		Α	В	Η		Ι
				Η	Μ	
				Η	Α	
					C	
	None					

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements:

"Should" denotes a recommendation.

X-Ref	Recommendations or other supporting information:
Requirement	
Number	

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Adam Conway, 410-786-2455 or adam.conway@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS: 0