CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 822	Date: December 14, 2010
	Change Request 7046

Transmittal 767, dated September 10, 2010, is being rescinded and replaced by Transmittal 822dated December 14, 2010 This change request includes replacing the words "Expansion of the Current Scope of Editing for Attending, Operating, or Other" with "NPI Verification for" in the Subject line. In addition, this change request includes removing the words (Phase 1; April 1, 2011 (Phase 2) from the effective date. In addition, the words (Phase 1); April 4, 2011 (Phase 2) will be removed from the implementation date. Under A. Background, the words will be replaced in the 1st sentence of the 1st paragraph: "is expanding the claim editing to meet the Social Security Act requirements for the attending, operating, or other" with the words with "Currently, does not have the capability to identify the specialty codes associated with the". Also, the words "either in; or opt out; plan of treatment is needed and submitted for" will be deleted. The words "on the PECOS file" have been added after the word "status" and the words "claim is submitted by" after the word "a". The words "are included on the PECOS file" have replaced the words "can be identified on the CAH's claims". Remove Certified Registered Nurse Anesthetist (CRNA) and Registered Dietitian/Nutritional Professional from the bulleted list of providers who can order/refer beneficiary services for CAHs from the General Information section. This change will also be reflected in the list of physician specialty codes by removing the specialty and codes 43 and 71, respectively. Remove both sections listed as Phase 1 and Phase 2 from the change request under the heading Background and replace with current language listed below. The Policy language has been replaced to reference the Affordable Care Act instead of language referencing to ordering and referring. BR 7046.1 the following words have been added "from bulleted list in the Background section of this document" and the word "are". In BR 7046.1, the following words have been deleted – "eligible as an attending, operating, or other physician provider" and "or opt out". BR 7046.1.2 the words "attending, operating, or other" and "or opt out" have been removed. BR 7046.3 the words "attending, operating, or other" have been removed. CMS has deleted Business Requirements 7046.4 thru 7046.10. These changes were derived from the walk-thru call with FISS and CMM. This change request also includes a revised file layout based on FISS instructions only. The revised file layout is derived from a request from FISS for the length of the file changing from 267 to 300. All other information remains the same.

SUBJECT: NPI Verification for Physician and Non-Physician Practitioner Providers for Critical Access Hospital (CAH) Claims Processed by Medicare Fiscal Intermediaries and Part A Medicare Administrative Contractors (A/B MAC).

I. SUMMARY OF CHANGES: Currently, the Centers for Medicare and Medicaid Services (CMS) does not have the capability to identify the specialty codes associated with the physicians and non-physician practitioners that are in an approved status on the PECOS file when a claim is submitted by a CAH. As a result, the CMS will implement an NPI verification for physician and non-physician practitioner providers for CAH claims processed by Medicare Fiscal Intermediaries and Part A Medicare Administrative Contractors (A/B MAC).

EFFECTIVE DATE: January 1, 2011

IMPLEMENTATION DATE: January 3, 2011

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE	
N/A		

III. FUNDING:

For Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs) and/or Carriers: No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENT:

One-Time Notification

^{*}Unless otherwise specified, the effective date is the date of service.

Attachment – One-Time Notification

Pub. 100-20 Transmittal: 822 Date: December 14, 2010 Change Request: 7046

Transmittal 767, dated September 10, 2010, is being rescinded and replaced by Transmittal 822 dated December 14, 2010 This change request includes replacing the words "Expansion of the Current Scope of Editing for Attending, Operating, or Other" with "NPI Verification for" in the Subject line. In addition, this change request includes removing the words (Phase 1; April 1, 2011 (Phase 2) from the effective date. In addition, the words (Phase 1); April 4, 2011 (Phase 2) will be removed from the implementation date. Under A. Background, the words will be replaced in the 1st sentence of the 1st paragraph: "is expanding the claim editing to meet the Social Security Act requirements for the attending, operating, or other" with the words with "Currently, does not have the capability to identify the specialty codes associated with the". Also, the words "either in; or opt out; plan of treatment is needed and submitted for" will be deleted. The words "on the PECOS file" have been added after the word "status" and the words "claim is submitted by" after the word "a". The words "are included on the PECOS file" have replaced the words "can be identified on the CAH's claims". Remove Certified Registered Nurse Anesthetist (CRNA) and Registered Dietitian/Nutritional Professional from the bulleted list of providers who can order/refer beneficiary services for CAHs from the General Information section. This change will also be reflected in the list of physician specialty codes by removing the specialty and codes 43 and 71, respectively. Remove both sections listed as Phase 1 and Phase 2 from the change request under the heading Background and replace with current language listed below. The Policy language has been replaced to reference the Affordable Care Act instead of language referencing to ordering and referring. BR 7046.1 the following words have been added "from bulleted list in the Background section of this document" and the word "are". In BR 7046.1, the following words have been deleted – "eligible as an attending, operating, or other physician provider" and "or opt out". BR 7046.1.2 the words "attending, operating, or other" and "or opt out" have been removed. BR 7046.3 the words "attending, operating, or other" have been removed. CMS has deleted Business Requirements 7046.4 thru 7046.10. These changes were derived from the walk-thru call with FISS and CMM. This change request also includes a revised file layout based on FISS instructions only. The revised file layout is derived from a request from FISS for the length of the file changing from 267 to 300. All other information remains the same.

