CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 764	Date: August 27, 2010
	Change Request 7096

SUBJECT: Health Insurance Portability and Accountability Act (HIPAA) Version 5010-D.0 Transition Reporting

I. SUMMARY OF CHANGES: The purpose of this Change Request (CR) is to provide direction to Medicare Administrative Contractors, Common Edits and Enhancements Module (CEM) developers, Shared System Maintainers, Common Electronic Data Interchange (CEDI) contractor, and the Coordination of Benefits Contractor (COBC) on reporting 4010A1 to 5010 transition counts.

EFFECTIVE DATE: January 1, 2011 (Analysis & Design only for MCS, Full compliance for all others)
April 1, 2011 (For MCS only)

IMPLEMENTATION DATE: January 3, 2011 (Analysis & Design only for MCS, Full compliance for all others)
April 4, 2011 (For MCS only)

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)
R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

III. FUNDING:
For Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs) and/or Carriers:
No additional funding will be provided by CMS; Contractor activities are to be carried out within their operating budgets.

For Medicare Administrative Contractors (MACs):
The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question

and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One-Time Notification

Unless otherwise specified, the effective date is the date of service.

Attachment – One-Time Notification

Pub. 100-20	Transmittal: 764	Date: August 27, 2010	Change Request: 7096

SUBJECT: Health Insurance Portability and Accountability Act (HIPAA) Version 5010-D.0 Transition Reporting

Effective Date: January 1, 2011 (Analysis & Design only for MCS, Full compliance for all others)
April 1, 2011 (For MCS only)

Implementation Date: January 3, 2011 (Analysis & Design only for MCS, Full compliance for all others)
April 4, 2011 (For MCS only)

I. GENERAL INFORMATION

A.   Background: The purpose of this Change Request (CR) is to provide direction to Medicare Administrative Contractors (MACs), Common Edits and Enhancements Module (CEM) developers, Shared System Maintainers, Durable Medical Equipment (DME) Common Electronic Data Interchange (CEDI) contractor, and the Coordination of Benefits Contractor (COBC) on reporting 4010A1 to 5010 transition counts. CMS will be utilizing the same web-based reporting mechanism for the collection and reporting of 5010 transition data as it did for the transition to 4010A1 data. The Web site for collection of the transition data is www.dmbp.info.

Estimates for this CR should include a breakdown as part of the Level of Effort (LOE) response, utilizing the following table to be included in the "Estimate-Specific Comments" portion of the LOE template, to follow the Investment Lifecycle Phases.

Investment Lifecycle Phase	Total Hours	Total Cost
Pre-Implementation/CR Review		
Design & Engineering Phase		
Development Phase		
Testing Phase		
Implementation Phase		

Note that the Pre-Implementation/CR Review costs will not be funded under the unique funding situation for the 5010/D.0 project, but instead out of the MAC's pot of hours for Pre-Implementation/CR Review.

B.   Policy: The Administrative Simplification provisions of HIPAA require the Secretary of DHHS to adopt standard electronic transactions and code sets for administrative health care transactions. The Secretary may also modify these standards periodically.

II.   BUSINESS REQUIREMENTS TABLE

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	FISS	MCS	VMS	CWF	OTHER
		Responsibility (place an "X" in each applicable column)									
							Shared-System Maintainers				
7096.1	Contractors shall extract the appropriate data counts per the attached "Report Template v4" spreadsheet. These	X					X	X			CEDI, CEM, COBC

