

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-06 Medicare Financial Management	Centers for Medicare & Medicaid Services (CMS)
Transmittal 173	Date: October 15, 2010
	Change Request 7165

SUBJECT: Update to the Quarterly Opt Out Reporting Form (Form 8) in the Contractor Reporting of Operational Workload Data (CROWD)

I. SUMMARY OF CHANGES: Effective April 1, 2010, CR 6533, transmittal 2003, dated July 19, 2009, announced a new specialty code for Geriatric Psychiatry (27). This specialty is also being added to the opt out report in CROWD (Form 8) for opt out reporting beginning with quarter 3 of 2010 (October through December 2010).

EFFECTIVE DATE: April 1, 2010

IMPLEMENTATION DATE: November 16, 2010

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
R	06/470.4/Definitions of Provider Specialty Codes for Opt Out Reporting
R	06/470.5/Exhibits

III. FUNDING:

For Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs) and/or Carriers:

No additional funding will be provided by CMS; Contractor activities are to be carried out within their operating budgets.

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

**Business Requirements
Manual Instruction**

**Unless otherwise specified, the effective date is the date of service.*

Attachment - Business Requirements

Pub. 100-04	Transmittal: 173	Date: October 15, 2010	Change Request: 7165
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SUBJECT: Update to the Quarterly Opt Out Reporting Form (Form 8) in the Contractor Reporting of Operational Workload Data (CROWD)

Effective Date: April 1, 2010

Implementation Date: November 16, 2010

I. GENERAL INFORMATION

A. Background: Effective April 1, 2010, CR 6533, transmittal 2003, Dated July 19, 2009, announced a new specialty code for Geriatric Psychiatry (27). This specialty is also being added to the opt out report in CROWD (Form 8) for opt out reporting beginning with quarter 4 of CY 2010 (October through December 2010). Also, the references in Publication 100-06, Chapter 6, Section 470 to the specialty Osteopathic Manipulative Therapy are being corrected to read Osteopathic Manipulative Medicine.

B. Policy: CR 6533 references the establishment of this new specialty code.

II. BUSINESS REQUIREMENTS TABLE

Number	Requirement	Responsibility									
		A / B M A C	D M E M A C	F I I E R	C A R R I E R	R H H I	Shared-System Maintainers				Other
						F I S S	M C S	V M S	C W F		
7165.1	Contractors shall add Specialty Code 27 (Geriatric Psychiatry) to their quarterly opt out CROWD Form 8 submissions in accordance with Publication 100-06, Chapter 6, Sections 470.3 and 470.5.	X			X						CROWD
7165.2	Contractors shall begin accepting affidavits for opt out and entering providers from this new specialty into CROWD beginning with 4 th quarter of 2010 (October 1, 2010 through December 31, 2010). NOTE: This specialty will appear in CROWD by the time contractors go in to enter their 4 th quarter opt out data.	X			X						

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility									
		A / B	D M E	F I	C A R I E R	R H I	Shared-System Maintainers				Other
							F I S S	M C S	V M S	C W F	
	None										

IV. SUPPORTING INFORMATION

Section A: For any recommendations and supporting information associated with listed requirements:

X-Ref Requirement Number	Recommendations or other supporting information:
	None

Section B: For all other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact: Yvette Cousar (410) 786-2160; Yvette.cousar@cms.hhs.gov or Chanelle Jones at (410) 786-9668 or <mailto:Chanelle.Jones@cms.hhs.gov>

Post-Implementation Contact: Appropriate MAC or Contracting PO

VI. FUNDING

Section A: For *Fiscal Intermediaries (FIs)*, *Regional Home Health Intermediaries (RHHIs)*, and/or *Carriers*:

No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

Section B: For *Medicare Administrative Contractors (MACs)*:

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

470.4 - Definitions of Provider Specialty Codes for Opt Out Reporting *(Rev. 173, Issued: 10-15-10, Effective: 04-01-10, Implementation: 11-16-10)*

The Carrier/MAC counts individual providers by the specialties listed below. The contractor does not count an individual more than once, even if the individual practices in more than one setting. The specialties specific to opt outs are listed below.