SUBJECT: NPI Verification for Physician and Non-Physician Practitioner Providers for Critical Access Hospital (CAH) Claims Processed by Medicare Fiscal Intermediaries and Part A Medicare Administrative Contractors (A/B MAC).

Effective Date: January 1, 2011

Implementation Date: January 3, 2011

I. GENERAL INFORMATION

A. Background:

Currently, the Centers for Medicare & Medicaid Services (CMS) does not have the capability to identify the specialty codes associated with the physicians and non-physician practitioners that are in an approved status on the PECOS file when a claim is submitted by a CAH. In this document, the word 'claim', means both electronic and paper claims. The following physicians and non-physician practitioners are included on the PECOS file:

- doctor of medicine or osteopathy;
- dental medicine:

- dental surgery;
- podiatric medicine;
- optometry;
- chiropractic medicine;
- physician assistant;
- certified clinical nurse specialist;
- nurse practitioner;
- clinical psychologist;
- certified nurse midwife:
- licensed clinical social worker;

The Fiscal Intermediary Shared System (FISS) will receive a national file from the PECOS of only the physicians and non-physician practitioners who are enrolled in PECOS, and who are one of the specialties listed above. Nightly thereafter, FISS will receive a national PECOS file of newly added physicians and non-physician practitioners whose enrollment data has been updated.

A future change request will be written to address the receipt of the PECOS file for all physician and non-physician practitioners.

FI's and Part A of A/B MACs will need an online look-up to use for inquiry verification of the NPI for a physician or non-physician practitioner provider.

B. Policy: Legislation under the Affordable Care Act requires Medicare to identify certain physician and non-physician specialty codes in order to make incentive payments that are dependent on the specialty code and HCPCS code.

II. BUSINESS REQUIREMENTS TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)									
			A D F C R Shared-						OTHER		
		/	M	I	A	Н		Syst	tem		
		В	Е		R	Н	M	aint	aine	rs	
					R	I	F	M	V	C	
		M	M		I		I	C	M	W	
		A	A		Е		S	S	S	F	
		C	C		R		S				
7046.1	The PECOS shall provide an initial file of all nationally enrolled physicians or non-physician practitioners from the bulleted list in the background section of this document who are in PECOS only in an approved status.										PECOS
7046.1.1	The PECOS shall provide a format of the file to FISS consisting of the following data elements:										PECOS
	1. NPI;										
	2. First, middle and last name;										
	3. Effective date (if available);										
	4. Termination date (if available); and										

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A D F C R Shared- / M I A H System B E R H Maintainers					OTHER				
		B	E M		R R I	H	F	M	V	С	
		A C	A C		E R		I S S	C S	S	W F	
	5. CMS specialty code and description. (See attached file layout.)										
7046.1.2	The PECOS file shall contain a termination date if the physician or non-physician practitioner enrollment status changes from approved.										PECOS
7046.1.3	The implementation plan developed between FISS, CMS, and the PECOS shall determine the PECOS file naming convention and file location.						X				PECOS FISS CMS
7046.2	FISS shall not use the effective date and termination date. These fields are currently information fields only for use in the future.						X				
7046.3	The PECOS shall provide a nightly file of physician or non-physician practitioners who are newly added to PECOS or who were on the initial or earlier nightly files and who have a change of information.										PECOS
7046.4	This requirement deleted by CMS.										
7046.5	This requirement deleted by CMS.										
7046.6	This requirement deleted by CMS.										
7046.6.1	This requirement deleted by CMS.										
7046.6.2	This requirement deleted by CMS.										
7046.6.3	This requirement deleted by CMS.										
7046.7	This requirement deleted by CMS.										
7046.7.1	This requirement deleted by CMS.										
7046.8	This requirement deleted by CMS.										
7046.8.1	This requirement deleted by CMS.										
7046.9	This requirement deleted by CMS.										
7046.10	This requirement deleted by CMS.										
7046.11	FISS shall create an online look-up for contractors to use for inquiry verification of the NPI for the physician or non-						X				
	physician practitioner provider.										
7046.12	PECOS shall create a test file for FISS 6 weeks prior to the implementation date.										PECOS

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)								
		A	D	F	C	R	Shared-	OTH		
		/	M	I	A	Н	System	ER		
		В	Е		R	Н	Maintainers			