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	FISS	MCS	VMS	CWF	OTHER
	counts will be based on line of business and version of transaction.										
7096.1.1	The contractors shall be counting the following: Submitters - 1000A/NM109 Providers - 2010A/NM109 837P claims - CLM segments 837I claims - CLM Segments 276 claim status inquiries - TRN segments 277 claim status responses – TRN segments NCPDP claims - 00 (this value is based on the segment ID in positions 27-28 of the flat file)	X		X	X		X	X			CEDI, CEM
7096.1.2	The A/B MAC contractor shall extract the following data from their local front end solution (pre-CEM) for both 4010A1 and 5010 : Number of submitters; Number of providers;	X									
7096.1.3	The A/B MAC contractor shall report the following data out off the CROWD system for 4010A1: Number of 837P claims Accepted for Adjudication; - This will be number processed in CROWD Number of 837I claims Accepted for Adjudication; - This will be number processed in CROWD Number of 276 status inquiries Accepted for Adjudication; - This will be number processed in CROWD	X									
7096.1.4	The Legacy Contractors shall extract the following data from their local front end solution for 4010A1 : Number of submitters; Number of providers;			X	X						
7096.1.5	The Legacy Contractors shall report the following data out off the CROWD system for 4010A1: Number of 837P claims Accepted for Adjudication; - This will be number processed in CROWD Number of 837I claims Accepted for Adjudication; - This will be number processed in CROWD Number of 276 status inquiries Accepted for Adjudication; - This will be number processed in CROWD			X	X						
7096.2	The A/B MAC contractors shall pull down the transition reports generated out of the CEM on a Daily, Weekly, and Monthly basis.	X									
7096.2.1	The A/B MAC contractors shall use these reports in conjunction with data gathered for BRs 7096.1.2 and 7096.1.3 to encompass their full transition reporting.	X									
7096.2.2	The A/B MAC contractors shall store the pulled down reports generated by the CEM until further direction is provided by CMS	X									

Number	Requirement	A / B MAC	D M E MAC	F I	C A R R I E R	R H H I	FISS	MCS	VMS	CWF	OTHER
							Shared-System Maintainers				
7096.3	The DME MACs CEDI contractor shall extract the following data from their local front end solution and the CEM control record data base: Number of submitters; Number of providers; Number of inbound 837P claims accepted for adjudication (sent to Enterprise Data Center (EDC); Number of inbound 276 status inquiries accepted for adjudication (sent to Enterprise Data Center (EDC); Number of inbound NCPDP claims accepted for adjudication (sent to Enterprise Data Center (EDC); Number of 277 status responses received by the Local Data Center (LDC) from the EDC; Number of 835 remittance advices received by the Local Data Center (LDC) from the EDC.										CEDI
7096.4	The Shared System Maintainer contractor shall have the capability to provide claim counts by provider specialty for both 4010A1 and 5010 claims processed. At the time of this Change Request, the specialties which would be reported are not known. Contractors will receive further direction on which specialties and when to report them at a future date.						X	X			
7096.5	The Shared System Maintainer contractor shall have the capability to provide claim counts by Type of Bill for both 4010A1 and 5010 claims processed. At the time of this Change Request, the specialties which would be reported are not known. Contractors will receive further direction on which Types of Bill and when to report them at a future date.						X				
7096.6	The COBC contractor shall report the following: Number 5010 claims being accepted by COBC; Number of COBC trading partners receiving 5010 COB claims.										COBC
7096.7	The CEM developers shall develop and implement a customized reporting program for the purpose of the Transition Reports, which will produce CMS-specified reports.						X	X			CEM
7096.7.1	The transition report shall be an extract the following data from the CEM control record data base: Number of inbound 837P claims received at the CEM; Number of inbound 837P claims accepted for adjudication (sent to Enterprise Data Center (EDC); Number of inbound 837I claims received at the CEM; Number of inbound 837I claims accepted for adjudication (sent to Enterprise Data Center (EDC);						X	X			CEM

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	FISS	MCS	VMS	CWF	OTHER
	Number of inbound 276 status inquiries received at the CEM; Number of inbound 276 status inquiries accepted for adjudication (sent to Enterprise Data Center (EDC); Number of 277 status responses received by the Local Data Center (LDC) from the EDC; Number of 835 remittance advices received by the Local Data Center (LDC) from the EDC;										
7096.7.2	The CEM Developers shall develop the reporting program to produce the following reports and deposit them in a folder at the local data center for the A/B MACs to pick-up for posting to the website: - Daily - Weekly - Monthly – to be run the first calendar day of the month for the proceeding month's volumes.						X	X			CEM
7096.8	The CEM Developers shall update the Control Record Generator to populate data element CTR01 with the value CTRT when the control record is created prior to processing, in order to determine the "Received at CEM" counts						X	X			CEM
7096.8.1	The new CTRT record shall be stored in the Control Record Database.						X	X			CEM

III.   PROVIDER EDUCATION TABLE

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	FISS	MCS	VMS	CWF	OTHER
	None.										