Local Carrier/MACs

General Practice - The Carrier/MAC enters in the appropriate column all physicians with specialty code 01.

General Surgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 02.

Allergy/Immunology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 03.

Otolaryngology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 04.

Anesthesiology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 05.

Cardiology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 06.

Dermatology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 07.

Family Practice - The Carrier/MAC enters in the appropriate column all physicians with specialty code 08.

Interventional Pain Management - The Carrier/MAC enters in the appropriate column all physicians with specialty code 09.

Gastroenterology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 10.

Internal Medicine - The Carrier/MAC enters in the appropriate column all physicians with specialty code 11.

Osteopathic Manipulative *Medicine* - The Carrier/MAC enters in the appropriate column all physicians with specialty code 12.

Neurology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 13.

Neurosurgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 14.

Obstetrics/Gynecology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 16.

Hospice & Palliative Care - The Carrier/MAC enters in the appropriate column all physicians with specialty code 17.

Ophthalmology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 18.

Oral Surgery (Dentists Only) - The Carrier/MAC enters in the appropriate column all dentists with specialty code 19.

Orthopedic Surgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 20.

Pathology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 22.

Plastic and Reconstructive Therapy - The Carrier/MAC enters in the appropriate column all physicians with specialty code 24.

Physical Medicine and Rehabilitation - The Carrier/MAC enters in the appropriate column all physicians with specialty code 25.

Psychiatry - The Carrier/MAC enters in the appropriate column all physicians with specialty code 26.

Geriatric Psychiatry - The Carrier/MAC enters in the appropriate column all physicians with specialty code 27.

Colorectal Surgery (Formerly Proctology) - The Carrier/MAC enters in the appropriate column all physicians with specialty code 28.

Pulmonary Disease - The Carrier/MAC enters in the appropriate column all physicians with specialty code 29.

Diagnostic Radiology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 30.

Anesthesiologist Assistants - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 32.

Thoracic Surgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 33.

Urology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 34.

Nuclear Medicine - The Carrier/MAC enters in the appropriate column all physicians with specialty code 36.

Pediatric Medicine - The Carrier/MAC enters in the appropriate column all physicians with specialty code 37.

Geriatric Medicine - The Carrier/MAC enters in the appropriate column all physicians with specialty code 38.

Nephrology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 39.

Hand Surgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 40.

Optometry - The Carrier/MAC enters in the appropriate column all physicians with specialty code 41.

Certified Nurse Midwife - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 42.

Certified registered Nurse Anesthetist (CRNA) Anesthesia Assistant - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 43.

Infectious Disease - The Carrier/MAC enters in the appropriate column all physicians with specialty code 44.

Endocrinology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 46.

Podiatry - The Carrier/MAC enters in the appropriate column all physicians with specialty code 48.

Nurse Practitioner - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 50.

Clinical Psychologist (Independent) - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 62.

Rheumatology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 66.

Clinical Psychologist - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 68.

Registered Dietitian/Nutrition Professional - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 71.

Pain Management - The Carrier/MAC enters in the appropriate column all physicians with specialty code 72.

Peripheral Vascular Disease - The Carrier/MAC enters in the appropriate column all physicians with specialty code 76.

Vascular Surgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 77.

Cardiac Surgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 78.

Addiction Medicine - The Carrier/MAC enters in the appropriate column all physicians with specialty code 79.

Licensed Clinical Social Worker - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 80.

Critical Care (Intensivist) - The Carrier/MAC enters in the appropriate column all physicians with specialty code 81.

Hematology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 82.

Hematology/Oncology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 83.

Preventative Medicine - The Carrier/MAC enters in the appropriate column all physicians with specialty code 84.

Maxillofacial Surgery - The Carrier/MAC enters in the appropriate column all physicians with specialty code 85.

Neuropsychiatry - The Carrier/MAC enters in the appropriate column all physicians with specialty code 86.

Unknown Supplier/Provider - The Carrier/MAC enters in the appropriate column all suppliers/providers with specialty code 88.

