CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 285	Date: JUNE 22, 2007
	Change Request 5565

SUBJECT: Implement Changes to the VMS DME Standard System to include SAFE Audit Records

**I. SUMMARY OF CHANGES:** Implement changes to the VMS DME standard system to include SAFE audit records

**New / Revised Material** 

Effective Date: October 1, 2007

**Implementation Date: October 1, 2007** 

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

# **II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-*Only One Per Row*.

R/N/D	Chapter / Section / Subsection / Title	
N/A		

#### III. FUNDING:

No additional funding will be provided by CMS; Contractor activities are to be carried out within their FY 2007 operating budgets.

#### **IV. ATTACHMENTS:**

**One-Time Notification** 

<sup>\*</sup>Unless otherwise specified, the effective date is the date of service.

**Attachment - Business Requirements** 

Pub. 100-20 Transmittal: 285 Date: June 22, 2007 Change Request: 5565

**SUBJECT: Implement Changes to the VMS DME Standard System to include SAFE Audit Records** 

Effective Date: October 1, 2007

**Implementation Date:** October 1, 2007

#### I. GENERAL INFORMATION

During the course of a shard system audit, the Centers for Medicare and Medicaid services has been cited for the limitation of audit records to the accounting files in the DME (Durable Medical Equipment) standard system. This finding is specifically directed to contractor ability to negate system edits which leads to inaccurate claims processing and ultimately incorrect information being posted to Common Working File (CWF) and National Claims History (NCH).

**A. Background**: The audit finding of the accounting parameters not having an audit record in the VMS system is vulnerability. The SAFE file which provides control of key table parameters in VMS did not create online audit records to log changes made to accounting tables found in the system. SAFE will be implemented to cover the VMAP table for accounting. The shared system maintainer (SSM) must make modifications to their respective system to provide the capability to track changes by contractors.

B. Policy: None

## II. BUSINESS REQUIREMENTS TABLE

Number	Requirement	Responsibility (place an "X" in each applicable										
		column)										
		Α	D	F	C	D	R	Sh	ared-	OTHER		
		/ M I A M H Maintainers										
		В	Е		R	Е	Н	F	M	V	C	
					R	R	I	I	C	M	W	
		M	M		I	C		S	S	S	F	
		A	A		Е			S				
		C	С		R							
5565.1	The maintainer shall update the VMS system to include		X							X		i
	SAFE audit records for VMAP 4/A accounting files.											ı

#### III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)											
		Α	A D F C				A D F C D	R					OTHER
		/	/ M I A M			M	Н	Maintainers					
		В	Е		R	Е	Н	F	M	V	С		
					R	R	I	I	C	M	W		
		M	M		I	C		S	Š	S	F		
		Α	Α		Е			Š			_		
		C	С		R			2					
	None.												

#### IV. SUPPORTING INFORMATION

A. For any recommendations and supporting information associated with listed requirements, use the box below:

X-Ref Requirement Number	Recommendations or other supporting information:
110000	None

### B. For all other recommendations and supporting information, use this space:

#### V. CONTACTS

**Pre-Implementation Contact(s):** Rose Salloum-Byram at rose.salloum-byram@cms.hhs.gov

Post-Implementation Contact(s): Rose Salloum-Byram at rose.salloum-byram@cms.hhs.gov

#### **VI. FUNDING**

- **A.** No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.
- **B.** The contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the Statement of Work (SOW). The contractor is not obligated to incur costs in excess of the amounts alloted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.