IV.   SUPPORTING INFORMATION

Section A: for any recommendations and supporting information associated with listed requirements, use the box below:

X-Ref Requirement Number	Recommendations or other supporting information:
	None.

Section B: For all other recommendations and supporting information, use this space:
N/A

V. CONTACTS

Pre-Implementation Contact(s): Brian Reitz, Brian.Reitz@cms.hhs.gov, 410.786.5001
Jason Jackson, Jason.Jackson3@cms.hhs.gov, 410-786-6156

Post-Implementation Contact(s): Brian Reitz, Brian.Reitz@cms.hhs.gov, 410.786.5001
Jason Jackson, Jason.Jackson3@cms.hhs.gov, 410-786-6156

VI. FUNDING

Section A: For *Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs),* and/or *Carriers*:

No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

Section B: *For Medicare Administrative Contractors (MACs)*:

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS (2)

Jurisdiction ID

Version	Number of Submitters		Number of Providers		837 Professional Claims		837 Institutional Claims		NCPDP Claims	Number of COB Transaction	Number of COB Receivers
	A	B	A	B	Received at the CEM	Accepted for Adjudication	Received at the CEM	Accepted for Adjudication	Accepted for Adjudication		
4010A1											
Production											
5010											
Test											
Production											
5.1											
Production											
D.0											
Test											
Production											
Totals:	0	0	0	0	0	0	0	0	0	0	0

Version	276 Claim Status Inquiries		277 Claim Status Responses	835 Remittance Advices	Number of ERA Receivers	270 Eligibility Inquiries	271 Eligibility Responses
	Received at the CEM	Accepted for Adjudication					
4010A1							
Production							
5010							
Test							
Production							
5.1							
Production							
D.0							
Test							
Production							
Totals:	0	0	0	0	0	0	0

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR	**Control Record**						
CTR01	Control Record ID	A/N	1	4	4	Pic X(04)	**CTRD** - Detail **CTRE** - End of Day - Claim Only Initiated by the Local Data Center (LDC) to indicate to the Enterprise Data Center (EDC) that this is the last known scheduled claims transmission of the day. **CTRR** - Resubmission Initiated by the Local Data Center (LDC) to indicate the resubmission of CTRD or CTRE to correct Out of Balance errors. **CTRT** - Translator Initiated by the CEM module, prior to process the claims received from A/B MAC front end EDI solution.
CTR02	Transaction Type	A/N	5	9	5	Pic X(05)	**837, NCPDP**
CTR03	Transaction Direction	A/N	10	10	1	Pic X(01)	I- Inbound O- Outbound
CTR04	Transaction Line of Business	A/N	11	11	1	Pic X(01)	**Claims only** **I- Institutional** **P- Professional** **N- NCPDP** B- Blank for non-claim transactions
CTR05	Interchange Sender ID	A/N	12	26	15	Pic X(15)	**837**, 835, 276/277 - ISA06 data element **NCPDP** - 880-K1 NCPDP batch header
CTR06	Interchange Receiver ID	A/N	27	41	15	Pic X(15)	**837**, 835, 276/277 - ISA08 data element **NCPDP** - 880-K7 NCPDP batch header
CTR07	Interchange Create Date	NUM	42	49	8	Pic 9(08)	837, 835, 276/277 - ISA09 data element **Note: ISA09 is format YYMMDD (6 bytes) this field will require the CC (20) to be appended to the beginning of the ISA09 value so final format is CCYYMMDD** **NCPDP** - 880-K2 NCPDP batch header
CTR08	Interchange Create Time	NUM	50	53	4	Pic 9(04)	837, 835, 276/277 - ISA10 data element **NCPDP** - 880-K3 NCPDP batch header
CTR09	Interchange Control Number	NUM	54	62	9	Pic 9(09)	837, 835, 276/277 - ISA13 data element **NCPDP** - 806-5C NCPDP batch header
CTR10	Interchange Version	A/N	63	67	5	Pic X(05)	For ASC X12 Version 5010 - 00501 (ISA12) For NCPDP - D.0
CTR11	Interchange Test/Prod Indicator	A/N	68	68	1	Pic X(01)	T- Test P- Production
CTR12	Data Center ID - Sender	A/N	69	83	15	Pic X(15)	
CTR13	Data Center ID - Receiver	A/N	84	98	15	Pic X(15)	
CTR14	Contractor ID (CMS Assigned)	A/N	99	113	15	Pic X(15)	CMS assigned value for the Jurisdiction
CTR15	Cycle Date	NUM	114	120	7	Pic 9(07)	YYYYDDD (Julian Date)
CTR16	Create Time	NUM	121	126	6	Pic 9(06)	HHMMSS
CTR17	Local Data Center Tracking	A/N	127	156	30	Pic X(30)	
CTR18	Total number of sent records	NUM	157	167	11	Pic 9(11)	**837,** 835, 276/277 - Total number of records in the flat file to be transmitted (ISA - IEA) w/o CTR record **NCPDP** - Total number of records in the flat file to be transmitted (Batch Header to Batch Trailer) w/o CTR record
CTR19	Total Number of sent records Error switch	A/N	168	169	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Successfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR20	Total number of UNIT segments Sent	NUM	170	178	9	Pic 9(09)	Total number of the units counted for the transaction type. 276- TRN 277- TRN 835- CLP **837- CLM** **NCPDP- 00 (this value is based on the segment ID in positions 27-28 of the flat file)**
CTR21	Total Number of Units sent Error switch	A/N	179	180	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Successfully Resubmitted** **R1 - Resubmission Out of Balance**