Certified Clinical Nurse Specialist - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 89.

Medical Oncology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 90.

Surgical Oncology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 91.

Radiation Oncology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 92.

Emergency Medicine - The Carrier/MAC enters in the appropriate column all physicians with specialty code 93.

Interventional Radiology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 94.

Physician Assistant - The Carrier/MAC enters in the appropriate column all practitioners with specialty code 97.

Gynecological Oncology - The Carrier/MAC enters in the appropriate column all physicians with specialty code 98.

Unknown Physician Specialty - The Carrier/MAC enters in the appropriate column all physicians with specialty code 99.

Total Physicians and Non-Physician Practitioners - The Carrier/MAC enters in the appropriate month column (columns 1, 2 & 3) the total of all specialty codes applicable to physicians and non-physician practitioners.

470.5 – Exhibits

(Rev. 173, Issued: 10-15-10, Effective: 04-01-10, Implementation: 11-16-10)

Exhibit 1 Quarterly Opt Out Report – Local Carrier/MACs – Screen 1

SCREEN 1

PHYSICIAN/NON PHYSICIAN PRACTITIONER QUARTERLY OPT OUT REPORT

CONTRACTOR NO. _____ **STATE:** _____

EXPLANATION OF SPECIALTY CODES:

- | | |
|-----------------------|---------------------------------------------|
| 01 General Practice | 08 Family Practice |
| 02 General Surgery | 09 Interventional Pain Management |
| 03 Allergy/Immunology | 10 Gastroenterology |
| 04 Otolaryngology | 11 Internal Medicine |
| 05 Anesthesiology | 12 Osteopathic Manipulative <i>Medicine</i> |
| 06 Cardiology | 13 Neurology |
| 07 Dermatology | 14 Neurosurgery |

SPECIALTY CODE/GROUP	PHYSICIAN/NON PHYSICIAN PRACTITIONER OPT OUTS					
	Carrier/MAC	Month # 1 of the Quarter	Month # 2 of the Quarter	Month # 3 of the Quarter	Quarter Total	Total on File
01-PHY						

02 -PHY					
03- PHY					
04 -PHY					
05 -PHY					
06 -PHY					
07- PHY					
08 -PHY					
09-PHY					
10 -PHY					
11 -PHY					
12 -PHY					
13- PHY					
14 -PHY					

For further definition of specialty categories, see Section 470.3.

Exhibit 2 Quarterly Opt Out Report – Local Carrier/MACs – Screen 2

SCREEN 2

PHYSICIAN/NON PHYSICIAN PRACTITIONER QUARTERLY OPT OUT REPORT

CONTRACTOR NO. _____ STATE: _____

EXPLANATION OF SPECIALTY CODES:

16 Obstetrics/Gynecology
 17 Hospice & Palliative Care
 18 Ophthalmology
 19 Oral Surgery (Dentists Only)
 20 Orthopedic Surgery
 22 Pathology

24 Plastic and Reconstructive Therapy
 25 Physical Medicine and Rehabilitation
 26 Psychiatry
 27 *Geriatric Psychiatry*
 28 Colorectal Surgery
 29 Pulmonary Disease
 30 Diagnostic Radiology

SPECIALTY CODE/GROUP	PHYSICIAN/NON PHYSICIAN PRACTITIONER OPT OUTS					
	Carrier/MAC	Month # 1 of the Quarter	Month # 2 of the Quarter	Month # 3 of the Quarter	Quarter Total	Total on File
16 -PHY						
17- PHY						
18 -PHY						
19 -PHY						
20 -PHY						

22- PHY					
24 -PHY					
25-PHY					
26 -PHY					
27-PHY					
28 -PHY					
29- PHY					
30 -PHY					

For further definition of specialty categories, see Section 470.3.

