CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 894	**Date: May 6, 2011**
	Change Request 7386

SUBJECT: Update Common Working File (CWF) to modify the Indicators for Trailer Mask on Unsolicited Response (UR) or Informational Unsolicited Response (IUR)

I. SUMMARY OF CHANGES: The purpose of this change request is to notify all interested parties that CWF currently has all Trailer mask identified in one-byte value for each of the trailers to represent either the number of occurrences or type of response (in case of UR or IUR), and Trailer "24" mask has a limited number of values
remaining to identify (identified as A-Z) the type of IUR to be returned to contractors. CWF no longer have alpha characters remaining to identify the type of IUR and is required to default to a numeric value between 1-0 for IURs.   The responses identified as an IUR or UR carries an "I" or "U" in position "231" in the layout for "HUIP", "HUOP", "HUHH", "HUHC", "HUBC" and "HUDC". Even though this will not affect the Trailer Mask used for regular responses including "HUSP", "HUCM", and "HURD", this is the same layout used and will be impacted also. The Trailer Mask "20" (Position 141) and "24" (Position 145) for IURs and URs have a different meaning than the values used for regular responses. IURs and URs identify the type of IUR or UR in the Trailer Mask "20" and "24". This value is also carried on the claim in history under UNSOL IND and displayed in HIMR. However, regular responses that are not an IUR or UR uses the Trailer Mask to identify the number of occurrence of the Trailer. Example Trailer "03" carries up to 17 occurrences so if a Beneficiary has 10 occurrences the Trailer mask "03" will be "A" or if 12 occurrences will be "C".

EFFECTIVE DATE: October 1, 2011
IMPLEMENTATION DATE: October 3, 2011

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)
R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

III. FUNDING:
For Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs) and/or Carriers:
Not Applicable.

For Medicare Administrative Contractors (MACs):
The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically

authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One-Time Notification

Unless otherwise specified, the effective date is the date of service.

Attachment – One-Time Notification

Pub. 100-20	Transmittal: 894	Date: May 6, 2011	Change Request: 7386

SUBJECT: Update Common Working File (CWF) to modify the Indicators for Trailer Mask on Unsolicited Response (UR) or Informational Unsolicited Response (IUR)

Effective Date: October 1, 2011

Implementation Date: October 3, 2011

I.   GENERAL INFORMATION

A.   Background: The purpose of this change request is to notify all interested parties that CWF **c**urrently has all Trailer mask identified in one-byte value for each of the trailers to represent either the number of occurrences or type of response (in case of UR or IUR), and Trailer '24' mask has a limited number of values remaining to identify (identified as A-Z) the type of IUR to be returned to contractors. CWF no longer have alpha characters remaining to identify the type of IUR and is required to default to a numeric value between 1-0 for IURs.   The responses identified as an IUR or UR carries an 'I' or 'U' in position '231' in the layout for 'HUIP', 'HUOP', 'HUHH', 'HUHC','HUBC' and 'HUDC'. Even though this will not affect the Trailer Mask used for regular responses including 'HUSP" 'HUCM' and 'HURD' this is the same layout used and will be impacted also. The Trailer Mask '20' (Position 141) and '24' (Position 145) for IURs and URs have a different meaning than the values used for regular responses. IURs and URs identify the type of IUR or UR in the Trailer Mask '20' and '24'. This value is also carried on the claim in history under UNSOL IND and displayed in HIMR. However, regular responses that are not a IURs or URs, uses the Trailer Mask to identify the number of occurrence of the Trailer. Example Trailer '03' carries up to 17 occurrences so if a Beneficiary has 10 occurrences the Trailer mask '03' will be 'A' or if 12 occurrences will be 'C'.

B.   Policy: Since CMS continues to create new processes involving IURs in CWF and this CR will allow CWF to make changes to identify the current one-byte value for each Trailer Mask to represent the number of occurrences for URs and IURs and done today for regular responses. The Unsolicited Response will continue to be identified in position '231' of the reply with 'U' for Unsolicited Response and 'I' for Informational Unsolicited Response, and 'V' for VA Informational Unsolicited Response.

II.   BUSINESS REQUIREMENTS TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A / B MAC	D M E MAC	F I	C A R R I E R	R H H I	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
7386.1	The CWF Maintainer shall move the UNSOL Indicator on						X	X	X	X	

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A / B MAC	D M E MAC	F I	C A R R I E R	R H H I	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	the CWF Unsolicited Responses including Informational Unsolicited Responses from the Trailer Mask and reset the Mask to the number of occurrences.										
7386.2	The CWF Maintainer shall apply the UNSOL Indicator in a new 2-byte field in the Trailers (15, 20, and 24) of the Response.						X	X	X	X	
7386.3	The CWF Maintainer shall expand the UNSOL IND field to be two bytes for all history claims displayed in HIMR.						X	X		X	NCH

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A / B MAC	D M E MAC	F I	C A R R I E R	R H H I	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	None.										

IV. SUPPORTING INFORMATION

Section A: For any recommendations and supporting information associated with listed requirements, use the box below: NA

Use "Should" to denote a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:

Section B: For all other recommendations and supporting information, use this space: NA

V. CONTACTS

Pre-Implementation Contact(s):   Kathryn Woytan (kathryn.woytan@cms.hhs.gov; 410-786-4982),
 Richard Wolfsheimer (Richard.wolfsheimer@cms.hhs.gov; 410-786-6160 or Whitney Korangkool
(whitney.korangkool@cms.hhs.gov; 410-786-0551)

Post-Implementation Contact(s): Contact your Contracting Officer's Technical Representative (COTR) or
Contractor Manager, as applicable:

VI. FUNDING
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Section A: For *Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs), and/or
Carriers:*

Not Applicable.

Section B: *For Medicare Administrative Contractors (MACs)*, **include the following statement:**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in
your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not
obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically
authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be
outside the current scope of work, the contractor shall withhold performance on the part(s) in question and
immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding
continued performance requirements.