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR22	Total dollar amount sent submitted charges	NUM	181	193	13	Pic 9(11)v99	**837/NCPDP only** - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is zero
CTR23	Total dollar amount sent Error switch	A/N	194	195	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Successfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR24	Total number of ST-SEs sent	NUM	196	200	5	Pic 9(05)	**837**, 835, 276/277 - This is the number of occurences within the file of the combination of ST through SE records **NCPDP** - N/A This field will contain zeros

CTR25	Total Number of ST-SEs sent Error switch	A/N	201	202	2	Pic X(02)	**837, 835, 276/277**
							    X0 - Successfully Processed
							    X1 - Out of Balance
							    R0 - Succesfully Resubmitted
							    R1 - Resubmission Out of Balance
							NCPDP - N/A. This field will contain X0

CTR26	Total number of received records	NUM	203	213	11	Pic 9(11)	**837, 835, 276/277** - Total number of records in the flat file to be received (ISA - IEA) w/o CTR record **NCPDP** - Total number of records in the flat file to be received (Batch Header to Batch Trailer) w/o CTR record
CTR27	Total Number of records received Error switch	A/N	214	215	2	Pic X(02)	**Spaces - Initial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Successfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR28	Total number of UNIT segments received	NUM	216	224	9	Pic 9(09)	Total number of the units counted for the transaction type. 276- TRN 277- TRN 835- CLP **837- CLM** **NCPDP- 00 (this value is based on the segment ID in positions 27-28 of the flat file)**
CTR29	Total Number of units received Error switch	A/N	225	226	2	Pic X(02)	**Spaces - Initial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Successfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR30	Total dollar amount of received submitted charges	NUM	227	239	13	Pic 9(11)v99	**837/NCPDP only** - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is zero
CTR31	Total dollar amount received Error switch	A/N	240	241	2	Pic X(02)	**Spaces - Initial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Successfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR32	Total number of ST-SEs received	NUM	242	246	5	Pic 9(05)	**837**, 835, 276/277 - This is the number of occurences within the file of the combination of ST through SE records **NCPDP** - N/A This field will contain zeros

CTR33	Total Number of ST-SEs received Error switch	A/N	247	248	2	Pic X(02)	**837, 835, 276/277**
							    X0 - Successfully Processed
							    X1 - Out of Balance
							    R0 - Succesfully Resubmitted
							    R1 - Resubmission Out of Balance
							NCPDP - N/A. This field will contian spaces

CTR34	Claim Control Number Exchange Area	A/N	249	295	47	Pic X(47)	Hold for exchange of the CCN numbers assigned to 5010 claims between MAC and SSM 4010 process **FISS** (23 bytes-23bytes) **MCS** (13 bytes, 10 spaces-13 bytes, 10 spaces) **VMS** (15 bytes, 9 spaces-15 bytes, 8 spaces)
CTR35	CEM Maintainer Unique Control Information (Group Level)	A/N					Unique CEM Maintainer generated Control Number of the following format as defined by elements CTR35.1, and CTR35.2
CTR35.1	CEM Maintainer Unique Control Information (Line of Business)	A/N	296	296	1	Pic X(01)	**Part A** uses the value "A" **Part B** uses the value "B" **DME** uses the value "D"