Exhibit 3 Quarterly Opt Out Report – Local Carrier/MACs – Screen 3

**SCREEN 3
PHYSICIAN/NON PHYSICIAN PRACTITIONER QUARTERLY OPT OUT REPORT
CONTRACTOR NO. _____ STATE: _____
EXPLANATION OF SPECIALTY CODES:**

- | | |
|--------------------------------|------------------------------|
| 32 Anesthesiologist Assistants | 40 Hand Surgery |
| 33 Thoracic Surgery | 41 Optometry |
| 34 Urology | 42 Certified Nurse Midwife |
| 36 Nuclear Medicine | 43 CRNA Anesthesia Assistant |
| 37 Pediatric Medicine | 44 Infectious Disease |
| 38 Geriatric Medicine | 46 Endocrinology |
| 39 Nephrology | 48 Podiatry |

SPECIALTY CODE/GROUP	PHYSICIAN/NON PHYSICIAN PRACTITIONER OPT OUTS					
	Carrier/MAC	Month # 1 of the Quarter	Month # 2 of the Quarter	Month # 3 of the Quarter	Quarter Total	Total on File
32-NPP						
33 -PHY						
34- PHY						
36 -PHY						
37 -PHY						
38 -PHY						
39- PHY						
40 -PHY						
41-PHY						

42 -NPP					
43 -NPP					
44 -PHY					
46- PHY					
48 -PHY					

For further definition of specialty categories, see Section 470.3.

Exhibit 4 Quarterly Opt Out Report – Local Carrier/MACs – Screen 4

SCREEN 4

PHYSICIAN/NON PHYSICIAN PRACTITIONER QUARTERLY OPT OUT REPORT

CONTRACTOR NO. _____ STATE: _____

EXPLANATION OF SPECIALTY CODES:

- | | |
|----------------------------------------|------------------------------------|
| 50 Nurse Practitioner | 76 Peripheral Vascular Disease |
| 62 Clinical Psychologist (Independent) | 77 Vascular Surgery |
| 66 Rheumatology | 78 Cardiac Surgery |
| 68 Clinical Psychologist | 79 Addiction Medicine |
| 71 Registered Dietitian | 80 Licensed Clinical Social Worker |
| 72 Pain Management | 81 Critical Care (Intensivist) |
| | 82 Hematology |

SPECIALTY CODE/GROUP	PHYSICIAN/NON PHYSICIAN PRACTITIONER OPT OUTS					
	Carrier/MAC	Month # 1 of the Quarter	Month # 2 of the Quarter	Month # 3 of the Quarter	Quarter Total	Total on File
50-NPP						
62-NPP						
66- PHY						
68 -PHY						
71 -NPP						
72- PHY						
76 -PHY						
77-PHY						
78 -NPP						
79 -NPP						
80 -NPP						
81- PHY						
82 -PHY						

For further definition of specialty categories, see Section 470.3.

Exhibit 5 Quarterly Opt Out Report – Local Carrier/MACs – Screen 5

SCREEN 5

PHYSICIAN/NON PHYSICIAN PRACTITIONER QUARTERLY OPT OUT REPORT

CONTRACTOR NO. _____ **STATE:** _____

EXPLANATION OF SPECIALTY CODES:

- | | |
|----------------------------------------|--------------------------------|
| 83 Hematology/Oncology | 91 Surgical Oncology |
| 84 Preventive Medicine | 92 Radiation Oncology |
| 85 Maxillofacial Surgery | 93 Emergency Medicine |
| 86 Neuropsychiatry | 94 Interventional Radiology |
| 88 Unknown Supplier/Provider | 97 Physician Assistant |
| 89 Certified Clinical Nurse Specialist | 98 Gynecological Oncology |
| 90 Medical Oncology | 99 Unknown Physician Specialty |

SPECIALTY CODE/GROUP	PHYSICIAN/NON PHYSICIAN PRACTITIONER OPT OUTS					
	Carrier/MAC	Month # 1 of the Quarter	Month # 2 of the Quarter	Month # 3 of the Quarter	Quarter Total	Total on File
83-PHY						
84 -PHY						
85- PHY						
86 -PHY						
88 -NPP						
89 -NPP						
90- PHY						
91 -PHY						
92-PHY						
93 -PHY						
94 -PHY						
97 -NPP						
98- PHY						
99 -PHY						
Total						

For further definition of specialty categories, see Section 470.3.