		M A C	M A C		R I E R	Ι	F I S S	M C S	V M S	C W F	
7046.13	A provider education article related to this instruction will be available at http://www.cms.hhs.gov/MLNMattersArticles/ shortly after the CR is released. You will receive notification of the article release via the established "MLN Matters" listserv. Contractors shall post this article, or a direct link to this article, on their Web site and include information about it in a listserv message within one week of the availability of the provider education article. In addition, the provider education article shall be included in your next regularly scheduled bulletin. Contractors are free to supplement MLN Matters articles with localized information that would benefit their provider community in billing and administering the Medicare program correctly.	X		X							

IV. SUPPORTING INFORMATION

Section A: for any recommendations and supporting information associated with listed requirements, use the box below: N/A

Use "Should" to denote a recommendation.

X-Ref	Recommendations or other supporting information:
Requireme	
nt	
Number	

Section B: For all other recommendations and supporting information, use this space: N/A

V. CONTACTS

Pre-Implementation Contact(s): Tolla Anderson 410-786-1786 <u>tolla.anderson@cms.hhs.gov</u> **Post-Implementation Contact(s):** Yvonne Young 410-786-1187 <u>yvonne.young@cms.hhs.gov</u> or Susan Guerin 410-786-6138 <u>susan.guerin@cms.hhs.gov</u>

VI. FUNDING

Section A: For Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs), and/or Carriers:

No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

Section B: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the contracting officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the contracting officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

Attachments (2)

Code Physician Specialty

- 01 General Practice
- 02 General Surgery
- 03 Allergy/Immunology
- 04 Otolaryngology
- 05 Anesthesiology
- 06 Cardiology
- 07 Dermatology
- 08 Family Practice
- 09 Interventional Pain Management
- 10 Gastroenterology
- 11 Internal Medicine
- 12 Osteopathic Manipulative Therapy
- 13 Neurology
- 14 Neurosurgery
- 16 Obstetrics/Gynecology
- 17 Hospice and Palliative Care
- 18 Ophthalmology
- 19 Oral Surgery (dentists only)
- 20 Orthopedic Surgery
- 22 Pathology
- 24 Plastic and Reconstructive Surgery
- 25 Physical Medicine and Rehabilitation
- 26 Psychiatry
- 27 Geriatric Psychiatry
- 28 Colorectal Surgery (formerly proctology)
- 29 Pulmonary Disease
- 30 Diagnostic Radiology
- 33 Thoracic Surgery
- 34 Urology
- 35 Chiropractic
- 36 Nuclear Medicine
- 37 Pediatric Medicine
- 38 Geriatric Medicine
- 39 Nephrology
- 40 Hand Surgery
- 41 Optometry
- 42 Certified Nurse Midwife
- 44 Infectious Disease
- 46 Endocrinology
- 48 Podiatry
- Nurse Practitioner
- 62 Clinical Psychologist (Ind.)
- 66 Rheumatology
- 68 Clinical Psychologist
- 72 Pain Management
- 76 Peripheral Vascular Disease
- 77 Vascular Surgery
- 78 Cardiac Surgery

- 79 Addiction Medicine
- 80 Licensed Clinical Socials Worker
- Critical Care (Intensivists) 81
- 82 Hematology
- Hematology/Oncology Preventive Medicine 83
- 84
- Maxillofacial Surgery 85
- Neuropsychiatry 86
- Certified Clinical Nurse Specialist 89
- Medical Oncology 90
- Surgical Oncology Radiation Oncology 91
- 92
- Emergency Medicine 93
- Interventional Radiology 94
- 97 Physician Assistant
- 98 Gynecological/Oncology
- Unknown Physician Specialty 99

58.1.1 FISS File Layout

Description	Field Name	Length	Default	Start	PECOS Table	PECOS Field
			Value	Position		
NPI	NPI	10	N/A	1	PEC_NPI	NPI
First Name	FNAME	25	N/A	11	PEC_IND_NAME	FIRST_NAME
Middle Name	MNAME	25	N/A	36	PEC_IND_NAME	MDL_NAME
Last Name	LNAME	35	N/A	61	PEC_IND_NAME	LAST_NAME
Specialty Code	SPCLTY_CD	2	N/A	96	PEC_ENRT_NPHY_SPC, PEC_ENRT_PHY_SPC	PHYSN_SPCLTY_CD
Specialty Description	SPCLTY_DESC	150	N/A	98	PEC_NPHY_SPC_REF, PEC_PHY_SPC_REF	PHYSN_SPCLTY_DESC
PIN Effective Date	EFF_DT	8	N/A	248	PEC_MDCR_NUM	EFCTV_DT
PIN Termination Date	TRM_DT	8	N/A	256	PEC_MDCR_NUM	END_DT
Filler	FILLER	37	N/A	264	N/A	N/A
Total Length		300				