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR35.2	CEM Maintainer Unique Control Information (Sequence Number)	A/N	297	304	8	Pic X(08)	A unique sequential number assigned to this Control Record.
CTR36	Version of MAP	A/N	305	316	12	Pic X(12)	Version of the specific translation map used to process this Control Record. A/B MAC & CEDI shall populate this with the version of the software map used for inbound transactions.
CTR37	Version of CEM	A/N	317	328	12	Pic X(12)	Version of the CEM software used to process this Control Record. CEM maintainer & CEDI shall populate this with the version of the software used. (Note: CEDI shall use the same value as CTR36 for inbound transactions)
CTR38	Filler	A/N	329	350	22	Pic X(22)	

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR	**Control Record**						
CTR01	Control Record ID	A/N	1	4	4	Pic X(04)	**CTRD** - Detail **CTRE** - End of Day - Claim Only Initiated by the Local Data Center (LDC) to indicate to the Enterprise Data Center (EDC) that this is the last known scheduled claims transmission of the day. **CTRR** - Resubmission Initiated by the Local Data Center (LDC) to indicate the resubmission of CTRD or CTRE to correct Out of Balance errors. **CTRT** - Translator Initiated by the CEM module, prior to process the claims received from A/B MAC front end EDI solution.
CTR02	Transaction Type	A/N	5	9	5	Pic X(05)	**835**
CTR03	Transaction Direction	A/N	10	10	1	Pic X(01)	I- Inbound O- Outbound
CTR04	Transaction Line of Business	A/N	11	11	1	Pic X(01)	Claims only I- Institutional P- Professional N- NCPDP **B- Blank for non-claim transactions**
CTR05	Interchange Sender ID	A/N	12	26	15	Pic X(15)	837, **835**, 276/277 - ISA06 data element NCPDP - 880-K1 NCPDP batch header
CTR06	Interchange Receiver ID	A/N	27	41	15	Pic X(15)	837, **835**, 276/277 - ISA08 data element NCPDP - 880-K7 NCPDP batch header
CTR07	Interchange Create Date	NUM	42	49	8	Pic 9(08)	837, **835**, 276/277 - ISA09 data element **Note: ISA09 is format YYMMDD (6 bytes) this field will require the CC (20) to be appended to the beginning of the ISA09 value so final format is CCYYMMDD** NCPDP - 880-K2 NCPDP batch header
CTR08	Interchange Create Time	NUM	50	53	4	Pic 9(04)	837, **835**, 276/277 - ISA10 data element NCPDP - 880-K3 NCPDP batch header
CTR09	Interchange Control Number	NUM	54	62	9	Pic 9(09)	837, **835**, 276/277 - ISA13 data element NCPDP - 806-5C NCPDP batch header
CTR10	Interchange Version	A/N	63	67	5	Pic X(05)	For ASC X12 Version 5010 - 00501 (ISA12) For NCPDP - D.0
CTR11	Interchange Test/Prod Indicator	A/N	68	68	1	Pic X(01)	T- Test P- Production
CTR12	Data Center ID - Sender	A/N	69	83	15	Pic X(15)	
CTR13	Data Center ID - Receiver	A/N	84	98	15	Pic X(15)	
CTR14	Contractor ID (CMS Assigned)	A/N	99	113	15	Pic X(15)	CMS assigned value for the Jurisdiction
CTR15	Cycle Date	NUM	114	120	7	Pic 9(07)	YYYYDDD (Julian Date)
CTR16	Create Time	NUM	121	126	6	Pic 9(06)	HHMMSS
CTR17	Local Data Center Tracking	A/N	127	156	30	Pic X(30)	
CTR18	Total number of sent records	NUM	157	167	11	Pic 9(11)	837, **835**, 276/277 - Total number of records in the flat file to be transmitted (ISA - IEA) w/o CTR record NCPDP - Total number of records in the flat file to be transmitted (Batch Header to Batch Trailer) w/o CTR record
CTR19	Total Number of sent records Error switch	A/N	168	169	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR20	Total number of UNIT segments Sent	NUM	170	178	9	Pic 9(09)	Total number of the units counted for the transaction type. 276- TRN 277- TRN **835- CLP** 837- CLM NCPDP- 00 (this value is based on the segment ID in positions 27-28 of the flat file)

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR21	Total Number of Units sent Error switch	A/N	179	180	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR22	Total dollar amount sent submitted charges	NUM	181	193	13	Pic 9(11)v99	837/NCPDP only - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is zero
CTR23	Total dollar amount sent Error switch	A/N	194	195	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR24	Total number of ST-SEs sent	NUM	196	200	5	Pic 9(05)	837, **835**, 276/277 - This is the number of occurences within the file of the combination of ST through SE records NCPDP - N/A This field will contain zeros

CTR25	Total Number of ST-SEs sent Error switch	A/N	201	202	2	Pic X(02)	837, **835**, 276/277
							  X0 - Successfully Processed
							  X1 - Out of Balance
							  R0 - Succesfully Resubmitted
							  R1 - Resubmission Out of Balance
							NCPDP - N/A. This field will contain X0

CTR26	Total number of received records	NUM	203	213	11	Pic 9(11)	837, **835**, 276/277 - Total number of records in the flat file to be received (ISA - IEA) w/o CTR record NCPDP - Total number of records in the flat file to be received (Batch Header to Batch Trailer) w/o CTR record
CTR27	Total Number of records received Error switch	A/N	214	215	2	Pic X(02)	**Spaces - Intial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR28	Total number of UNIT segments received	NUM	216	224	9	Pic 9(09)	Total number of the units counted for the transaction type. 276- TRN 277- TRN **835- CLP** 837- CLM NCPDP- 00 (this value is based on the segment ID in positions 27-28 of the flat file)
CTR29	Total Number of units received Error switch	A/N	225	226	2	Pic X(02)	**Spaces - Intial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR30	Total dollar amount of received submitted charges	NUM	227	239	13	Pic 9(11)v99	837/NCPDP only - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is zero
CTR31	Total dollar amount received Error switch	A/N	240	241	2	Pic X(02)	**Spaces - Intial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR32	Total number of ST-SEs received	NUM	242	246	5	Pic 9(05)	837, **835**, 276/277 - This is the number of occurences within the file of the combination of ST through SE records NCPDP - N/A This field will contain zeros

CTR33	Total Number of ST-SEs received Error switch	A/N	247	248	2	Pic X(02)	837, **835,** 276/277
							  X0 - Successfully Processed
							  X1 - Out of Balance
							  R0 - Succesfully Resubmitted
							  R1 - Resubmission Out of Balance
							NCPDP - N/A. This field will contian spaces

CTR34	Claim Control Number Exchange Area	A/N	249	295	47	Pic X(47)	Hold for exchange of the CCN numbers assigned to 5010 claims between MAC and SSM 4010 process **FISS** (23 bytes-23bytes) **MCS** (13 bytes, 10 spaces-13 bytes, 10 spaces) **VMS** (15 bytes, 9 spaces-15 bytes, 8 spaces)
CTR35	CEM Maintainer Unique Control Information (Group Level)	A/N					Unique CEM Maintainer generated Control Number of the following format as defined by elements CTR35.1, and CTR35.2

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR35.1	CEM Maintainer Unique Control Information (Line of Business)	A/N	296	296	1	Pic X(01)	**Part A** uses the value "A" **Part B** uses the value "B" **DME** uses the value "D"
CTR35.2	CEM Maintainer Unique Control Information (Sequence Number)	A/N	297	304	8	Pic X(08)	A unique sequential number assigned to this Control Record.
CTR36	Version of MAP	A/N	305	316	12	Pic X(12)	Version of the specific translation map used to process this Control Record. A/B MAC & CEDI shall populate this with the version of the software map used for inbound transactions.
CTR37	Version of CEM	A/N	317	328	12	Pic X(12)	Version of the CEM software used to process this Control Record. CEM maintainer & CEDI shall populate this with the version of the software used. (Note: CEDI shall use the same value as CTR36 for inbound transactions)
CTR38	Filler	A/N	329	350	22	Pic X(22)	

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR	**Control Record**						
CTR01	Control Record ID	AN	1	4	4	Pic X(04)	**CTRD** - Detail **CTRE** - End of Day - Claim Only Initiated by the Local Data Center (LDC) to indicate to the Enterprise Data Center (EDC) that this is the last known scheduled claims transmission of the day. **CTRR** - Resubmission Initiated by the Local Data Center (LDC) to indicate the resubmission of CTRD or CTRE to correct Out of Balance errors. **CTRT** - Translator Initiated by the CEM module, prior to process the claims received from A/B MAC front end EDI solution.
CTR02	Transaction Type	A/N	5	9	5	Pic X(05)	**276, 277**
CTR03	Transaction Direction	A/N	10	10	1	Pic X(01)	I- Inbound O- Outbound
CTR04	Transaction Line of Business	A/N	11	11	1	Pic X(01)	Claims only I- Institutional P- Professional N- NCPDP **B- Blank for non-claim transactions**
CTR05	Interchange Sender ID	A/N	12	26	15	Pic X(15)	837, 835, **276/277** - ISA06 data element NCPDP - 880-K1 NCPDP batch header
CTR06	Interchange Receiver ID	A/N	27	41	15	Pic X(15)	837, 835, **276/277** - ISA08 data element NCPDP - 880-K7 NCPDP batch header
CTR07	Interchange Create Date	NUM	42	49	8	Pic 9(08)	837, 835, **276/277** - ISA09 data element **Note: ISA09 is format YYMMDD (6 bytes) this field will require the CC (20) to be appended to the beginning of the ISA09 value so final format is CCYYMMDD** NCPDP - 880-K2 NCPDP batch header
CTR08	Interchange Create Time	NUM	50	53	4	Pic 9(04)	837, 835, **276/277** - ISA10 data element NCPDP - 880-K3 NCPDP batch header
CTR09	Interchange Control Number	NUM	54	62	9	Pic 9(09)	837, 835, **276/277** - ISA13 data element NCPDP - 806-5C NCPDP batch header
CTR10	Interchange Version	A/N	63	67	5	Pic X(05)	For ASC X12 Version 5010 - 00501 (ISA12) For NCPDP - D.0
CTR11	Interchange Test/Prod Indicator	A/N	68	68	1	Pic X(01)	T- Test P- Production
CTR12	Data Center ID - Sender	A/N	69	83	15	Pic X(15)	
CTR13	Data Center ID - Receiver	A/N	84	98	15	Pic X(15)	
CTR14	Contractor ID (CMS Assigned)	A/N	99	113	15	Pic X(15)	CMS assigned value for the Jurisdiction
CTR15	Cycle Date	NUM	114	120	7	Pic 9(07)	YYYYDDD (Julian Date)
CTR16	Create Time	NUM	121	126	6	Pic 9(06)	HHMMSS
CTR17	Local Data Center Tracking	A/N	127	156	30	Pic X(30)	
CTR18	Total number of sent records	NUM	157	167	11	Pic 9(11)	837, 835, **276/277** - Total number of records in the flat file to be transmitted (ISA - IEA) w/o CTR record NCPDP - Total number of records in the flat file to be transmitted (Batch Header to Batch Trailer) w/o CTR record
CTR19	Total Number of sent records Error switch	A/N	168	169	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR20	Total number of UNIT segments Sent	NUM	170	178	9	Pic 9(09)	Total number of the units counted for the transaction type. **276- TRN** **277- TRN** 835- CLP 837- CLM NCPDP- 00 (this value is based on the segment ID in positions 27-28 of the flat file)

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR21	Total Number of Units sent Error switch	A/N	179	180	2	Pic X(02)	**X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR22	Total dollar amount sent submitted charges	NUM	181	193	13	Pic 9(11)v99	837/NCPDP only - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is zero
CTR23	Total dollar amount sent Error switch	A/N	194	195	2	Pic X(02)	837/NCPDP only - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is spaces
CTR24	Total number of ST-SEs sent	NUM	196	200	5	Pic 9(05)	837, 835, **276/277** - This is the number of occurences within the file of the combination of ST through SE records NCPDP - N/A This field will contain zeros

CTR25	Total Number of ST-SEs sent Error switch	A/N	201	202	2	Pic X(02)	837, 835, **276/277**
							  X0 - Successfully Processed
							  X1 - Out of Balance
							  R0 - Succesfully Resubmitted
							  R1 - Resubmission Out of Balance
							NCPDP - N/A. This field will contain X0

CTR26	Total number of received records	NUM	203	213	11	Pic 9(11)	837, 835, **276/277** - Total number of records in the flat file to be received (ISA - IEA) w/o CTR record NCPDP - Total number of records in the flat file to be received (Batch Header to Batch Trailer) w/o CTR record
CTR27	Total Number of records received Error switch	A/N	214	215	2	Pic X(02)	**Spaces - Intial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR28	Total number of UNIT segments received	NUM	216	224	9	Pic 9(09)	Total number of the units counted for the transaction type. **276- TRN** **277- TRN** 835- CLP 837- CLM NCPDP- 00 (this value is based on the segment ID in positions 27-28 of the flat file)
CTR29	Total Number of units received Error switch	A/N	225	226	2	Pic X(02)	**Spaces - Intial Send** **X0 - Successfully Processed** **X1 - Out of Balance** **R0 - Succesfully Resubmitted** **R1 - Resubmission Out of Balance**
CTR30	Total dollar amount of received submitted charges	NUM	227	239	13	Pic 9(11)v99	837/NCPDP only - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is zero
CTR31	Total dollar amount received Error switch	A/N	240	241	2	Pic X(02)	837/NCPDP only - This is the total dollar value of the interchange, 430-DU for NCPDP. For other transaction types this is spaces
CTR32	Total number of ST-SEs received	NUM	242	246	5	Pic 9(05)	837, 835, **276/277** - This is the number of occurences within the file of the combination of ST through SE records NCPDP - N/A This field will contain zeros

CTR33	Total Number of ST-SEs received Error switch	A/N	247	248	2	Pic X(02)	837, 835, **276/277**
							  X0 - Successfully Processed
							  X1 - Out of Balance
							  R0 - Succesfully Resubmitted
							  R1 - Resubmission Out of Balance
							NCPDP - N/A. This field will contian spaces

CTR34	Claim Control Number Exchange Area	A/N	249	295	47	Pic X(47)	Hold for exchange of the CCN numbers assigned to 5010 claims between MAC and SSM 4010 process **FISS** (23 bytes-23bytes) **MCS** (13 bytes, 10 spaces-13 bytes, 10 spaces) **VMS** (15 bytes, 9 spaces-15 bytes, 8 spaces)
CTR35	CEM Maintainer Unique Control Information (Group Level)	A/N					Unique CEM Maintainer generated Control Number of the following format as defined by elements CTR35.1, and CTR35.2

CMS - Receipt and Control Record

Element Identifier	Description	Data Type	Record Position Start	Record Position End	Length	Picture Clause	Values
CTR35.1	CEM Maintainer Unique Control Information (Line of Business)	A/N	296	296	1	Pic X(01)	**Part A** uses the value "A" **Part B** uses the value "B" **DME** uses the value "D"
CTR35.2	CEM Maintainer Unique Control Information (Sequence Number)	A/N	297	304	8	Pic X(08)	A unique sequential number assigned to this Control Record.
CTR36	Version of MAP	A/N	305	316	12	Pic X(12)	Version of the specific translation map used to process this Control Record. A/B MAC & CEDI shall populate this with the version of the software map used for inbound transactions.
CTR37	Version of CEM	A/N	317	328	12	Pic X(12)	Version of the CEM software used to process this Control Record. CEM maintainer & CEDI shall populate this with the version of the software used. (Note: CEDI shall use the same value as CTR36 for inbound transactions)
CTR38	Filler	A/N	329	350	22	Pic X(22)	

Contractor ID

Specialty	# of Providers 4010A1	# of Providers 5010	% of Providers 5010	# of Claims 4010A1	# of Claims 5010	% of Claims 5010
Chiropractic	90	10	10.0%			
Podiatry	1	0	0.0%			
Cardiologist	1	0	0.0%			
Neurology	1	0	0.0%			
Endocrinology	1	0	0.0%			
Internal medicine	1	0	0.0%			
Geriatric psychiatry	1	0	0.0%			
Nuclear medicine	1	0	0.0%			
	1	0	0.0%			
	1	0	0.0%			
Totals:	99	10	10.0%	0	0	0.0%